

MODIFICATION REQUEST COVER SHEET

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| Name of Filer | DIANA RUFF |
| Reporting Period | <input type="checkbox"/> Annual report <input checked="" type="checkbox"/> Appointee report – (covering June 6, 2021 to June 5, 2022) |
| Type of Request | <input checked="" type="checkbox"/> New <input type="checkbox"/> Renewal with No Change <input type="checkbox"/> Full Commission Approval <input type="checkbox"/> Renewal with Change |
| Office Held & Term | Superior Court Judge, Benton/Franklin County Superior Court Appointed June 6, 2022 Current term expires December 2023 |
| Application Rule(s) | <input type="checkbox"/> Income & Ownership Interest: WAC 390-28-100(1)(b) <input type="checkbox"/> Personal Residence: WAC 390-28-100(1)(d) <input type="checkbox"/> Attorney: WAC 390-28-100(1)(e)(i) <input type="checkbox"/> Judge / Judicial Candidate: WAC 390-28-100(1)(e)(ii) <input checked="" type="checkbox"/> Spousal: WAC 390-28-100(1)(e)(iv) <input type="checkbox"/> Other: WAC 390-28-100(1)(a)(c) |
| Explanation of Rules(s) | <p>Applicants whose spouse or registered domestic partner creates a reporting obligation for the applicant. When an applicant is required to report the activities of an entity solely because the applicant's spouse or registered domestic partner held an office, directorship, general partnership or ownership interest in the entity and the applicant does not have direct knowledge of the information that must be reported, the applicant may be allowed to satisfy the disclosure requirements of RCW 42.17A.710 (1)(g)(ii) and WAC 390-24-020 by disclosing reportable customers from whom compensation in excess of the disclosure threshold established under RCW 42.17A.710 (1)(g)(ii) has been received as follows:</p> <p>(A) All payments made by the agency or jurisdiction in which the applicant seeks or holds office to the entity;</p> <p>(B) The business and other governmental customers or clients of the applicant's spouse/domestic partner and of the entity of which the applicant is aware; and</p> <p>(C) Any other business and other governmental customers or clients of the entity whose identities are known to the applicant and whose interests are significantly affected by the agency or jurisdiction in which the applicant seeks or holds office. The commission may apply (e)(i) through (iii) of this subsection when the applicant's spouse/domestic partner is a lawyer, judge, or motor vehicle dealer.</p> |

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| Supporting Documents (attached) | <input checked="" type="checkbox"/> Current F-1 (filed June 24, 2022) <input checked="" type="checkbox"/> Modification Application |
| Reason(s) for Modification (as stated by filer) | <ul style="list-style-type: none">• Judge Ruff is requesting a partial reporting modification that would exempt her from disclosing her spouse's business customers that paid \$12,000 or more during the previous twelve months to Cornerstone Wealth Strategies ("Cornerstone"), a SEC Registered Investment Advisory firm, where he served as President.• Judge Ruff stated that Cornerstone receives potentially reportable payments from some businesses (corporations and non-profit clients) for the investment management provided but does not have reportable payments from any government entity, including Benton/Franklin Superior Court.• Judge Ruff stated that the confidentiality of Cornerstone's client list is required by the Investment Advisors Act and due to the fiduciary relationship between an investment advisory firm and the client and as required by the SEC, Cornerstone does not disclose any private client information to any third party.• Judge Ruff stated that she is not aware of her spouse's client information. |
| Other Issues | <p>Judge Ruff has agreed to recuse herself if a matter came before her involving a conflict of interest between her spouse's investment firm or the clients of Cornerstone Wealth Strategies ("Cornerstone"), and Benton/Franklin County Superior Court.</p> <p>Judge Ruff's request could be granted through her current term of office as allowed in RCW 42.17A.120(1).</p> |