

Executive Summary and Staff Analysis
Tristine Samberg
Complaint Tracking No. T14-050

This summary highlights staff's findings, conclusions, and recommendations regarding the allegations contained in a 45-day citizen action letter (complaint) filed on October 25, 2013 by Stephen Fields against Tristine Samberg, a candidate for Bothell City Council in 2013. The complaint was filed with the Washington Attorney General's Office and the King County Prosecuting Attorney's Office, and referred to the PDC by the AGO for investigation and possible action.

Allegations and Brief Conclusion

The complaint alleged that Ms. Samberg violated RCW 42.17.080, RCW 42.17.090¹, RCW 42.17A.205, and RCW 42.17A.700 as follows:

- A. **Failed to file a post-election Campaign Summary Receipts & Expenditures report (C-4 report) for the November 8, 2011 Bothell City Council race.** The complaint alleged that Ms. Samberg failed to timely file the C-4 report due on December 10, 2011. The report was filed on June 17, 2013.
- B. **Failed to disclose expenditures on the C-4 report.** The complaint alleged that Ms. Samberg failed to disclose a transfer of surplus funds from her 2011 Bothell City Council campaign to her 2013 city council campaign on the post-election C-4 report due on December 10, 2011, that was filed on June 17, 2013. Although the transfer was not properly reported, Ms. Samberg did timely and properly disclose all post-election activity on her C-3 reports, and did disclose the surplus funds as a carry-forward balance at the beginning of her 2013 campaign.
- C. **Failed to timely file a Candidate Registration Statement (C-1 report).** The complaint alleged that Ms. Samberg failed to file a C-1 report within two weeks of becoming a candidate for Bothell City Council in the November 5, 2013 general election. However, staff's investigation found that Ms. Samberg had timely filed her C-1 report.
- D. **Failed to timely file a Personal Financial Affairs Statement (F-1 report).** The complaint alleged that Ms. Samberg failed to file an F-1 report within two weeks of becoming a candidate for Bothell City Council in the November 5, 2013 general election. While true, Ms. Samberg's late filing has been previously addressed by the Commission through the Group Enforcement process.

¹ Effective January 1, 2012, RCW 42.17.080 and RCW 42.17.090 were re-codified as RCW 42.17A.235 and RCW 42.17A.240, respectively. In December of 2011, the time of the alleged violations, the prior codifications were still in effect.

Applicable Statutes

RCW 42.17.080 and **RCW 42.17.090** require candidates to file timely, accurate reports of monetary and in-kind contributions and expenditures. The required reports include a post-general election C-4 report due on December 10th of the election year. Per **RCW 42.17.090(1)(j)**, post-election C-4 reports are required to disclose the disposition of any surplus funds expended or transferred from a candidate's campaign depository.

RCW 42.17A.205 requires candidates to file a Candidate Registration Statement (C-1 report) within two weeks of becoming a candidate.

RCW 42.17A.700 requires candidates to file a Personal Financial Affairs Statement (F-1 report) within two weeks of becoming a candidate.

Investigative Findings

Tristine Samberg was elected to position 2 on the Bothell City Council in the November 6, 2007 general election. On June 6, 2011, in the last year of her term of office, she filed a C-1 report registering her candidacy for position 6 on the Bothell City Council in the November 8, 2011 general election. Ms. Samberg lost to incumbent Mark Lamb in that election.

On May 30, 2013, Ms. Samberg filed a C-1 report registering her candidacy for position 5 on the Bothell City Council in the November 5, 2013 general election. On June 17, 2013, she amended her C-1 report as part of an application to change reporting options.

Alleged Failure to file a post-election C-4 report for the 2011 Bothell City Council race

- Ms. Samberg did not file the post-election C-4 report for the 2011 general election on the due date for that report, December 10, 2011. Ms. Samberg filed the post-election report on June 17, 2013, 18 days after registering her candidacy in the 2013 election.
- Ms. Samberg's June 17, 2013 C-4 report listed no activity during the 2011 post-general election reporting period other than a \$125 contribution deposit made on November 7, 2011. Previously, on November 7, 2011, Ms. Samberg timely filed a Cash Receipts Monetary Contributions report (C-3 report) disclosing the details of that deposit.

Alleged failure to disclose transfer of surplus funds from 2011 Bothell City Council campaign

- Ms. Samberg's June 17, 2013 C-4 report for the 2011 post-general election reporting period showed \$544.99 in cash-on-hand as of the close of the campaign. The report did not show any transfer to Ms. Samberg's 2013 campaign, or otherwise disclose the disposition of the funds.
- However, on June 18, 2013, the day after filing her post-election C-4 report for the 2011 election, Ms. Samberg filed an initial C-4 report for her 2013 election campaign. That C-4 report showed a carry-forward balance of \$544.99.

Alleged failure to timely file a C-1 report for the 2013 election

- Ms. Samberg filed a declaration of candidacy for the 2013 election with King County Elections on May 17, 2013. The complaint alleged that Ms. Samberg filed the C-1 that was due no later than May 31, 2013, on June 17, 2013. However, this is incorrect. Ms. Samberg's June 17, 2013 C-1 report was an amendment of an earlier, timely-filed C-1 report, which was originally filed on May 30, 2013.

Alleged failure to timely file an F-1 report for 2013 election

- Although Ms. Samberg's C-1 report was timely-filed, she did not timely file an F-1 report within two weeks of becoming a candidate in the 2013 election.
- Ms. Samberg's late-filing was handled as part of PDC staff's group enforcement process. On July 5, 2013, staff mailed a letter to all candidates with an F-1 reporting requirement who had not yet filed an F-1 report, including Ms. Samberg, reminding each candidate of his or her F-1 filing requirement. The letter stated that in order to avoid enforcement action and a possible monetary penalty, each candidate, including Ms. Samberg, was required to deliver the missing F-1 report to our office by Wednesday, July 17, 2013.
- In response to this reminder letter, Ms. Samberg filed the required F-1 report on July 15, 2013. As stated in staff's July 5, 2013 letter, Ms. Samberg was not scheduled for an enforcement hearing.

Conclusion

Staff's review of the complaint and Tristine Samberg's filing history indicates that Ms. Samberg complied with the requirement in RCW 42.17A.205 to timely file a C-1 report for the 2013 election.

Staff's review indicates that Ms. Samberg substantially complied with the F-1 requirement in RCW 42.17A.700 by meeting the terms of staff's July 5, 2013 reminder letter that was sent as part of staff's group enforcement process.

Although Ms. Samberg did not timely file a post-general election C-4 report for the 2011 election as required by RCW 42.17.080, all activity occurring during the post-election reporting period (\$125 in contributions received) was disclosed in a timely manner in Ms. Samberg's C-3 reports.

Finally, while Ms. Samberg failed to meet the requirement of RCW 42.17.090(1)(j) to show the disposition of \$544.99 in surplus funds remaining after her 2011 campaign on a post-election C-4 report, this failure was mitigated by contemporaneous C-4 reports Ms. Samberg filed for her 2013 campaign, showing this surplus as a carry-forward balance.

Recommendation

Based on Tristine Samberg's substantial compliance with requirements identified in the complaint, PDC staff recommends that the Commission:

- 1) Dismiss the allegations in the complaint with a reminder to Ms. Samberg about the proper disposition and reporting of surplus funds; and
- 2) Recommend to the Attorney General and Prosecuting Attorney that no further action be taken.

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Law Office of
Steven J. Fields LLC
18222 - 104th Avenue N.E., Suite 101
Bothell, Washington 98011
Voice ☎ (425) 481-2000 Fax ☎ (425) 398-1551

October 24, 2013

Formal Complaint to the Washington State Public Disclosure Commission, Attorney General Bob Ferguson and King County Prosecutor Dan Satterberg - Relating to a Candidate for Public Office pursuant to RCW 42.17A.765(A)

I certify under penalty of perjury under the laws of the State of Washington that the facts set forth in this complaint are true and correct to the best of my knowledge.

Name of Candidate: Tristine Samberg
Address of Candidate: 12103 NE 168th Place
Candidate's City: Bothell
State: Washington Zip Code: 98011
Candidate's Telephone: (206) 419-9308
Candidate's E-mail Address: trissamberg@gmail.com


STEVEN J. FIELDS

Street Address: 18222 104th Ave. NE, Suite 101
City, State and zip code: Bothell, WA 98011
Telephone number: 425-481-2000
Date signed: October 23, 2013
Place signed: Bothell, Washington

COMPLAINT

It has come to my attention that Citizens for Tris Samberg may have violated RCW 42.17A.235, RCW 42.17A.700 and 42.17A.240.

RCW42.17A.235 states in relevant part as follows:

“(1) In addition to the information required under RCW 42.17A.205 and 42.17A.210, on the day the treasurer is designated, each candidate or political committee must file with the commission report of all contributions received and expenditures made prior to that date, if any.

(2) Each treasurer shall file with the commission a report containing the information required by RCW 42.17A.240 at the following intervals:

...

(c) On the tenth day of each month in which no other reports are required to be filed under this section only if the committee has received a contribution or made an expenditure in the preceding calendar month and either the total contributions received or total expenditures made since the last such report exceed two hundred dollars.

...

(8) When there is no outstanding debt or obligation, the campaign fund is closed and the campaign is concluded in all respects or in the case of a political committee, the committee has ceased to function and has dissolved, the treasurer shall file a final report. Upon submitting a final report, the duties of the treasurer shall cease and there is no obligation to make any further reports.

42.17A.700 pertains to the financial reporting requirements and provides in relevant part as follows:

(2) Within two weeks of becoming a candidate, every candidate shall file with the commission a statement of financial affairs for the preceding twelve

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months.

It appears that Ms. Tris Samberg has violated these provisions.

In October of 2010 Tris Samberg announced that she would not seek re-election to the Bothell City Council. She indicated at the time that she wished to "spend more time with my family". See <http://www/bothell-reporter.com/news/104882154.html>.

Ms. Samberg then changed course and filed for election to the Bothell City Council, not in her own position, but in position #6 against incumbent Mayor of the City of Bothell. Ms. Samberg was defeated in this election by a margin of over 22%. This election was held on November 8th, 2011. As a result, the last C4 for Ms. Samberg's 2011 campaign was due no later than December 10, 2011.

However, Ms. Samberg did not file this final report as required by statute. Rather, she waited until June 17, 2013, i.e., more than a year and a half later. (See report attached as **Exhibit A**). She filed it only after she had decided to run again for the Bothell City Council.

Ms. Samberg's C1 filing for the 2013 campaign was *also* late. She filed on June 17th, 2013 with the PDC (see **Exhibit B**), but filed as a candidate in King County on May 17, 2013 (see **Exhibit C**).

Ms. Samberg's 2013 F1 declaration was also due May 31st, 2013 but yet a copy of the same shows that it was not filed until July 15, 2013. (See **Exhibit D**.)

Moreover it appears that Ms. Samberg has transferred money or other assets from her 2011 campaign to her 2013 campaign but never reported the same in her 2011 campaign filings. See **Exhibit E**.

RCW 42.17A.750(d) provides: "*A person who fails to file a properly completed statement or report within the time required by this chapter may be subject to a civil penalty of ten dollars per day for each day each delinquency continues.*" As Ms. Samberg's report was 555 days late, a penalty should be imposed in the amount of \$5,550.

Ms. Samberg has indicated on her campaign website that she would like to, "Develop an ethics code for elected and appointed officials that describes prohibited conflicts of interest beyond those provided in state law." But at the same time Ms.

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Samberg wants to write new laws for others while she is unable to timely comply with the laws already on the books. Ms. Samberg is not a first time candidate; indeed she is a third-time candidate and a former elected official at that. Indeed from the record above it appears that Ms. Samberg only complied with state law as an afterthought to her ambition to be elected to public office. I ask the Public Disclosure Commission to immediately investigate this matter so the voters of Bothell can understand the scope of Ms. Samberg's violations of state public disclosure law before they consider electing her.

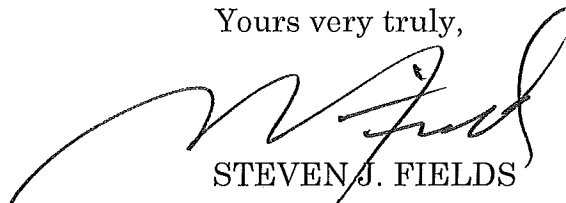
SUMMARY OF COUNTS OF COMPLAINT

1. The 2011 Samberg campaign failed to file its final C4 until more than a year and a half after it was due and Ms. Samberg had already filed for election to the same office. This is a violation of RCW 42.17A.235.
2. The Samberg2013 campaign filed its C1 on June 17, 2013 when it was due on May 31, 2013 based on her filing a declaration of candidacy with King County on May 17, 2013.
3. Ms. Samberg did not file her F1 financial disclosure form until July 15, 2013, despite the fact it was also due on May 31, 2013 under RCW 42.17A.700.
4. The 2011 Samberg campaign did not report contributions it made to the 2013 Samberg campaign.

This complaint is a "45 day Letter" being concurrently filed with the Attorney General and King County Prosecutor pursuant to RCW 42.17A.765(4).

Thank you for your attention to this matter.

Yours very truly,



STEVEN J. FIELDS

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EXHIBIT A

**SUMMARY, FULL REPORT
 RECEIPTS AND
 EXPENDITURES**

C4 (397)	PDC OFFICE USE
	100531187
	06-17-2013

Candidate or Committee Name (Do not abbreviate. Include full name)
TRIS SAMBERG (Tris Samberg)

Mailing Address
 12103 NE 168th PL

City
 Bothell, WA

OCT 25 2013

Zip + 4
 98011

Office Sought (Candidate or Committee Name)
 CITY COUNCIL MEMBER 2011

Report Period Covered
 From (last C-4) 11/01/11 To (end of period) 11/30/11

Final Report?
 Yes No

For PACs, Parties & Caucus Committees: During this report period, did the committee make an **independent expenditure** (i.e., an expense not considered a contribution supporting or opposing a state or local candidate)?

RECEIPTS

	*See next page	Yes	No
1. Previous total cash and in kind contributions (From line 8, last C-4) (if beginning a new campaign or calendar year, see instruction booklet)	\$		5,179.66
2. Cash received (From line 2, Schedule A)	\$	125.00	
3. In kind contributions received (From line 1, Schedule B).....		0.00	
4. Total cash and in kind contributions received this period (Line 2 plus 3).....			125.00
5. Loan principal repayments made (From line 2, Schedule L)		0.00	
6. Corrections (From line 1 or 3, Schedule C)..... Show + or (-)		0.00	
7. Net adjustments this period (Combine line 5 & 6)..... Show + or (-)			0.00
8. Total cash and in kind contributions during campaign (Combine lines 1, 4 & 7)			5,304.66
9. Total pledge payments due (From line 2, Schedule B).....		0.00	

EXPENDITURES

10. Previous total cash and in kind expenditures (From line 17, last C-4) (if beginning a new campaign or calendar year, see instruction booklet)	\$	4,759.67
11. Total cash expenditures (From line 4, Schedule A).....	0.00	
12. In kind expenditures (goods & services) (From line 1, Schedule B)	0.00	
13. Total cash and in kind expenditures made this period (Line 11 plus line 12).....		0.00
14. Loan principal repayments made (From line 2, Schedule L)	0.00	
15. Corrections (From line 2 or 3, Schedule C)..... Show + or (-)	0.00	
16. Net adjustments this period (Combine lines 14 & 15)..... Show + or (-)		0.00
17. Total cash and in kind expenditures during campaign (Combine lines 10, 13 and 16).....		4,759.67

CANDIDATES ONLY

	Name not on ballot
Won	Lost
Unopposed	Unopposed
Primary election <input type="checkbox"/>	<input type="checkbox"/>
General election <input type="checkbox"/>	<input type="checkbox"/>

Treasurer's Daytime Telephone No.:
 (206) 419-9506

CASH SUMMARY

18. Cash on hand (Line 8 minus line 17).....	544.99
(Line 18 should equal your bank account balance(s) plus your petty cash balance.)	
19. Liabilities: (Sum of loans and debts owed)	0.00
20. Balance (Surplus or deficit) (Line 18 minus line 19).....	544.99

CERTIFICATION: I certify that the information herein and on accompanying schedules and attachments is true and correct to the best of my knowledge

Candidate's Signature	Date	Treasurer's Signature	Date
TRIS SAMBERG	12/12/11	Tris Samberg	

**SUMMARY, FULL REPORT
 RECEIPTS AND
 EXPENDITURES RECEIVED**

C4 (957)	PDC OFFICE USE
	100436509
	11-02-2011

Candidate or Committee Name (Do not abbreviate. Include full name)

TRIS SAMBERG (Tris Samberg)

Mailing Address

12103 NE 168th PL

City

Bothell, WA

Zip + 4

98011

Office Sought (Candidate)

CITY COUNCIL MEMBER

2011

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For PACs, Parties & Caucus Committees: During this report period, did the committee make an independent expenditure (i.e., an expense not considered a contribution) supporting or opposing a state or local candidate?

Report Period Covered	From (last C-4)	To (end of period)	Final Report?
	10/18/11	10/31/11	Yes No X

RECEIPTS

* See next page Yes No

1. Previous total cash and in kind contributions (From line 8, last C-4) (if beginning a new campaign or calendar year, see instruction booklet)	\$	5,079.70
2. Cash received (From line 2, Schedule A)	\$	75.00
3. In kind contributions received (From line 1, Schedule B)		24.96
4. Total cash and in kind contributions received this period (Line 2 plus 3)		99.96
5. Loan principal repayments made (From line 2, Schedule L)		0.00
6. Corrections (From line 1 or 3, Schedule C)	Show + or (-)	0.00
7. Net adjustments this period (Combine line 5 & 6)	Show + or (-)	0.00
8. Total cash and in kind contributions during campaign (Combine lines 1, 4 & 7)		99.96
9. Total pledge payments due (From line 2, Schedule B)		0.00

EXPENDITURES

10. Previous total cash and in kind expenditures (From line 17, last C-4) (if beginning a new campaign or calendar year, see instruction booklet)	2,314.01
11. Total cash expenditures (From line 4, Schedule A)	2,423.73
12. In kind expenditures (goods & services) (From line 1, Schedule B)	24.96
13. Total cash and in kind expenditures made this period (Line 11 plus line 12)	2,448.69
14. Loan principal repayments made (From line 2, Schedule L)	0.00
15. Corrections (From line 2 or 3, Schedule C)	Show + or (-) 0.00
16. Net adjustments this period (Combine lines 14 & 15)	Show + or (-) 0.00
17. Total cash and in kind expenditures during campaign (Combine lines 10, 13 and 16)	2,448.69

CANDIDATES ONLY

	Won	Lost	Unopposed	Name not on ballot
Primary election	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General election	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Treasurer's Daytime Telephone No.:
 (360) 419-9508

CASH SUMMARY

18. Cash on hand (Line 8 minus line 17)	419.99
<small>(Line 18 should equal your bank account balance(s) plus your party cash balance.)</small>	
19. Liabilities: (Sum of loans and debts owed)	0.00
20. Balance (Surplus or deficit) (Line 18 minus line 19)	419.99

CERTIFICATION: I certify that the information herein and on accompanying schedules and attachments is true and correct to the best of my knowledge


Candidate's Signature	Date	Treasurer's Signature	Date
TRIS SAMBERG	11/01/11	Tris Samberg	

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EXHIBIT B

 PUBLIC DISCLOSURE COMMISSION 711 CAPITOL WAY RM 206 PO BOX 40908 OLYMPIA WA 98504-0908 (360) 753-1111 Toll Free 1-877-601-2828		Candidate Registration		C1 (1/2008)	100531303 06-17-2013
Candidate's Name (Give candidate's full name.) TRIS SAMBERG				Telephone Number 205-419-9308	
Candidate's Committee Name (Do not abbreviate.) CITIZENS FOR TRIS SAMBERG				Fax Number	
Mailing Address 12103 NE 168TH PL				Candidate's E-Mail Address TRISSAMBERG@GMAIL.COM	
City BOTHELL		County KING		Zip + 4 98011	
Campaign E-Mail Address TRISSAMBERG@GMAIL.COM					
1. What office are you running for? CITY COUNCIL MEMBER		Legislative District, County or City CITY OF BOTHELL *		Position No. 5	
				Do you now hold this office? Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
2. Political party (if partisan office) NONE			3. Date of general or special election 11/05/2013		
4. How much do you plan to spend during your entire election campaign, including the primary and general elections? Based on that estimate, choose one of the reporting options below. If no box is checked you are obligated to use Option II, Full Reporting. See instruction manuals for information about reports required and changing reporting options.					
<input type="checkbox"/> Option I MINI REPORTING: In addition to my filing fee of \$_____, I will raise and spend no more than \$5,000, including any charges for inclusion in state and local voters pamphlets. I will not accept more than \$500 in the aggregate from any contributor except myself.					
<input checked="" type="checkbox"/> Option II FULL REPORTING: I will use the Full Reporting system. I will file the frequent, detailed campaign reports required by law.					
5. Treasurer's Name and Address. Does treasurer perform <u>only</u> ministerial functions? Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> . See WAC 390-05-243 and next page for details. List deputy treasurers on attached sheet.				Daytime Telephone Number 206-841-1873	
ROGER SMITH 10904 NE 197TH ST, BOTHELL WA 98011					
6. Persons who perform only ministerial functions on your behalf <u>and</u> on behalf of other candidates or political committees. List name, title and address of these persons. See WAC 390-05-243 and next page for details.					
<input type="checkbox"/> Continued on attached sheet.					
7. Committee Officers and other persons who authorize expenditures or make decisions on your behalf. List name, title and address. See next page for definition of "officer."					
<input type="checkbox"/> Continued on attached sheet.					
8. Campaign Bank or Depository WELLS FARGO		Branch 10210 MAIN ST		City BOTHELL	
9. Related or Affiliated Political Committees. List name, address and relationship.					
<input type="checkbox"/> Continued on attached sheet.					
10. Campaign books must be open to the public by appointment between 8 a.m. and 8 p.m. during the eight days before the election, except Saturdays, Sundays, and legal holidays. In the space below, provide contact information for scheduling an appointment and the address where the inspection will take place. It is not acceptable to provide a post office box or an out-of-area address.					
Street Address, Room Number, City where campaign books will be available for inspection 12103 NE 168TH PL, BOTHELL In order to make an appointment, contact the campaign at (telephone, fax, e-mail): 206-419-9308 TRISSAMBERG@GMAIL.COM					
11. CERTIFICATION: I certify that this report is true, complete and correct to the best of my knowledge.					
Candidate's Signature TRIS SAMBERG				Date 06-17-2013	

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EXHIBIT C

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Snohomish County Elections website

Filing date

COUNCIL POSITION #5 Nonpartisan Office 4-year term

Steve Booth	10315 NE 190th St Bothell WA 98011	(425) 444-4345 steveb@stevebooth.com	5/13/2013 3:23:00 PM
Tris Samberg	12103 NE 168th Pl Bothell WA 98011	(206) 419-9308 trissamberg@gmail.com	5/17/2013 10:04:00 AM
Nadia K. Mustafa	19628 109th Pl NE Bothell WA 98011	(425) 424-0076 nadiakmustafa@aol.com	5/17/2013 11:28:00 AM

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EXHIBIT D

Refer to instruction manual for detailed assistance and examples.

Deadlines: Incumbent elected and appointed officials – by April 15.
Candidates and others – within two weeks of becoming a candidate or being newly appointed to a position.

SEND REPORT TO PUBLIC DISCLOSURE COMMISSION

DOLLAR CODE	AMOUNT
A	\$1 to \$3,999
B	\$4,000 to \$19,999
C	\$20,000 to \$39,999
D	\$40,000 to \$99,999
E	\$100,000 or more

Last Name	First	Middle Initial	Names of immediate family members, including registered domestic partner. If there is no reportable information to disclose for dependent children, or other dependents living in your household, do not identify them. Do identify your spouse or registered domestic partner. See F-1 manual for details.
SAMBERG	TRISTINE	M	

Mailing Address (Use PO Box or Work Address)	Michael Hablewitz SP Jeffrey Hablewitz D	
12103 NE 168TH PL		
City	County	Zip + 4
BOTHELL	KING	98011

Filing Status (Check only one box.)

An elected or state appointed official filing annual report

Final report as an elected official. Term expired: _____

Candidate running in an election: month AUG year 2013

Newly appointed to an elective office

Newly appointed to a state appointive office

Professional staff of the Governor's Office and the Legislature

Office Held or Sought
Office title: CITY COUNCIL MEMBER

County, city, district or agency of the office,
name and number: CITY OF BOTHELL *

Position number: _____

Term begins: 01-01-2014 ends: 12-31-2017

1 INCOME List each employer, or other source of income (pension, social security, legal judgment, etc.) from which you or a family member, including registered domestic partner, received \$2,000 or more during the period. (Report interest and dividends in Item 3 on reverse)

Show Self (S) Spouse (SP/DP) Dependent (D)	Name and Address of Employer or Source of Compensation	Occupation or How Compensation Was Earned	Amount: (Use Code)
SP	Microsoft 1 Microsoft Way REDMOND WA 98052	Senior Software Development Engineer	E
S	Target 405 SE Everett Mall Way Everett WA 98208	Pharmacist	D

Check Here if continued on attached sheet

2 REAL ESTATE List street address, assessor's parcel number, or legal description AND county for each parcel of Washington real estate with value of over \$10,000 in which you or a family member, including registered domestic partner, held a personal financial interest during the reporting period. (Show partnership, company, etc. real estate on F-1 supplement.)

Property Sold or Interest Divested	Assessed Value (Use Code)	Name and Address of Purchaser	Nature and Amount (Use Code) of Payment or Consideration Received		
Property Purchased or Interest Acquired		Creditor's Name/Address	Payment Terms	Security Given	Mortgage Amount - (Use Code) Original Current
All Other Property Entirely or Partially Owned	E				0 0

King County
12103 NE 168th PL
Check here if continued on attached sheet

3 ASSETS / INVESTMENTS - INTEREST / DIVIDENDS		List bank and savings accounts, insurance policies, stock, bonds and other intangible property held during the reporting period.	
A. Name and address of each bank or financial institution in which you or a family member, including registered domestic partner, had an account over \$20,000 any time during the report period.	Type of Account or Description of Asset	Asset Value (Use Code)	Income Amount (Use Code)
Washington State Employees Credit Union 1100 NE 45th St Seattle WA 98105	checking/savings	E	0
B. Name and address of each insurance company where you or a family member, including registered domestic partner had a policy with a cash or loan value over \$20,000 during the period.	Life Insurance	E	0
C. Name and address of each company, association, government agency, etc. in which you or a family member, including registered domestic partner, owned or had a financial interest worth over \$2,000. Include stocks, bonds, ownership, retirement plan, IRA, notes, and other intangible property. If you, your spouse, registered domestic partner and/or dependents had decision making authority regarding individual assets/investments list each asset or investment, the value and any income amount.	stock	E	0
Boeing			

Check here if continued on attached sheet.

4 CREDITORS			List each creditor you or a family member, including registered domestic partner, owed \$2,000 or more any time during the period. Don't include retail charge accounts, credit cards, or mortgages or real estate reported in item 2.		AMOUNT (USE CODE)	
Creditor's Name and Address	Terms of Payment	Security Given	Original	Present		
Check here <input type="checkbox"/> if continued on attached sheet.						

5 All filers answer questions A thru D below. If the answer is YES to any of these questions, the F-1 Supplement must also be completed as part of this report. If all answers are NO and you are a candidate for state or local office, an appointee to a vacant elective office, or a state executive officer filing your initial report, no F-1 Supplement is required.

Incumbent elected officials and state executive officers filing an annual financial affairs report also must answer question E. An F-1 Supplement is required of these officeholders unless all answers to questions A thru E are NO.

- A. At any time during the reporting period were you, your spouse, registered domestic partner or dependents (1) an officer, director, general partner or trustee of any corporation, company, union, association, joint venture or other entity or (2) a partner or member of any limited partnership, limited liability partnership, limited liability company or similar entity including but not limited to a professional limited liability company? ___ If yes, complete Supplement, Part A.
- B. Did you, your spouse, registered domestic partner or dependents have an ownership of 10% or more in any company, corporation, partnership, joint venture or other business at any time during the reporting period? ___ If yes, complete Supplement, Part A.
- C. Did you, your spouse, registered domestic partner or dependents own a business at any time during the reporting period? ___ If yes, complete Supplement, Part A.
- D. Did you, your spouse, registered domestic partner or dependents prepare, promote or oppose state legislation, rules, rates or standards for current or deferred compensation (other than pay for a currently-held public office) at any time during the reporting period? ___ If yes, complete Supplement, Part B.
- E. Only for Persons Filing Annual Report. Regarding the receipt of items not provided or paid for by your governmental agency during the previous calendar year: 1) Did you, your spouse, registered domestic partner or dependents (or any combination thereof) accept a gift of food or beverages costing over \$50 per occasion? ___ or 2) Did any source other than your governmental agency provide or pay in whole or in part for you, your spouse, registered domestic partner and/or dependents to travel or to attend a seminar or other training? ___ If yes to either or both questions, complete Supplement, Part C.

ALL FILERS EXCEPT CANDIDATES. Check the appropriate box.

I hold a state elected office, am an executive state officer or professional staff. I have read and am familiar with RCW 42.52.180 regarding the use of public resources in campaigns.

I hold a local elected office. I have read and am familiar with RCW 42.17A.555 regarding the use of public facilities in campaigns.

*CANDIDATES: Do not use public agency addresses or telephone numbers for contact information.

CERTIFICATION: I certify under penalty of perjury that the information contained in this report is true and correct to the best of my knowledge.

Tristine Samberg 7-16-13
 Tristine Samberg 06-17-2013
 Signature Date

Contact Telephone: 206-419-9308 *

Email: trissamberg@gmail.com (work)*

Email: _____ (Home) Optional

REPORT NOT ACCEPTABLE WITHOUT FILER'S SIGNATURE

RECEIVED

OCT 25 2013 DATE FILED PDC

Public Disclosure Commission

JUL 15 2013

F-1

FINANCIAL INSTITUTIONS CONTINUED

Name SAMBERG, TRISTINE M

Page 3

3 ASSETS / INVESTMENTS - INTEREST / DIVIDENDS

A. Name and address of each bank or financial institution	Type of Account or Description of Asset	Asset Value (Use Code)	Income Amount (Use Code)
Bank of America 1100 NE 45th St Kirkland WA 98034	savings/checking	B	0
GTE Federal Credit Union PO BOX 172599 Tampa FL 33762	savings/checking	E	A
Wells Fargo 10210 Main Street Bothell W 98011	checking/savings	C	0
Chase 14400 124th Ave NE Kirkland WA 98034	checking/savings	E	0

Check here if continued on attached sheet.

RECEIVED

OCT 25 2013 DATE FILED PDC

F-1

INSURANCE CONTINUED

Public Disclosure Commission

JUL 15 2013

Page 4

Name SAMBERG, TRISTINE M

3 ASSETS / INVESTMENTS - INTEREST / DIVIDENDS

B. Name and address of each insurance company	Type of Account or Description of Asset	Asset Value (Use Code)	Income Amount (Use Code)
Microsoft	Life Insurance	E	0.

Check here if continued on attached sheet.

RECEIVED

OCT 25 2013

JUL 15 2013

Public Disclosure Commission

COMPANY, ASSOC., GOVERNMENT AGENCY CONTINUED

F-1

Name SAMBERG, TRISTINE M

Page 5

3 ASSETS / INVESTMENTS - INTEREST / DIVIDENDS

C. Name and address of each company, association, government agency	Type of Account or Description of Asset	Asset Value (Use Code)	Income Amount (Use Code)
Microsoft	stock	D	0
Fidelity Investments	IRA	A	0
Fidelity Investments	401K	E	0
TIAA-CREF	Group Retirement Annuity	B	0
Target 401(K) Target Benefits Center Minneapolis MN	401K	D	0

Check here if continued on attached sheet.

RECEIVED

OCT 25 2013

Public Disclosure Commission

EXHIBIT E

**SUMMARY, FULL REPORT
 RECEIVED
 EXPENDITURES**

C4
 PDC OFFICE USE
 100531187
 06-17-2013

Candidate or Committee Name (Do not abbreviate. Include full name)
TRIS SAMBERG (Tris Samberg)

OCT 25 2013

Mailing Address
 12103 NE 168th PL
 Bothell, WA

Public Disclosure Commission

Zip + 4 98011	Office Sought (Candidates) CITY COUNCIL MEMBER	Election Date 2011
Report Period Covered From (last C-4) 11/01/11	To (end of period) 11/30/11	Final Report? Yes <input checked="" type="checkbox"/> No

*For PACs, Parties & Caucus Committees: During this report period, did the committee make an independent expenditure (i.e., an expense not considered a contribution) supporting or opposing a state or local candidate?

RECEIPTS

1. Previous total cash and in kind contributions (From line 8, last C-4) (If beginning a new campaign or calendar year, see instruction booklet)	\$	5,179.68
2. Cash received (From line 2, Schedule A)	\$	125.00
3. In kind contributions received (From line 1, Schedule B)		0.00
4. Total cash and in kind contributions received this period (Line 2 plus 3)		125.00
5. Loan principal repayments made (From line 2, Schedule L)		0.00
6. Corrections (From line 1 or 3, Schedule C)	Show + or (-)	0.00
7. Net adjustments this period (Combine line 5 & 6)	Show + or (-)	0.00
8. Total cash and in kind contributions during campaign (Combine lines 1, 4 & 7)		5,304.68
9. Total pledge payments due (From line 2, Schedule B)		0.00

EXPENDITURES

10. Previous total cash and in kind expenditures (From line 17, last C-4) (If beginning a new campaign or calendar year, see instruction booklet)		4,759.67
11. Total cash expenditures (From line 4, Schedule A)		0.00
12. In kind expenditures (goods & services) (From line 1, Schedule B)		0.00
13. Total cash and in kind expenditures made this period (Line 11 plus line 12)		0.00
14. Loan principal repayments made (From line 2, Schedule L)		0.00
15. Corrections (From line 2 or 3, Schedule C)	Show + or (-)	0.00
16. Net adjustments this period (Combine lines 14 & 15)	Show + or (-)	0.00
17. Total cash and in kind expenditures during campaign (Combine lines 10, 13 and 16)		4,759.67

CANDIDATES ONLY

	Won	Lost	Unopposed	Name not on ballot
Primary election	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General election	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Treasurer's Daytime Telephone No.:
 (206) 419-3306

CASH SUMMARY

18. Cash on hand (Line 8 minus line 17)	544.99
(Line 18 should equal your bank account balance(s) plus your petty cash balance)	
19. Liabilities: (Sum of loans and debts owed)	0.00
20. Balance (Surplus or deficit) (Line 18 minus line 19)	544.99

CERTIFICATION: I certify that the information herein and on accompanying schedules and attachments is true and correct to the best of my knowledge.

Candidate's Signature TRIS SAMBERG	Date 12/12/11	Treasurer's Signature Tris Samberg	Date
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OLD COMMITMENT

**SUMMARY, FULL REPORT
 RECEIPTS AND EXPENDITURES**

C4
(597)

PDC OFFICE USE
 100531389
 06-18-2013

Candidate or Committee Name (Do not abbreviate. Include full name)

IRIS SAMBERG (Citizens for Iris Samberg)

OCT 25 2013

Mailing Address

12103 NE 168th PL

Public Disclosure Commission, WA

Zip + 4 98011	Office Sought (Candidates) CITY COUNCIL MEMBER	Election Date 2013
Report Period Covered 05/22/13	To (end of period) 06/18/13	Final Report? Yes No X

*For PACs, Parties & Caucus Committees: During this report period, did the committee make an **independent expenditure** (i.e., an expense not considered a contribution) supporting or opposing a state or local candidate?

RECEIPTS

	* See next page	Yes	No
1. Previous total cash and in kind contributions (From line 8, last C-4) (if beginning a new campaign or calendar year, see instruction booklet)			\$ 544.99
2. Cash received (From line 2, Schedule A)	\$ 2,300.00		
3. In kind contributions received (From line 1, Schedule B).....	450.00		
4. Total cash and in kind contributions received this period (Line 2 plus 3).....			2,750.00
5. Loan principal repayments made (From line 2, Schedule L)	0.00		
6. Corrections (From line 1 or 3, Schedule C)..... Show + or (-)	0.00		
7. Net adjustments this period (Combine line 5 & 6)..... Show + or (-)			0.00
8. Total cash and in kind contributions during campaign (Combine lines 1, 4 & 7)			2,750.00
9. Total pledge payments due (From line 2, Schedule B).....	0.00		

FUNDS FROM 2011 CAMPAIGN

EXPENDITURES

10. Previous total cash and in kind expenditures (From line 17, last C-4) (if beginning a new campaign or calendar year, see instruction booklet)			0.00
11. Total cash expenditures (From line 4, Schedule A)	231.75		
12. In kind expenditures (goods & services) (From line 1, Schedule B)	450.00		
13. Total cash and in kind expenditures made this period (Line 11 plus line 12).....			681.75
14. Loan principal repayments made (From line 2, Schedule L)	0.00		
15. Corrections (From line 2 or 3, Schedule C)..... Show + or (-)	0.00		
16. Net adjustments this period (Combine lines 14 & 15)..... Show + or (-)			0.00
17. Total cash and in kind expenditures during campaign (Combine lines 10, 13 and 16).....			681.75

CANDIDATES ONLY

	Won	Lost	Unopposed	Name not on ballot
Primary election	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
General election	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Treasurer's Daytime Telephone No.:
 (206) 841-1873

CASH SUMMARY

18. Cash on hand (Line 8 minus line 17)	2,613.24
(Line 18 should equal your bank account balance(s) plus your petty cash balance.)	
19. Liabilities: (Sum of loans and debts owed)	1,500.00
20. Balance (Surplus or deficit) (Line 18 minus line 19)	1,113.24

CERTIFICATION: I certify that the information herein and on accompanying schedules and attachments is true and correct to the best of my knowledge

Candidate's Signature	Date	Treasurer's Signature	Date
IRIS SAMBERG	06/10/13	Roger Smith	

FIRST REPORT of 2013 CAMPAIGN.