

PUBLIC DISCLOSURE COMMISSION

711 Capitol Way Rm. 206, PO Box 40908 • Olympia, Washington 98504-0908 • (360) 753-1111 • FAX (360) 753-1112

Toll Free 1-877-601-2828 • E-mail: pdc@pdc.wa.gov • Website: www.pdc.wa.gov

To: Members, Washington State Public Disclosure Commission

From: Lori Anderson, Communications & Training Officer

Date: November 24, 2014

Re: Rule Making – December 4, 2014 Commission Meeting

Public Hearing to Consider Adopting Rules Regarding 1) Disclosing Lobbying

Expenditures for Legislative Receptions and 2) Personal Financial Affairs Disclosure

AGENDA ITEM

On December 4, 2014, the Commission will consider adopting new and amended rules that will affect 1) disclosing lobbying expenditures for legislative receptions and 2) personal financial affairs disclosure.

BACKGROUND

Disclosing Lobbying Expenditures for Legislative Receptions

In September 2014, the Commission approved draft language for new proposed WAC 390-20-020A, L-2 Reporting Guide for Entertainment, Receptions, Travel and Educational Expenditures. The proposed new rule modifies and converts to rule PDC Interpretation 96-03, L-2 Reporting Guide for Entertainment, Travel and Educational Expenditures. The modification proposes criteria that, when adhered to, will relieve a lobbyist from disclosing a per-person cost for a legislative reception.

After the approved language was filed with the Code Reviser and noted for hearing, a stakeholder questioned the instructions given for theater tickets and golf outings. The \$50 reference in the interpretation and proposed new rule refers to the annual gift limit contained in the Ethics Act. Staff has determined that the \$50 reference should be deleted in light of the Commission's inflationary adjustment increasing the >\$25 itemization threshold to >\$50, effective December 1, 2014. Instructions for these types of events are still necessary because the Ethics Act excepts from the \$50 gift limit events sponsored by or in conjunction with a civic, charitable, governmental, or community organizations. Therefore, a revision to the proposed rule that does not contain the \$50 references is attached for the Commission's consideration.

Also in September, the Commission approved draft language to amend **WAC 390-20-020 Forms for lobbyist report of expenditures.** The proposed amendment inserts instructions on the lobbyist monthly report (PDC Form L-2) explaining that a per-person cost is not required for qualifying legislative receptions.

A copy of hearing notice WSR 14-21-174, distributed November 5, is attached along with the related September meeting materials.

Personal Financial Affairs Disclosure

The proposed rules, if adopted, will:

- Convert to rule PDC Interpretation 91-01, *Who is an "Officer" for Purposes of RCW 42.17.241(1)(g)* [RCW 42.17A.710(1)(g)?;
- Make inflationary adjustments to disclosure threshold dollar amounts; and
- Relieve office holders and state executive officers from disclosing qualifying legislative reception food and beverage exceeding \$50.

In October 2012, the Commission approved draft language to convert PDC Interpretation 91-01 to new **WAC 390-24-150**, **Definition – Officer**. The definition of officer is used for purposes of identifying which entities a filer must disclose on the Personal Financial Affairs Statement (PDC Form F-1).

In June 2014, the Commission voted to make inflationary adjustments to the disclosure thresholds contained in the F-1 form. With that vote, the Commission approved draft language to amend these rules:

- WAC 390-24-010 Forms for statement of financial affairs;*
- WAC 390-24-020 Forms for amending statement of financial affairs;*
- WAC 390-24-202 Report of compensation from sales commissions; and
- WAC 390-24-301 Changes in dollar amounts of reporting thresholds and value codes.

In July 2014, the Commission approved draft language for amendments to two additional rules that use the F-1 dollar thresholds and reporting code values:

- WAC 390-16-071 Annual report of major contributors and persons making independent expenditures and
- WAC 390-20-110 Forms for lobbyist employers report.

A copy of hearing notice WSR 14-21-168, distributed November 5, is attached along with the June and July 2014 meeting materials.

^{*} In September 2014, when considering rule making that would relieve lobbyists from disclosing per-person costs for certain legislative receptions, the Commission approved draft amendatory language that instructs F-1 filers to exclude qualifying legislative reception food and beverage from the "Food, Travel, Seminars" section of the F-1 Supplement. This approved change is included in the attached proposed amendments to WACs 390-24-010 and 390-24-020.

Members, Washington State Public Disclosure Commission November 24, 2014 Page 3

COMMISSION ACTION

Barring any unanticipated comments at the public hearing, staff is requesting the Commission adopt the proposed amendments and new rules described above. Any rules adopted on December 4 will take effect 31 days after filing with the Code Reviser.

Attachments: WSR 14-21-174

Proposed new WAC 390-20-020A, approved by the Commission

Proposed new WAC 390-20-020A, revised by staff

Proposed amendment to WAC 390-20-020 Related September 2014 meeting materials

WSR 14-21-168

Proposed new WAC 390-24-150

Proposed amendments to: WACs 390-16-071, 390-20-110, 390-24-010, 390-24-

020, 390-24-202, and 390-24-301

Related June and July 2014 meeting materials



PROPOSED RULE MAKING

CR-102 (June 2012) (Implements RCW 34.05.320) Do NOT use for expedited rule making

Agency: Public Disclosure Commission					
Preproposal Statement of Inquiry was filed as WSR 14-10-062 Expedited Rule MakingProposed notice was filed as WSR Proposal is exempt under RCW 34.05.310(4) or 34.05.330(1).	; or ; or	X Original Notice Supplemental Notice to WSR Continuance of WSR			
Title of rule and other identifying information: (Describe Subject) WAC 390-20-020 Forms for Lobbyist Report of Expenditures WAC 390-20-020A L-2 Reporting Guide for Entertainment, Recept		Educational Expenditures			
Hearing location(s): 711 Capitol Way, Rm. 206 Olympia, WA 98504	Submit written comments to: Name: Lori Anderson Address: (mail) P. O. Box 40908, Olympia, WA 98504-0908 (physical address) 711 Capitol Way, Rm. 206, Olympia, WA e-mail lori.anderson@pdc.wa.gov fax (360)753-1112 by (date) November 26, 2014				
Date: <u>December 4, 2014</u> Time: <u>9:30 AM</u>	Assistance for	persons with disabilities: Contact			
Date of intended adoption: December 4, 2014 (Note: This is NOT the effective date)		mail at jana.greer@pdc.wa.gov or telephone or (360) 753-1980			
Purpose of the proposal and its anticipated effects, including an Reporting Guide for Entertainment, Travel and Educational Expenditur disclosing costs of certain legislative receptions are being inserted on P. F-1 and F-1 are similarly updated under separate notice published in W.	ny changes in exest is being convert DC Form L-2. Add	isting rules: Interpretive statement 96-03, L-2 ed to rule. Related instructions pertaining to ditionally, the instructions contained in PDC Forms			
Proposed new WAC 390-20-020A explains how typical lobbying experdisclosed on lobbyists' monthly reports and whether notice needs to be interpretation in that it allows an alternative method for disclosing legis per-person cost of legislative receptions, provided that the entire legislar recognized in each chamber are invited and the reception is sponsored begislators, lobbyists, and lobbyist employers; is a social event; and doe 20-020 inserts instructions on the monthly lobbyist expenditure report (legislative receptions as defined in [proposed] WAC 390-20-020A is not	provided to the rec lative receptions. ture, all members of by a person other the s not include a sit- PDC Form L-2) ex	The proposed new rule differs from the The change relieves lobbyists from disclosing the of a chamber, or any of the two largest caucuses and a lobbyist; attended by individuals other than down meal. Proposed amendment to WAC 390-			
* Under separate notice, a December 4, 2014 hearing is scheduled to for inflation the dollar amounts used for candidates', elected officials', (PDC Forms F-1 and F-1A) pursuant to RCW 42.17A.700710. Thes of \$50, as required by RCW 42.52.150(5). Proposed amendments to W and F-1A forms explaining that disclosing the cost of food and beverage	and executive state e forms are also us ACs 390-20-010 a	officers' personal financial affairs disclosure ed to disclose gifts of food and beverage in excess nd 390-20-020 will insert instructions on the F-1			
Reasons supporting proposal: The interpretation has served as useful guidance for many years. Converting it to rule better informs the public of lobbyist disclosure requirements. Reception costs are currently disclosed in the same manner as entertainment, which requires disclosing a per-person cost. Stakeholders have encouraged the Commission to develop an alternative disclosure method for receptions, stating that organizers have difficulty monitoring what food and beverage attendees consume. The Commission has recently determined that receptions are not entertainment, but rather an opportunity for legislators to meet with constituents, an obligation or customary duty of holding office. Furthermore, the proposed amendments conform to the disclosure requirements set out in the Ethics Act at RCW 42.52.150. Finally, the Commission believes the proposal will promote compliance and consistent reporting without depriving the public of significant					
Statutory authority for adoption: RCWs 42.17A.110 and 42.17A.615(4).	Statute being i	mplemented: RCW 42.17A.615			
Is rule necessary because of a: Federal Law? Federal Court Decision? State Court Decision? If yes, CITATION: Yes X No Yes X No Yes X No	OFFICE OF THE CODE REVISER STATE OF WASHINGTON FILED				
DATE October 22, 2014 NAME (type or print) LOBLANDERSON	type or print) TIME: 9:52 AM				
SIGNATURE WSR 14-21-174 TITLE					
Communications & Training Officer					

Agency comments or recommendations, if any, as to statutory language, implementation, enforcement, and fiscal matters: No increased costs to the agency are expected.						
Name of pro	oponent: (person or organiza	ation) Public Disclosure Commission	Private Public X Governmental			
Name of ag	ency personnel responsibl	e for:				
	Name	Office Location	Phone			
	Lori Anderson	711 Capitol Way, Rm. 206, Olympia, WA 98504	(360) 664-2737			
	onLori Anderson	711 Capitol Way, Rm. 206, Olympia, WA 98504	(360) 664-2737			
Enforcement.	Andrea Doyle	711 Capitol Way, Rm. 206, Olympia, WA 98504	(360) 664-2735			
	•	ct statement been prepared under chapter 19.85 RCW dunder section 1, chapter 210, Laws of 2012?	or has a school district			
☐ Yes.	Attach copy of small busines	ss economic impact statement or school district fiscal impa	act statement.			
X No. Ex The implement prepare a school		prepared. ts has minimal impact on small business. The PDC is not subject, per RCWs 28A.305.135 and 34.05.320.	ct to the requirement to			
☐ Yes		analysis may be obtained by contacting:				
	Name: Address:					
	phone () fax () e-mail	- 				
X No: Ple	ease explain: A cost-benefit ar	nalysis is not required under RCW 34.05.328. The PDC is not a	an agency listed in subsection			

X No: Please explain: A cost-benefit analysis is not required under RCW 34.05.328. The PDC is not an agency listed in subsection (5)(a)(i) of RCW 34.05.328. Further, the PDC does not voluntarily make the section applicable to the adoption of these rules pursuant to subsection (5)(a)(ii) and, to date, the joint administrative rules review committee has not made the section applicable to the adoption of these rules.

NEW SECTION

WAC 390-20-020A L-2 Reporting guide. For Entertainment, Receptions, Travel and Educational Expenditures

Typical Expenditures* (Only permitted if receipt could not reasonably be expected to influence the performance of the officer's or employee's official duties.)	Expense Included on Line 5	Expense Included on Line 15	Give Copy of L-2 or Memo Report to Elected Official
Entertaining State Officials, Employees or Their Families:			
☐ Any type of entertainment occasion costing \$50 or less	Yes	No	No
☐ Breakfast, lunch or dinner for legislator or other state official or employee (singly, or in conjunction with family member(s)) and total cost for occasion is:			
° \$50 or less	Yes	No	No
° More than \$50, and amount attributable to legislator/family is more than \$50	Yes	Yes	Yes
☐ Tickets to theater, sporting events, etc., costing \$50 or less	Yes	Yes	No
☐ Golf outing at which no more than \$50 was spent on each official, including any member(s) of the official's family	Yes	Yes	No
Receptions:			
 Reception to which the entire legislature, all members of a chamber, or any of the two largest caucuses recognized in each chamber are invited and is: Sponsored by a person other than a lobbyist; Attended by individuals other than legislators, lobbyists, and lobbyist employers; A social event; and Does not include a sit-down meal. 	Yes	Yes, except that a per- person cost is not required	No
□ All other receptions	Yes	Yes	Yes, if the food and beverage cost for the legislator and family members exceeds \$50
Travel-Related Expenditures for Officials, Employees:			
☐ Travel, lodging, meals for office-related appearance or speech at lobbyist employer's annual conference	Yes	Yes	Yes
☐ Travel, lodging, meals for office-related tour of lobbyist employer's manufacturing plant or other facility	Yes	Yes	Yes
Educational Expenditures for Officials, Employees:			
☐ Travel, lodging, meals, tuition to attend seminar sponsored by nonprofit organization	Yes	Yes	Yes
Other Lobbying-Related Items:			
☐ Flowers costing any amount to officials, staff and/or family	Yes	No	No
☐ Candy costing \$50 or less per official or employee	Yes	No	No
☐ Golf balls, coffee cups or other promotional items	Yes	No	No
☐ Fruit baskets costing \$50 or less per official or employee	Yes	No	No

Note: References to employees or staff do not constitute authority to provide impermissible items to regulatory, contracting or purchasing employees.

NEW SECTION

WAC 390-20-020A L-2 Reporting guide.

For Entertainment, Receptions, Travel and Educational Expenditures

Typical Expenditures* (Only permitted if receipt could not reasonably be expected to influence the performance of the officer's or employee's official duties.)	Expense Included on Line 5	Expense Included on Line 15	Give Copy of L-2 or Memo Report to Elected Official
Entertaining State Officials, Employees or Their Families:			
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 Breakfast, lunch or dinner for legislator or other state official or employee (singly, or in conjunction with family member(s)) and total cost for occasion is: 			
° \$50 or less	Yes	No	No
 More than \$50, and amount attributable to legislator/family is more than \$50 	Yes	Yes	Yes
☐ Tickets to theater, sporting events, etc.	Yes	Yes	No
□ Golf outing	Yes	Yes	No
Receptions:			
 Reception to which the entire legislature, all members of a chamber, or any of the two largest caucuses recognized in each chamber are invited and is: Sponsored by a person other than a lobbyist; Attended by individuals other than legislators, lobbyists, and lobbyist employers; A social event; and Does not include a sit-down meal. 	Yes	Yes, except that a per- person cost is not required	No
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☐ Travel, lodging, meals for office-related tour of lobbyist employer's manufacturing plant or other facility	Yes	Yes	Yes
Educational Expenditures for Officials, Employees:	•	•	•
☐ Travel, lodging, meals, tuition to attend seminar sponsored by nonprofit organization	Yes	Yes	Yes
Other Lobbying-Related Items:			
☐ Flowers costing any amount to officials, staff and/or family	Yes	No	No
□ Candy costing \$50 or less per official or employee	Yes	No	No
□ Golf balls, coffee cups or other promotional items	Yes	No	No
☐ Fruit baskets costing \$50 or less per official or employee	Yes	No	No

Note: References to employees or staff do not constitute authority to provide impermissible items to regulatory, contracting or purchasing employees.

AMENDATORY SECTION (Amending WSR 14-15-015, filed 7/3/14, effective 12/1/14)

WAC 390-20-020 Forms for lobbyist report of expenditures. The official form for the lobbyist report of expenditures is designated "L-2," revised $((\frac{12}{14}))$ $\frac{1}{15}$ which includes the L-2 Memo Report, dated $((\frac{1}{102}))$ $\frac{1}{15}$. Copies of this form are available on the commission's web site, www.pdc.wa.gov, and at the Commission Office, Room 206, Evergreen Plaza Building, Olympia, Washington 98504. Any attachments shall be on 8-1/2" x 11" white paper.

PUBLIC DISCLOSURE COMMISSION 711 CAPITOL WAY RM 206 PO BOX 40908 OLYMPIA WA 98504-0908 (360) 753-1111 TOLL FREE 1-877-601-2828 LObbyist Mon (as required by Cl	thly Expens napter 397, 1995 Session	e Report	L2 12/14	PDC O	FFICE USE
Mailing Address				1	
City	State	Zip + 4		New Address?	☐ Yes ☐ No
2. This report is for the period (Month) (Year)	This report corrects amends the report		(Year)	Business Telepho	ne
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Include all reportable expenditures by lobbyist and lobby incurred during the rep	orting period		Amount a	attributed to each e	mployer
Expense Category	TOTAL AMOUNT THIS MONTH All employers plus own expense (Columns a + b + c + d and attached pages)	Amounts paid from lobbyist's own funds, not reimbursed or attributed to an employer. Column A	Employer No Column B	Employer No Column C	Employer No Column D
 COMPENSATION earned from employer for lobbying this period (salary, wages, retainer) 	\$		\$ 9	3	\$
PERSONAL EXPENSES for travel, food and refreshments		\$			
5. ENTERTAINMENT, GRATUITIES, TRAVEL, SEMINARS for state officials, employees, their families (See #15)		<u> </u>			
CONTRIBUTIONS to elected officials, candidates and political committees (See #16)					
7. ADVERTISING, PRINTING, INFORMATIONAL LITERATURE					
B. POLITICAL ADS, PUBLIC RELATIONS, POLLING, TELEMARKETING, ETC. (See #17)					
O. OTHER EXPENSES AND SERVICES (See #18)					
10. TOTAL COMPENSATION AND EXPENSES INCURRED THIS MONTH	\$	\$	\$	3	\$
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I understand that an L-2 report is required for any month or file a new registration prior to lobbying for that employer in the	portion thereof in which I a				

CERTIFICATION

LOBBYIST SIGNATURE

[2]

I certify that this report is true and complete to the best of my knowledge.

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PDC OFFICE USE

(360) 753-1111 TOLL FREE 1-877-601-2828			1/15		
Lobbyist Mon	thly Expens apter 397, 1995 Session	e Report		7	
1. Lobbyist Name					
Mailing Address					
City	State	Zip + 4		New Address?	☐ Yes ☐ No
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for the period (Month) (Year)	amends the report	for (Month)	(Year)	() -	
ALL COMPLETE TH	IS PART	(MOTILIT)	_ ` ′	U HAVE MORE THAN	N ONE EMPLOYER
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incurred during the repo	orting period TOTAL AMOUNT	Amounts paid from	Amount	attributed to each e	mpioyer
Expense Category	THIS MONTH All employers plus own expense (Columns a + b + c + d and attached pages)	Industry sown funds, not reimbursed or attributed to an employer.	Employer No Column B	Employer No Column C	Employer No Column D
COMPENSATION earned from employer for lobbying this period (salary, wages, retainer)	\$	ooiunin A	\$	\$	\$
PERSONAL EXPENSES for travel, food and refreshments		\$			
 ENTERTAINMENT, GRATUITIES, TRAVEL, SEMINARS for state officials, employees, their families (See #15) 					
CONTRIBUTIONS to elected officials, candidates and political committees (See #16)					
7. ADVERTISING, PRINTING, INFORMATIONAL LITERATURE					
8. POLITICAL ADS, PUBLIC RELATIONS, POLLING, TELEMARKETING, ETC. (See #17)					
OTHER EXPENSES AND SERVICES (See #18)					
10. TOTAL COMPENSATION AND EXPENSES INCURRED THIS MONTH	\$	\$	\$	\$	\$
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No (D) 12. Subject matter of proposed legislation or other legislative ac Subject Matter, Issue or Bill No. Legis		bbyist was supporting or op ite Agency Considering M		Employer Repre	esented
☐ Continued on attached pages					
13. Of the time spent lobbying, what percentage was devoted to	lobbying: the Leg	gislature%	State Agencies	%.	
14. TERMINATION: (COMPLETE THIS ITEM ONLY IF YOU	WISH TO TERMINATE	YOUR REGISTRATION	1		
	oyer's name:				
I understand that an L-2 report is required for any month or portion thereof in which I am a registered lobbyist. I also understand that once I have terminated my registration, I must file a new registration prior to lobbying for that employer in the future. All registrations terminate automatically on the second Monday in January of each odd numbered year.					
I certify that this report is true and complete to the best of my kno		DBBYIST SIGNATURE			DATE
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Lobb	yist Name	ı		Reporting			
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	Date /dd/year	Names of all Persons Enterta Include actual amounts Example: Sen Bow (\$32), Nep Arro tax & gratuity (\$25\ddots)	spent for entertainment	Description, Place, etc. Dinner at Anthony's, Olympia	XYZ (Sponsoring Employer Corporation	Total Amount \$12
16.	If a monet	idates or elected officials; local a illot proposition. If a contribution	ding \$25 was given or transmitted and state officers or employees;	ed by the lobbyist to any of the follow political committees supporting or o e following, itemize the contribution t	pposing any cand	lidate, elected official, officer	or employee or any lo
1	Date	Name of Individual or Comn	nittee Receiving Contribution	Soul	rce of Contribution	n	Amount \$
	N/A	Total contributions itemized on	attached Memo Reports	1	$\overline{}$		→
		ions were made directly by a pol		ed, affiliated or sponsored by your en	mployer, show na	me of the PAC below. (Infor	mation reported by PA
		ort need not be again included in	n this L-2 report.)		\		

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Date

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Recipient's Name and Address

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Lobbysts must provide an elected official with a copy of the L2 or Memo Report if the lobbyst reports. 1) spending on one occasion one \$50 for food or beverages for the official and provide and the official and provided	15.	In the total amount column, show the total amount spent for each occasion including any staging costs, tax, and gratuity. Also show the actual amount spent entertaining each individual, as shown in the example. • Entertainment expenditures exceeding \$50 per occasion (including lobby							
Include actual amounts spent for entertainment Example: See Bey (35), pt Annu (23), and J. D. Lobeyist (350) In the Example: See Bey (35), pt Annu (23), and J. D. Lobeyist (350) Dinner of Anthony's, Clympia N/A Total expenses itemized on attached Memo Reports In an actual standard page and standard manufactures of the following, attending to expension and standard page and standard page and standard on attached pages or standard page (35) and standa		Lobbyists must provide an elected official with a copy of the L-2 or Memo Report if the lobbyist reports: 1) spending on one occasion over \$50 for food or beverages for the official							
Continued on attached pages 16. If a monetary or in-livid contribution exceeding \$25 was given or transmitted by the lobbyist to any of the following, itemize the contribution below or on a Memo Report: local and state candidates or elected officials, local and state officers or employees, political committees supporting or opposing any candidate, elected official, officer or employee or any local or state ballot proposition. If a contribution exceeding \$25 was given to the following, itemize the contribution below: a caucus political committee; a political party; or a grass roots lobbying campaign. Date Name of Individual or Committee Receiving Contribution Source of Contribution Source of Contribution Source of Contribution Amount If contributions were made directly by a political action committee associated, affiliated or sponsored by your employer, show name of the PAC below. (Information reported by PAC on C-4 report need not be again included in this I-2 report.) Continued on attached pages PAC Name: Continued on attached pages PAC Name: Continued on attached pages PAC Name: PAC Name: PAC Name: Total contributions were made directly by a political activities that extensive the contribution of the activity. Itemize each expenditure on a statched page that also shows lobbyist name and report date. Put the aggregate total of these expenditures on line 8. Payments by the lobbyist or cheric lobbying expenses and services, including payments to subcontract lobbyists, expent witnesses and others retained to provide lobbying services or assistance in lobbying and payments for grass roots lobbying campaigns (except advertising/printing costs listed in Item 7). Date Recipient's Name and Address Employer for Whom Expense was incurred Amount	mr		Include actual amounts spent for entertainment Example: Sen Bow (\$32), Rep Arrow (\$28), and J. D. Lobbyist (\$36)	· ·		Amount			
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Subject Matter, Issue or Bill No.

12.

Employer Represented

INFORMATION CONTINUED
(Use this page if you need additional space for Items 12, 15 or 16) Lobbyist Name Reporting Period (Month) (Year)

Legislative Committee or State Agency Considering Matter 15. Date Names of all Persons Entertained or Provided Travel, etc. Description, Place, etc. Sponsoring Employer Amount \$ 16. Date Name of Individual or Committee Receiving Contribution Source of Contribution Amount \$

INFORMATION CONTINUED (Use this page if you need additional space for Items 17 or 18) Lobbyist Name

Lobbyist Name		Reporting Period (Month)	(Year)	
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L-2 Memo Report

Instructions: This Memo Report may be used by a lobbyist to notify a state elected official or other recipient of contributions, meals, travel expenses or educational benefits that have been provided during the preceding calendar month. The specific list of persons to whom a copy of this report must be delivered is shown below in the "Contributions" and "Meals, Travel, Seminars" sections. If the expenditures disclosed on this Memo Report do not also appear on the lobbyist's L-2 Report, a copy of this Memo Report must accompany the L-2 filling. See L-2 instruction manual for further details.

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PUBLIC DISCLOSURE COMMISSION 711 CAPITOL WAY RM 206 PO BOX 40908 OLYMPIA WA 98504-0908 (360) 753-1111 TOLL FREE 1-877-601-2828

L-2 Memo Report

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Instructions: This Memo Report may be used by a lobbyist to notify a state elected official or other recipient of contributions, meals, travel expenses or educational benefits that have been provided during the preceding calendar month. The specific list of persons to whom a copy of this report must be delivered is shown below in the "Contributions" and "Meals, Travel, Seminars" sections. If the expenditures disclosed on this Memo Report do not also appear on the lobbyist's L-2 Report, a copy of this Memo Report must accompany the L-2 filing. See L-2 instruction manual for further details.

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PUBLIC DISCLOSURE COMMISSION

711 Capitol Way Rm. 206, PO Box 40908 • Olympia, Washington 98504-0908 • (360) 753-1111 • FAX (360) 753-1112

Toll Free 1-877-601-2828 • E-mail: pdc@pdc.wa.gov • Website: www.pdc.wa.gov

To: Members, Washington State Public Disclosure Commission

From: Lori Anderson, Communications & Training Officer

Date: September 18, 2014

Re: September 2014 Commission Meeting – Continued Discussion Regarding Disclosing

Lobbying Expenditures Pertaining to Receptions and Possible Approval of Draft

Language for Proposed New WAC 390-20-020A and Proposed Amendments to WACs

390-20-020 and 390-24-010

At its September 25 meeting, the Commission will resume discussing possible alternatives for disclosing lobbying expenditures made in connection with legislative receptions. Included in this memo are staff's recommendations for the Commission's consideration. Draft language for potential rule making is also included for consideration and possible approval.

BACKGROUND

PDC Interpretation 96-03 and the current lobbyist reporting instructions call for receptions to be disclosed as a type of entertainment. RCW 42.17A.615 provides that an entertainment expenditure of more than \$25 must be itemized by date, place, amount, and the names of all persons taking part in the entertainment, along with the dollar amount attributable to each person. RCW 42.17A.615(2)(a).

In December 2013, the Commission, at the request of stakeholders, began work to develop an alternative method for reporting receptions.

Progress

Staff's work to date has included:

- Facilitating a November 2013 stakeholder meeting;
- Discussions with Legislative Ethics Board staff;
- Researching other states' practices;
- Monitoring the Legislative Ethics Board rule making related to the Ethics Act's "infrequent meals" allowance; and
- Periodic progress reports to the Commission.

The Commission recently described its view that a reception is an opportunity for legislators to meet with constituents, an obligation or customary duty of holding office rather than entertainment. Furthermore, the Commission expressed its view that the role of a single

Members, Washington State Public Disclosure Commission September 18, 2014 Page 2

legislator at a reception is minor considering the scale of the event and the number of participants.

During the May and July 2014 meetings, the Commission began to define the criteria for a reception that may qualify for alternative disclosure requirements. The criteria set so far are:

- The sponsor/host is not a lobbyist, but may be a lobbyist employer,
- Individuals other than legislators, lobbyists, and lobbyist employers attend,
- The event is social in nature, and
- Food and beverage may be served, but not a sit-down meal.

Additional criteria identified by the Commission as necessary, but not yet determined are:

- The minimum number of legislators that must attend the reception; and
- An identifiable group or body must be invited.

The Commission has also determined that once the criteria are finalized, lobbyists will be required to disclose the following information for qualifying receptions: total cost, date held, sponsor's name, and attendees' names. No per-person attribution will be required.

COMMISSION ACTION

♦ The Commission must finalize its definition of "reception" for disclosure purposes.

Staff Recommendations.

In addition to the criteria already selected by the Commission, staff recommends the Commission add the following two requirements:

- Twenty (20) legislators or their representatives must attend the event. Staff believes 20 is a fitting number, considering the Commission's previous comments about the size and scale of a reception in contrast to other types of food and beverage events. Twenty is a relatively high bar, though, so staff recommends counting legislators *or* their representatives, since it is customary for a legislator to ask staff or a family member to stand in for him or her when scheduling conflicts prevent the legislator from personally attending.
- Invitations must be extended to the entire legislature, all members of a chamber, or any of the two largest caucuses recognized in each chamber. Public comments have included examples of events staged for legislative committees, particular committee members, and other legislative bodies. Staff believes, however, that limiting subsets to a chamber or any of the two largest caucuses recognized in each chamber is in keeping with the Commission's opinion that a reception is a social event and not intended to be an opportunity for in-depth discussion between a lobbyist and legislator about a particular issue.

Members, Washington State Public Disclosure Commission September 18, 2014 Page 3

These recommendations are based on the Commission's discussion and comments received. The Commission may wish to make revisions, such as identifying other groups or identifiable bodies. The Commission may also wish to make revisions to allow executive branch receptions to be disclosed in the same manner as legislative receptions.

♦ Rule Making.

In order to implement the Commission's chosen alternative disclosure method for disclosing expenditures for receptions, the Commission will need to amend both its forms and its rules.

Staff Recommendations.

Form Changes:

- Amend WAC 390-20-020 Forms for lobbyist report of expenditures (L-2 form), to include instructions for disclosing receptions.
- Amend WAC 390-24-010 Forms for statement of financial affairs (F-1 form) to instruct filers to exclude qualifying receptions from the "Food, Travel, Seminars" section of the F-1 Supplement. Currently, the F-1 form requires filers to disclose each occasion when food and beverage exceeding \$50 was received from a source other than their own agency. Both the Ethics Act and RCW 42.17A require state officers and state employees to disclose "gifts in the form of food and beverage that exceed \$50 on a single occasion" as allowed by the Ethics Act. This requirement is intended to capture infrequent meals, not food and beverage served at a reception. Furthermore, the Commission has heard a significant amount of public comment regarding food and beverage offered at a reception compared to what is actually consumed. Those comments support the recommended form revision. Finally, staff believes relieving qualifying reception attendees of this disclosure requirement will not deprive the public of any significant data.

(2) [T]he following items are presumed not to influence under RCW 42.52.140 and may be accepted without

RCW 42.17A.710.

¹ The Ethics Act provides:

^{(2) [}T]he following items are presumed not to influence under RCW 42.52.140 and may be accepted without regard to the [\$50 per calendar year] limit established by subsection (1) of this section: . . .

⁽f) Food and beverages consumed at hosted receptions where attendance is related to the state officer's or state employee's official duties; . . .

⁽⁵⁾ A state officer or state employee may accept gifts in the form of food and beverage on infrequent occasions in the ordinary course of meals where attendance by the officer or employee is related to the performance of official duties. Gifts in the form of food and beverage that exceed fifty dollars on a single occasion shall be reported as provided in chapter RCW 42.17A RCW.

RCW 42.52.150.

The Public Disclosure law provides:

⁽¹⁾ The statement of financial affairs required by RCW 42.17A.700 shall disclose the following information for the reporting individual and each member of his or her immediate family:

⁽l) A list of each occasion, specifying date, donor, and amount, at which food and beverage in excess of fifty dollars was accepted under RCW 42.52.150(5).

Members, Washington State Public Disclosure Commission September 18, 2014 Page 4

NOTE: In June, the Commission approved draft language to adjust for inflation the dollar codes used to disclose monetary values on the F-1 report. The public hearing to consider those proposed amendments was planned for the September meeting, but has been postponed so that the Commission may consider these additional changes.

Additional Rule Making.

• Convert PDC Interpretation 96-03 to rule. The L-2 form has such limited room that adding instructions and describing the reception criteria would be difficult. Staff suggests that the Commission adopt a companion rule, WAC 390-20-020A, in which the reception criteria would be described. Staff also recommends that the Commission revise the guidance offered in PDC Interpretation 96-03, *L-2 Reporting Guide for Entertainment, Travel and Educational Expenses.* The interpretation has served as useful guidance for many years. In light of the Commission's decision to exclude certain receptions from the type of "entertainment" for which the law requires per person attribution of costs, Interpretation 96-03 will need to be amended. Staff believes it would be appropriate to adopt the revised interpretation as a rule given the long-standing nature of the guidance and the extensive stakeholder involvement that has gone into the development of the new approach to receptions. Alternatively, the Commission could simply amend Interpretation 96-03 and adopt a stand-alone definition of "receptions" for the purpose of excluding them from the per-person attribution reporting requirements for "entertainment" under RCW 42.17A.615(2).

� Draft rule language.

Draft language for proposed new WAC 390-20-020A and proposed amendments to WACs 390-20-020 and 390-24-010 is attached for the Commission's consideration. The staff requests that the Commission approve the draft language for the proposed rules.

Rule Making Timeline.

In order for any form revisions to be in place by February 2015 (when reports of January 2015 lobbying expenditures are due) and holding to the Commission's regular meeting schedule, the hearing to consider possible adoption should be held December 4. This would require adhering to the following timeline:

September 25, 2014	Commission approves draft language for rules.
October 21, 2014	State Register deadline for filing hearing notice.
December 4, 2014	Public hearing to consider possible adoption.
December 31, 2014	State Register deadline for filing adopted rules.
February 1, 2015	Proposed rules take effect.

Attachments: Draft language for proposed amendments to WACs 390-20-020 and 390-24-010 and proposed new WAC 390-20-020A



TOLL FREE 1-877-601-2828

Lobbyist Monthly Expense Report (as required by Chapter 397, 1995 Session Laws)

	(as required by Cri	iapter 397, 1995 Sess	ion Laws)			
1.	Lobbyist Name					
	Mailing Address					
	City	State	Zip + 4		New Address?	☐ Yes ☐ No
2.	This report is	This report corre	ects or		Business Telephor	ne
	for the period	amends the repo	ort for		() -	
	(Month) (Year)		(Month)	(Year)		
	ALL COMPLETE THI			COMPLETE IF YO	U HAVE MORE THAN	ONE EMPLOYER
ine	clude all reportable expenditures by lobbyist and lobby incurred during the repo		r on benait of the lobbyist	Amount	attributed to each er	mployer
	•	TOTAL AMOUNT THIS MONTH All employers plus own expense	Amounts paid from lobbyist's own funds, not reimbursed or attributed to an	Employer No	Employer No	Employer No
	Expense Category	(Columns a + b + c + d and attached pages)	employer. Column A	Column B	Column C	Column D
3.	COMPENSATION earned from employer for lobbying this period (salary, wages, retainer)	\$		\$	\$	\$
4.	PERSONAL EXPENSES for travel, food and refreshments		\$			
5.	ENTERTAINMENT, GRATUITIES, TRAVEL, SEMINARS for state officials, employees, their families (See #15)					
6.	CONTRIBUTIONS to elected officials, candidates and political committees (See #16)					
7.	ADVERTISING, PRINTING, INFORMATIONAL LITERATURE					
8.	POLITICAL ADS, PUBLIC RELATIONS, POLLING, TELEMARKETING, ETC. (See #17)					
9.	OTHER EXPENSES AND SERVICES (See #18)					
10	TOTAL COMPENSATION AND EXPENSES INCURRED THIS MONTH	\$	\$	\$	\$	\$
			(Attach additional pa	age(s) if you lobby for mo	ore than three employer	s.)
11.	. EMPLOYERS' NAMES No (B)					
	No (C)					
12.	No (D) Subject matter of proposed legislation or other legislative act Subject Matter, Issue or Bill No. Legis	•	lobbyist was supporting or opp State Agency Considering Ma	_	Employer Repre	sented
	Continued on attached pages					
	Of the time spent lobbying, what percentage was devoted to	lobbying the I	egislature %	State Agencies	%.	
	TERMINATION: (COMPLETE THIS ITEM ONLY IF YOU				<u></u>	
•			TE TOOK NEOLOTHATION,			
	Date registration ends: Emplo I understand that an L-2 report is required for any month or p	oyer's name:	Lam a registered lobbyist La	Iso understand that once	e I have terminated my	registration I must
_	file a new registration prior to lobbying for that employer in th	ne future. All registration	ons terminate automatically on	the second Monday in J	January of each odd nun	nbered year.
	CERTIFICATION					
l ce	ertify that this report is true and complete to the best of my kno	owledge.	LOBBYIST SIGNATURE			DATE

Secretarian Property Proper	<u>. ugu</u>								
In the total amount column, show the total amount spent for each occasion including any stigning costs, tax, and gratulay. Also show the extrained amount spent entertaining section individual as shown in the example. ((When repetring a reception of craimine const. Show it is a security as the security of the constitution of	Lobbyist N	ame) (Year)					
Interest Authory's, Olympia	In the entert Entert Entert Trav Enr. Lobby	In the total amount column, show the total amount spent for each occasion including any staging costs, tax, and gratuity. Also show the actual amount spent entertaining each individual, as shown in the example. ((When reporting a reception or similar event, show the amount fairly attributed to each individual.)) • Entertainment expenditures exceeding \$50 per occasion (including lobbyist's expense) for meals, beverages, tickets, passes, or for other forms of entertainment. • Receptions. See WAC 390-20-020A to determine if per person cost is required. • Travel, lodging and subsistence expenses in connection with a speech, presentation, appearance, trade mission, seminar or educational program. • Enrollment and course fees in connection with a seminar or educational program. Lobbyists must provide an elected official with a copy of the L-2 or Memo Report if the lobbyist reports: 1) spending on one occasion over \$50 for food or beverages for the official and/or his or her family member(s); or 2) providing travel, lodging, subsistence expenses or enrollment or course fees for the official and, if permitted, the official's family.							
Continued on attached pages. 16. It a monetary or in-kind contribution exceeding \$25 was given or transmitted by the lobbyist to any of the following, itemize the contribution below or on a Memo Report: local and state candidates or elected officials, local and state officers or employees; political committees supporting or opposing any candidate, elected official, officer or employee or any loc or state ballot proposition. If a contribution exceeding \$25 was given to the following, itemize the contribution below: a caucus political committee; a political party; or a grass roots lobbying campaign. Date Name of Individual or Committee Receiving Contribution Source of Contribution Source of Contribution Source of Contribution Source of Contribution Amount **Total contributions were made directly by a political action committee associated, affiliated or sponsored by your employer, show name of the PAC below. (Information reported by PAC on C-4 report need not be again included in this L-2 report.) Continued on attached pages. PAC Name: Continued on attached pages. PAC Name: PAC		Include actual amounts spent for entertainment Example: Sen Bow (\$32), Rep Arrow (\$28), and J. D. Lobbyist (\$36)	. , ,		Amount \$121.41				
Continued on attached pages. 16. It a monetary or in-kind contribution exceeding \$25 was given or transmitted by the lobbyist to any of the following, itemize the contribution below or on a Memo Report: local and state candidates or elected officials, local and state officers or employees; political committees supporting or opposing any candidate, elected official, officer or employee or any loc or state ballot proposition. If a contribution exceeding \$25 was given to the following, itemize the contribution below: a caucus political committee; a political party; or a grass roots lobbying campaign. Date Name of Individual or Committee Receiving Contribution Source of Contribution Source of Contribution Source of Contribution Source of Contribution Amount **Total contributions were made directly by a political action committee associated, affiliated or sponsored by your employer, show name of the PAC below. (Information reported by PAC on C-4 report need not be again included in this L-2 report.) Continued on attached pages. PAC Name: Continued on attached pages. PAC Name: PAC	N/A	Total expenses itemized on attached Memo Reports	1	<u></u>					
16. If a monetary or in-kind contribution exceeding \$25 was given or transmitted by the lobbyist to any of the following, itemize the contribution below or on a Memo Report: local and state candidates or elected officials; local and state officers or employees; political committees supporting or opposing any candidate, elected official, officer or employee or any loc or state ballot proposition. If a contribution exceeding \$25 was given to the following, itemize the contribution below: a caucus political committee; a political party; or a grass roots lobbying campaign. Date Name of Individual or Committee Receiving Contribution Source of Contribution Source of Contribution Source of Contributions For Contributions were made directly by a political action committee associated, affiliated or sponsored by your employer, show name of the PAC below. (Information reported by PAC or C-4 report need not be again included in this L-2 report.) Continued on attached pages. PAC Name: To Expenditures for: a) political advertising supporting or opposing a state or local candidate or ballot measure; or b) public relations, telemarketing, polling or similar activities that directly or indirectly are lobbying-related must be itemized by amount, vendor or person receiving payment, and a brief description of the activity. Itemize each expenditure on an attached page that also shows lobbyist name and report date. Put the aggregate total of these expenditures on line 8. Reports by the lobbying repenses and services, including payments to subcontract lobbyings to wither setalined to provide lobbying services of assistance in lobbying and payments for grass roots lobbying campaigns (except advertising/printing costs listed in Item 7). Employer for Whom Expense was Incurred Amount	_								
state candidates or elected officials; local and state officers or employees; political committees supporting or opposing any candidate, elected official, officer or employee or any loc or state ballot proposition. If a contribution exceeding \$25 was given to the following, itemize the contribution below: a caucus political committee; a political party; or a grass roots lobbying campaign. Date Name of Individual or Committee Receiving Contribution Source of Contribution Source of Contribution Amount **S **It contributions were made directly by a political action committee associated, affiliated or sponsored by your employer, show name of the PAC below. (Information reported by PAC on C-4 report need not be again included in this L-2 report.) Continued on attached pages. PAC Name: 17. Expenditures for: a) political advertising supporting or opposing a state or local candidate or ballot measure; or b) public relations, telemarketing, polling or similar activities that directly or indirectly are lobbying-related must be itemized by amount, vendor or person receiving payment, and a brief description of the activity. Itemize each expenditure on an attached page that also shows lobbyis tame and report date. Put the aggregate total of these expenditures on line 8. 18. Payments by the lobbyist for other lobbying expenses and services, including payments to subcontract lobbyists, expert witnesses and others retained to provide lobbying services of assistance in lobbying and payments for grass roots lobbying campaigns (except advertising/printing costs listed in Item 7). Date Recipient's Name and Address Employer for Whom Expense was Incurred Amount		. •							
N/A Total contributions itemized on attached Memo Reports If contributions were made directly by a political action committee associated, affiliated or sponsored by your employer, show name of the PAC below. (Information reported by PAC on C-4 report need not be again included in this L-2 report.) Continued on attached pages. PAC Name: 17. Expenditures for: a) political advertising supporting or opposing a state or local candidate or ballot measure; or b) public relations, telemarketing, polling or similar activities that directly or indirectly are lobbying-related must be itemized by amount, vendor or person receiving payment, and a brief description of the activity. Itemize each expenditure on an attached page that also shows lobbyist name and report date. Put the aggregate total of these expenditures on line 8. 18. Payments by the lobbying for other lobbying expenses and services, including payments to subcontract lobbyists, expert witnesses and others retained to provide lobbying services or assistance in lobbying and payments for grass roots lobbying campaigns (except advertising/printing costs listed in Item 7). Date Recipient's Name and Address Employer for Whom Expense was Incurred Amount	state or stat	andidates or elected officials; local and state officers or employees; e ballot proposition. If a contribution exceeding \$25 was given to the	political committees supporting or opposing a	ny candidate, elected official, officer or	employee or any local				
N/A Total contributions itemized on attached Memo Reports If contributions were made directly by a political action committee associated, affiliated or sponsored by your employer, show name of the PAC below. (Information reported by PAC on C-4 report need not be again included in this L-2 report.) Continued on attached pages. PAC Name: 17. Expenditures for: a) political advertising supporting or opposing a state or local candidate or ballot measure; or b) public relations, telemarketing, polling or similar activities that directly or indirectly are lobbying-related must be itemized by amount, vendor or person receiving payment, and a brief description of the activity. Itemize each expenditure on an attached page that also shows lobbyist name and report date. Put the aggregate total of these expenditures on line 8. 18. Payments by the lobbying for other lobbying expenses and services, including payments to subcontract lobbyists, expert witnesses and others retained to provide lobbying services or assistance in lobbying and payments for grass roots lobbying campaigns (except advertising/printing costs listed in Item 7). Date Recipient's Name and Address Employer for Whom Expense was Incurred Amount	Date	Name of Individual or Committee Receiving Contribution	Source of Con	tribution	Amount				
If contributions were made directly by a political action committee associated, affiliated or sponsored by your employer, show name of the PAC below. (Information reported by PAC on C-4 report need not be again included in this L-2 report.) Continued on attached pages. PAC Name:					\$				
on C-4 report need not be again included in this L-2 report.) Continued on attached pages. PAC Name: 17. Expenditures for: a) political advertising supporting or opposing a state or local candidate or ballot measure; or b) public relations, telemarketing, polling or similar activities that directly or indirectly are lobbying-related must be itemized by amount, vendor or person receiving payment, and a brief description of the activity. Itemize each expenditure on an attached page that also shows lobbyist name and report date. Put the aggregate total of these expenditures on line 8. 18. Payments by the lobbyist for other lobbying expenses and services, including payments to subcontract lobbyists, expert witnesses and others retained to provide lobbying services of assistance in lobbying and payments for grass roots lobbying campaigns (except advertising/printing costs listed in Item 7). Date Recipient's Name and Address Employer for Whom Expense was Incurred Amount	N/A	Total contributions itemized on attached Memo Reports							
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directly or indirectly are lobbying-related must be itemized by amount, vendor or person receiving payment, and a brief description of the activity. Itemize each expenditure on an attached page that also shows lobbyist name and report date. Put the aggregate total of these expenditures on line 8. 18. Payments by the lobbyist for other lobbying expenses and services, including payments to subcontract lobbyists, expert witnesses and others retained to provide lobbying services of assistance in lobbying and payments for grass roots lobbying campaigns (except advertising/printing costs listed in Item 7). Date Recipient's Name and Address Employer for Whom Expense was Incurred Amount			_						
assistance in lobbying and payments for grass roots lobbying campaigns (except advertising/printing costs listed in Item 7). Date Recipient's Name and Address Employer for Whom Expense was Incurred Amount	directl attach	or indirectly are lobbying-related must be itemized by amount, veno ad page that also shows lobbyist name and report date. Put the ago	dor or person receiving payment, and a brief de pregate total of these expenditures on line 8.	escription of the activity. Itemize each	expenditure on an				
					e lobbying services or				
	Date	Recipient's Name and Address	Employer for Whom Exp	ense was Incurred	Amount				
Continued on attached page.	□ Contin				\$				



711 CAPITOL WAY RM 206
PO BOX 40908
OLYMPIA WA 98504-0908
(360) 753-1111
TOLL FREE 1-877-601-2828
EMAIL: pdc@pdc.wa.gov

F-1
SUPPLEMENT
(2/15)

PDC FORM

SUPPLEMENT PAGE PERSONAL FINANCIAL AFFAIRS STATEMENT

	First	Middle Initial	DATE
OFFICE HEL BUSINESS INTERESTS:	dependents	, , , , , , , , , , , , , , , , , , , ,	our spouse, registered domestic partner or
INTERESTS.	organization, union, pa (2) were a partner or mer	rtnership, joint venture or other entity; and	or /or /or /or /or /or /or /or /or /or /
•	Legal Name: Report name used on legal	•	, ,
•	Trade or Operating Name: Report name	used for business purposes if different from	m the legal name.
•	Position or Percent of Ownership: The of	fice, title and/or percent of ownership held	
•	Brief Description of the Business/Organiza	ation: Report the purpose, product(s), and	d/or the service(s) rendered.
•	Payments from Governmental Unit: If the entity concerning which you're reporting,	e governmental unit in which you hold or show the purpose of each payment and the	seek office made payments to the business e actual amount received.
	proprietorship, union, association, busine	ess or other commercial entity and each on of \$12,000 or more during the period to	n corporation, partnership, joint venture, sole government agency (other than the one you othe entity. Briefly say what property, goods,
•	Washington Real Estate: Identify real est	ate owned by the business entity if the qua	alifications referenced below are met.
ENTITY NO. 1		Reporting For:	Self Spouse
		Registered	Domestic Partner Dependent
LEGAL NAME:		POSITION	OR PERCENT OF OWNERSHIP
TRADE OR OPERATING N	IAME:		
ADDRESS:			
BRIEF DESCRIPTION OF	THE BUSINESS/ORGANIZATION:		
	EIVED FROM GOVERNMENTAL UNIT IN e of payments		Amount (actual dollars)
·			φ.
			\$
PAYMENTS ENTITY RECE Agency	EIVED FROM OTHER GOVERNMENT AG name:		Purpose of payment (amount not required)

Check here $\hfill \square$ if continued on attached sheet

CONTINUE PARTS B AND C ON NEXT PAGE

F-1 Supplement

Name		
ENTITY NO. 2	Reporting For:	Self Spouse Domestic Partner Dependent
LEGAL NAME:	POSITION	OR PERCENT OF OWNERSHIP
TRADE OR OPERATING NAME:		
ADDRESS:		
BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION:		
PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UN Purpose of payments		Amount (actual dollars)
PAYMENTS ENTITY RECEIVED FROM OTHER GOVERNMEN	IT AGENCIES OF \$12,000 OP MORE	
Agency name:		Purpose of payment (amount not required)
PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTOME Customer name:		Purpose of payment (amount not required)
WASHINGTON REAL ESTATE IN WHICH ENTITY HELD A D and assessed value of property is over \$24,000. List street addresses the continued on attached sheet		
LOBBYING: prepared state legislation or sta	any immediate family member, including te rules, rates, or standards for compensati nich you are an elected official or profession	on or deferred compensation. Do not list
Person to Whom Services Rendered	Description of Legislation, Rules, Etc.	Compensation (Use Code)
Check here ☐ if continued on attached sheet		
TRAVEL portion of the following items to the seminars thereof: 1) Food and beverages	te other than your own governmental agen- to you, your spouse, registered domestic p costing over \$50 per occasion, excluding of 3) Seminars, educational programs or othe	partner or dependents, or a combination sertain receptions as defined in WAC 390-
Date Donor's Name, City and State Received	Brief Description	Actual Dollar Value Amount (Use Code)
		\$
Check here ☐ if continued on attached sheet		

WAC 390-20-020A

L-2 Reporting Guide For Entertainment, Receptions, Travel and Educational Expenditures

Typical Expenditures* (Only permitted if receipt could not reason expected to influence the performance of the or employee's official duties.)	ably be In	xpense ncluded n Line 5	Expense Included on Line 15	Give Copy of L-2 or Memo Report to Elected Official
Entertaining State Officials, Employees or The Families:	eir			
 Any type of entertainment occasion costing less 	\$50 o <u>r</u>	Yes	No	No
■ Breakfast, lunch or dinner for legislator or o official or employee [singly, or in conjunctio family member(s)] and total cost for occasio ○ \$50 or less ○ More than \$50, and amount attributable legislator/family is more than \$50	n with on is:	Yes Yes	No Yes	No Yes
☐ Tickets to theater, sporting events, etc. cosless	ting \$50 or	Yes	Yes	No
Golf outing at which no more than \$50 was each official, including any member(s) of the family		Yes	Yes	No
Receptions:	<u>'</u>			
Reception to which the entire legislature, all of a chamber, or any of the two largest cause recognized in each chamber are invited and Sponsored by a person other than a loo Attended by individuals other than legislobby	cuses d is: bbyist; slators,	Yes	Yes, except that a per- person cost is not required	No
☐ All other receptions		Yes	Yes	Yes, if the food and beverage cost for the legislator and family members exceeds \$50
Travel-Related Expenditures for Officials, Em	oloyees:			
☐ Travel, lodging, meals for office-related app speech at lobbyist employer's annual confe		Yes	Yes	Yes
Travel, lodging, meals for office-related tou employer's manufacturing plant or other face		Yes	Yes	Yes

Educational Expenditures for Officials, Employees:			
Travel, lodging, meals, tuition to attend seminar sponsored by non-profit organization	Yes	Yes	Yes
Other Lobbying-Related Items:			
☐ Flowers costing any amount to officials, staff and/or family	Yes	No	No
☐ Candy costing \$50 or less per official or employee	Yes	No	No
☐ Golf balls, coffee cups or other promotional Items	Yes	No	No
☐ Fruit baskets costing \$50 or less per official or employee	Yes	No	No

Note: References to employees or staff do not constitute authority to provide impermissible items to regulatory, contracting or purchasing employees.



PROPOSED RULE MAKING

CR-102 (June 2012)
(Implements RCW 34.05.320)
Do NOT use for expedited rule making

Agency: Public Disclosure Commission							
Preproposal Statement of Inquiry was filed as WSR 14-14-001 : Expedited Rule MakingProposed notice was filed as WSR Proposal is exempt under RCW 34.05.310(4) or 34.05.330(1).	; or ; or	X Original Notice Supplemental Notice to WSR Continuance of WSR					
Fitle of rule and other identifying information: (Describe Subject) WAC 390-16-071 Annual report of major contributors and persons making independent expenditures WAC 390-20-110 Forms for lobbyist employers report WAC 390-24-010 Forms for statement of financial affairs WAC 390-24-020 Forms for amending statement of financial affairs WAC 390-24-202 Report of compensation from sales commission WAC 390-24-301 Changes in dollar amounts of reporting thresholds and value codes							
Hearing location(s): 711 Capitol Way, Rm. 206 Olympia, WA 98504	Submit written comments to: Name: Lori Anderson Address (mail) P O Box 40908, Olympia, WA 98504-0908 (physical address) 711 Capitol Way, Rm. 206, Olympia, WA e-mail lori.anderson@pdc.wa.gov fax (360)753-1112 by (date) November 26, 2014						
Date: <u>December 4, 2014</u> Time: <u>9:30 AM</u>		persons with disabilities: Contact mail at jana greer@pdc.wa.gov or telephone					
Date of intended adoption: December 4, 2014 (Note: This is NOT the effective date)	TTY()	or (360) <u>753-1980</u>					
 Purpose of the proposal and its anticipated effects, including any changes in existing rules: The dollar amounts used for disclosing financial affairs of candidates, elected officials, and executive state officers are being adjusted for inflation. Proposed amendments to WACs 390-16-071, 390-20-110, 390-24-010, 390-24-020, 390-24-202, and 390-24-301 adjust dollar amounts as directed by RCW 42.17A.125(2). Additionally, instructions pertaining to disclosure of legislative reception food and beverage are inserted in WACs 390-20-010 and 390-20-020 (PDC Forms F-1 and F-1A, respectively).* * Under separate notice, the Commission has scheduled a December 4, 2014 hearing to consider rules relating to lobbyist disclosure. That rule making necessitates a proposed "housekeeping" amendment to WACs 390-24-010 and 390-24-020 to insert instructions on the F-1 and F-1A forms explaining that disclosing the cost of food and beverage served at a qualifying legislative reception is not required. Reasons supporting proposal: RCW 42.17A.125(2) authorizes the Commission to make inflationary adjustments to these dollar amounts at least once every five years. Stakeholders have encouraged the Commission to make the proposed adjustments, since it has been six years since the Commission's last adjustments. 							
Statutory authority for adoption: RCWs 42.17A.110 and 42.17A.125(2)	Statute being i	mplemented: RCW 42.17A.710 CODE REVISER USE ONLY					
Is rule necessary because of a: Federal Law? Federal Court Decision? State Court Decision? If yes, CITATION: Yes X No Yes X No Yes X No	DA	OFFICE OF THE CODE REVISER STATE OF WASHINGTON FILED ATE: October 22, 2014					
DATE October 22, 2014		ME: 8:44 AM					
NAME (type or print) Lori Anderson	W	/SR 14-21-168					
SIGNATURE Hari Andusan							
TITLE Communications & Training Officer							

Agency cor matters:	nments or recommendation	ons, if any, as to statutory language, implementation, e	enforcement, and fiscal				
No increased	costs to the agency are expected	ed.					
Name of pro	oponent: (person or organiz	zation) Public Disclosure Commission	☐ Private ☐ Public X Governmental				
Name of ag	ency personnel responsib	le for:					
	Name	Office Location	Phone				
	Lori Anderson	711 Capitol Way, Rm. 206, Olympia, WA 98504	(360) 664-2737				
Implementation	onLori Anderson	711 Capitol Way, Rm. 206, Olympia, WA 98504	(360) 664-2737				
Enforcement.	Andrea Doyle	711 Capitol Way, Rm. 206, Olympia, WA 98504	(360) 664-2735				
		ct statement been prepared under chapter 19.85 RCW d under section 1, chapter 210, Laws of 2012?	or has a school district				
☐ Yes.	Attach copy of small busine	ss economic impact statement or school district fiscal imp	act statement.				
Į.	A copy of the statement may	he obtained by contacting:					
,	Name:	be obtained by contacting.					
	Address:						
	phone ()						
	fax ()						
	e-mail						
	xplain why no statement was	s prepared. hts has minimal impact on small business. The PDC is not subject that the properties of th	ect to the requirement to				
-		nent, per RCWs 28A.305.135 and 34.05.320.	set to the requirement to				
Is a cost-be	enefit analysis required un	der RCW 34.05.328?					
☐ Yes		analysis may be obtained by contacting:					
□ 163	Name:	analysis may be obtained by contacting.					
	Address:						
	phone ()						
	fax ()	_					
	e-mail						
X No: Ple	X No: Please explain: A cost-benefit analysis is not required under RCW 34.05.328. The PDC is not an agency listed in subsection						

X No: Please explain: A cost-benefit analysis is not required under RCW 34.05.328. The PDC is not an agency listed in subsection (5)(a)(i) of RCW 34.05.328. Further, the PDC does not voluntarily make the section applicable to the adoption of these rules pursuant to subsection (5)(a)(ii) and, to date, the joint administrative rules review committee has not made the section applicable to the adoption of these rules.

NEW SECTION

- WAC 390-24-150 Definition--Officer. (1) For the purposes of RCW 42.17A.710 (1)(g) and WAC 390-24-010, the term "officer" means and includes:
- (a) President, vice-president, secretary, treasurer, or some derivation thereof;
 - (b) One who holds a corporate office; or
- (c) An individual who holds a position described as an officer in a corporation's bylaws or who is appointed by the board of directors in accordance with the bylaws.
- (2) An individual who has been given the title of "officer" to denote a managerial job classification is not an officer for the purposes of RCW 42.17A.710 (1)(g) and WAC 390-24-010.

AMENDATORY SECTION (Amending WSR 12-03-002, filed 1/4/12, effective 2/4/12)

- WAC 390-16-071 Annual report of major contributors and persons making independent expenditures. (1) Any person, other than an individual (a) who made contributions to state office candidates and statewide ballot proposition committees totaling more than the aggregate amount during the preceding calendar year for contributions referenced in WAC 390-05-400, code section .180(1), or (b) who made independent expenditures regarding state office candidates and statewide ballot propositions totaling more than the aggregate amount during the preceding calendar year for independent expenditures referenced in WAC 390-05-400, code section .180(1), shall file with the commission an annual report required pursuant to RCW 42.17A.630. This report shall not be required of a lobbyist employer filing an annual L-3 report pursuant to RCW 42.17A.630 or of a candidate's authorized committee or a political committee provided the information has been properly reported pursuant to RCW 42.17A.235 and 42.17A.240.
- (2) The report is entitled "Special Political Expenditures" and is designated "C-7" revised $((\frac{12}{08}))$ $\frac{11}{14}$. Copies of this form are available on the commission's web site, www.pdc.wa.gov, and at the Commission Office, Room 206, Evergreen Plaza Building, Olympia, Washington 98504. Any attachments shall be on 8-1/2" x 11" white paper.

[1] OTS-6531.1

DISCLOSURE COMMISSION 711 CAPITOL WAY RM 206 PO BOX 40908 OLYMPIA WA 98504-0908 Special Political Expenditures

PDC OFFICE USE

_		OE11011 IA WA 30304-0300		Expondi	ta. oo	12/08		
		(360) 753-1111 TOLL FREE 1-877-601-2828						
1.	Name (Use comple	ete company, association, union o	r entity name.)					
			,					
	Attention (Identify)	person to whom inquiries about th	e information b	elow should be direc	ed.)			
	Mailing Address				Telephone			
					() -			
	City		State		Zip + 4			
	Oity		Otate		2.p · 4			
the	previous calenda	BE FILED BY THE <u>LAST DAY O</u> r year for the types of activities ached instructions.						ollow the
<u>Sur</u>	mmary of Expendi	tures						Amount
2.	Political contribution	ons to candidates for legislative or	statewide exec	cutive office, committe	ees supporting or op	posing these		
		nmittees supporting or opposing s						
	a	tributions made by the filer						
	a. Aggregate con	tributions made by the filer.						
		were made by a political committ nation reported by the PAC on C-4						
3.	Independent eyner	nditures supporting or opposing a	candidate for le	anielative or etatewick	e executive office or	a statewide hallot	. –	
		ggregate amount. Also complete		egislative of statewide	e executive office of	a statewide ballot		
		ntertainment, gifts, tickets, passes d to legislators, state officials, stat plete Item 10.					i	
		on behalf of legislators, state offic slator or official. Show aggregate			for the purpose of ir	nfluencing, honorir	ng or	
		s related to lobbying state officials g each expense. Show date, recip			gh or on behalf of a	registered lobbyis	t.	
							_	
					7. Tota	I Reportable Exp	_	
						(Items 2	.hru 6)	
Itau	nined Evenediture					<u> </u>		
8.		ing over \$25 to a legislative or sta ing or opposing a statewide ballot		ve office candidate, a	committee formed t	o support or oppo	se one of the	se candidates or a
		Name of Recipient		1	Amount I		Date	
				\$				
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	Information continued	d on attached pages						\
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				PDC OFFICE USE
PUBLIC DIS	CLOSURE COMMISSION 711 CAPITOL WAY RM 206	Special Politic	al C-	7
	PO BOX 40908			f
	OLYMPIA WA 98504-0908 (360) 753-1111	Expenditures	11/14	4
	(360) 753-1111 TOLL FREE 1-877-601-2828			
Name (Use com	plete company, association, union or entity	name.)	•	
Attention (Identif	y person to whom inquiries about the inform	nation below should be directed.)		
Mailing Address		Telephor	ie .	
		() -	
City	\$	State Zip + 4		
the previous calend	BT BE FILED BY THE <u>LAST DAY OF FEBF</u> dar year for the types of activities describ ttached instructions.			
Summary of Expen	<u>ditures</u>			Amount
	tions to candidates for legislative or statewionmittees supporting or opposing statewide			se
a. Aggregate co	ontributions made by the filer.			
	ns were made by a political committee asso rmation reported by the PAC on C-4 reports C			AC name
	penditures supporting or opposing a candidate aggregate amount. Also complete Item 9.	te for legislative or statewide executiv	e office or a statewide	b ballot
	entertainment, gifts, tickets, passes, transp ded to legislators, state officials, state emplo mplete Item 10.			
Expenditures to benefiting the leg	or on behalf of legislators, state officials, the gislator or official. Show aggregate amount	eir spouses and dependents for the pu Also complete Item 13.	rpose of influencing, h	nonoring or
	res related to lobbying state officials, whether ing each expense. Show date, recipient, pu		ehalf of a registered l	obbyist.
			7. Total Reportable	e Expenses
			•	ems 2 thru 6)
Itemized Expenditu	irae			
8. Contributions tot	aling over \$25 to a legislative or statewide e orting or opposing a statewide ballot measu		e formed to support or	r oppose one of these candidates or a
	Name of Recipient	Amount		Date
		\$		
☐ Information continu	ued on attached pages			

[3]

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Independent expenditures in support of or opposition instructions for definition of "independent expenditure"		executive office can	didate or b) a statewide ballot measure. See
Candidate's Name, Office Sought & Ballot Measure & Brief Descrip		Amount	Date and Description of Expense (Note if Support or Oppose)
		\$	
☐ Information continued on attached pages			
10. Entertainment, gifts, tickets, passes, transportation a	ind travel expenses (including m	neals, lodging and re	lated expenses) provided to legislators, state officials,
state employees and members of their immediate familie Name and Title	9S.	Cost or	Date and Description of Entertainment,
,		Value	Gift or Travel
		\$	
_			
Information continued on attached pages11. Compensation of \$2,000 or more during the precedir	ng calendar year for employmen	t or professional ser	vices haid to state elected officials, successful
candidates for state office and each member of their		it of professional ser	vices paid to state elected officials, successful
Name	Relationship to Candidate or	Amount (Code)	Description of Consideration or Services Exchanged
	Official, if Family Member	(Code)	for Compensation
D left-marking continued on attached access			
Information continued on attached pages 12. Compensation of \$2,000 or more during the precedir	ag calandar yoar for professions	l sorvices paid to an	v corporation, partnership, joint venture, association or
other entity in which state elected official, successful			
interest of 10% or more.			
Firm Name	Person's Name	Amount (Code)	Description of Consideration or Services Exchanged for Compensation
		() ()	
		\	
☐ Information continued on attached pages			
13. Any expenditure, not otherwise reported, made direct	tly or indirectly to a state elected	l d official, successful	candidate for state office or member of their
immediate family, if made to honor, influence or bene	efit the person because of his or	·	
Name		Amount	Date and Description of Expense
		\$	
☐ Information continued on attached pages			
	arv-treasurer or similar officer of	reporting entity.	
14. This report must be certified by the president, secretary-treasurer or similar officer of Certification: I certify that this report is true, complete and correct to the best of		Signature of Office	or Date
my knowledge.		Signature of Office	Date
Printed Name and Title of Officer:			
Thinked Ivallie and Title of Officer.			
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[4] OTS-6531.1

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Independent expenditures in support of or opposition instructions for definition of "independent expenditure		executive office can	didate or b) a statewide ballot measure. See
Candidate's Name, Office Sought & Ballot Measure & Brief Descri		Amount \$	Date and Description of Expense (Note if Support or Oppose)
		Ť	
Information continued on attached pages 10. Entertainment, gifts, tickets, passes, transportation a	and travel expenses (including m	leals, lodging and re	lated expenses) provided to legislators, state officials,
state employees and members of their immediate familie Name and Title	es.	Cost or	Date and Description of Entertainment,
ivanie and file		Value	Gift or Travel
		\$	
☐ Information continued on attached pages			
11. Compensation of \$2,400 or more during the preceding		t or professional ser	vices paid to state elected officials, successful
candidates for state office and each member of their Name	immediate family. Relationship to Candidate or	Amount	Description of Consideration or Services Exchanged
	Official, if Family Member	(Code)	for Compensation
☐ Information continued on attached pages			
12. Compensation of \$2,400 or more during the preceding other entity in which state elected official, successful interest of 10% or more.			
Firm Name	Person's Name	Amount (Code)	Description of Consideration or Services Exchanged for Compensation
		(8848)	ioi compensation
☐ Information continued on attached pages			
13. Any expenditure, not otherwise reported, made direct	tly or indirectly to a state elected	d official, successful	candidate for state office or member of their
immediate family, if made to honor, influence or ben Name	efit the person because of his or	her official position. Amount	Date and Description of Expense
name			Date and Description of Expense
		\$	
☐ Information continued on attached pages			
14. This report must be certified by the president, secret	ary-treasurer or similar officer of	reporting entity.	
Certification: I certify that this report is true, comple	te and correct to the best of	Signature of Office	er Date
my knowledge.			
Printed Name and Title of Officer:			

AMENDATORY SECTION (Amending WSR 09-01-063, filed 12/11/08, effective 1/11/09)

WAC 390-20-110 Forms for lobbyist employers report. The official form for statement by employers of registered lobbyists as required by RCW 42.17.180 is designated "L-3," revised $((\frac{1}{109}))$ $\frac{11}{14}$. Copies of this form are available on the commission's web site, www.pdc.wa.gov, and at the Commission Office, 711 Capitol Way, Room 206, Evergreen Plaza Building, P.O. Box 40908, Olympia, Washington, 98504-0908. Any paper attachments shall be on 8-1/2" x 11" white paper.

	PO BOX 4090 OLYMPIA WA (360) 753-1111 TOLL FREE 1-8	WAY RM 206 08 4 98504-0908 377-601-2828	Emplo Lobbying		L3	THIS SPA	ACE FOR OFFICE USE
. Employer's Nan	me (Use complete comp	any, association, union of	r entity name.)				
`		uiries about the informati	ion below should be directed;	NOT the lobbyist.)			
Mailing Address	s			Telephone () -			
City		State	Zip + 4	E-Mail Address		Year Report	Covers
Washington State I 2. Identify each of (plus obligated) legislative candi	Legislature and/or any your lobbyists/lobbying for other lobbying relat	tate agency. Complete g firms below. In column ed expenses that were may or entertainment expenses	BRUARY. Include expendit e all sections. Use "none" or n 1, show the full amount of state and by or through the lobbyist s, etc.). Compute the subtotals	"0" when applicable. alary or fee each earned for l and reported by the lobbyist	obbying. In co	olumn 2, show L-2 report (e.	the full amount paid g., contributions to
		ments were to lobbying	firm, list firm name)	Col 1-Salary	Col:	2-Other	Total Amount
			\	Ψ	Ψ		Ψ
			Total From Attached	Page			
-					es By or Throu	gh Lobbyjete	\$
Information contin	nued on attached pages		\		o by or rimou,	gii Loodyisis	
O NOT INCLUD	E EXPENDITURES A	L DEADY ACCOUNT	ED EOR IN ITEM A AROVI		through 7 hol	ow.	
			ED FOR IN ITEM 2 ABOVI	E when completing Items 3	through 7 bel	ow.	
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OTS-6530.1

PUBLIC DISCLOSURE COMMISSION 711 CAPITOL WAY RM 206 PO BOX 40908 OLYMPIA WA 98504-0908 (360) 753-1111 TOLL FREE 1-877-601-2828	Employe Lobbying E	er's xpenses	L3	THIS SPA	ACE FOR OFFICE USE	
Employer's Name (Use complete company, association, union	or entity name.)					
Attention (Identify person to whom inquiries about the information)	ation below should be directed; NOT	the lobbyist.)				
Mailing Address		Telephone () -				
City State	Zip + 4	E-Mail Address		Year Report	Covers	
THIS REPORT MUST BE FILED BY THE LAST DAY OF F Washington State Legislature and/or any state agency. Compl			ng the previou	s calendar y	ear for lobbying the	
 Identify each of your lobbyists/lobbying firms below. In column 1, show the full amount of salary or fee each earned for lobbying. In column 2, show the (plus obligated) for other lobbying related expenses that were made by or through the lobbyist and reported by the lobbyist on the monthly L-2 report (e.g., c legislative candidates, reimbursement for entertainment expenses, etc.). Compute the subtotals across and down the columns; put the grand total of expenses through lobbyists in the space designated. 						
Names of Registered Lobbyists (if payments were to lobbyin	g firm, list firm name)	Col 1-Salary	Col 2-	Other	Total Amount	
		4	Ψ		y	
	Total From Attached Pag	,				
☐ Information continued on attached pages		Total Expenses	By or Through	Lobbyists	\$	
DO NOT INCLUDE EXPENDITURES ALREADY ACCOUN	TED FOR IN ITEM 2 ABOVE wh	en completing Items 3 t	hrough 7 belov	v.		

3. Other expenditures made by the employer for lobbying purposes. Show total expenditures made/accrued: a. to vendors on behalf of or in support of registered lobbyists (e.g., entertainment credit card purchases); b. to or on behalf of expert witnesses or others retained to provide lobbying services who offer specialized knowledge or expertise that assists the employer's lobbying effort; c. for entertainment, tickets, passes, travel expenses (e.g., transportation, meals, lodging, etc.) and enrollment or course fees provided to legislators, state officials, state employees and members of their immediate families; (Also complete Item 9.) d. for composing, designing, producing and distributing informational materials for use primarily to influence legislation; and e. for grass roots lobbying expenses, including those previously reported by employer on Form L-6, and payments for lobbying communications to clients/customers (other than to corporate stockholders and members of an organization or union). 4. Political contributions to candidates for legislative or statewide executive office, committees supporting or opposing these candidates, or committees supporting or opposing statewide ballot measures. (Also complete Item 10.) a. Contributions made directly by the employer, including those previously reported on PDC Form L-3c. If contributions were made by a political committee associated, affiliated or sponsored by the employer, show the PAC name below. (Information reported by the PAC on C-4 reports need not be again included as part of this L-3 report.) Name of PAC Independent expenditures supporting or opposing a candidate for legislative or statewide executive office or a statewide ballot measure. (Also complete Item 11.) Expenditures to or on behalf of legislators, state officials, or their spouse, registered domestic partner and dependents for the purpose of
influencing, honoring or benefiting the legislator or official. (Normal course of business payments are not reportable.) (Also complete Item 14.) Other lobbying-related expenditures, whether through or on behalf of a registered lobbyist. Attach list itemizing each expense (i.e., show date, recipient, purpose and amount). Do not include payments accounted for above. **Total Lobbying Expenses** (Items 2 thru 7) 8. This report must be certified by the president, secretary-treasurer or similar office of lobbying employer. Certification: I certify that this report is true, complete and correct to the best of my Signature of Employer Officer Date knowledge.

Printed Name and Title of Officer:

[3] OTS-6530.1

CONTINUE ON REVERSE

Employer's Name		Year	report covers:
Entertainment, tickets, passes, travel expenses (including tr employees and members of their immediate families. See i) and enrollment or co	urse fees provided to legislators, state officials, state
Name and Title		Cost or Value	Date and Description of Expense
		S	
		3	
Information continued on attached pages 10. Contributions (not reported by the lobbyist) totaling over \$:	25 to a legislative or statewide ev	ecutive office candida	te a committee formed to support or appase one of these
candidates or a committee supporting or opposing a statewi			
Name of Recipient		Amount	Date (and, if In-Kind, Description)
		\$	
		Φ	
Information continued on attached pages	a lagis ativa ar statavvida avaautiv	a office condidate on h) a statavvida hallot proposition
11. Independent expenditures in support of or opposition to a) a See instruction manual for definition of "independent ex		e office candidate of t	o) a statewide barrot proposition.
Candidate's Name, Office Sought & P	Party or	Amount	Date and Description of Expense
Ballot Proposition Number & Brief Des	scription		(Note if Support or Oppose)
		S	
Information continued on attached pages 12. Compensation of \$2,000 or more during the preceding cale	ndar year for employment or prof	essional services naid	to state elected officials, successful candidates for state
office and each member of their immediate family.	indai year for employment of pro-	\	to state elected officials, successful califidates for state
Name	Relationship to Candidate or	Amount (Code)**	Description of Consideration or Services Exchanged for
	Elected Official if Member of Family		Compensation
	1 unity		
☐ Information continued on attached pages			
13. Compensation of \$2,000 or more during the preceding cale in which state elected official, successful state candidate or			
Firm Name	Person's Name	Amount (Code)**	Description of Consideration or Services Exchanged for
			Compensation
Information continued on attached pages	:- 1:	1	
14. Any expenditure, not otherwise reported, made directly or i made to honor, influence or benefit the person because of h		ii, successiui candidate	e for state office of member of their immediate family, if
Name	r	Amount	Date and Purpose
		2	
_			
Information continued on attached pages			
**DOLLAR	INITE	**DOLL	
CODE AMOU		CODE	
A - \$1 to \$3,999 B - \$4,000 to \$			\$40,000 to \$99,999 \$100,000 or more
C - \$20,000 to \$		E-	\$100,000 of more
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Page 2

Employer's Name Year report covers:						
Entertainment, tickets, passes, travel expenses (including	turnous autotion, morale ladaine, etc.) and annullment on an	for a manifold to local store atota officials atota			
employees and members of their immediate families. Se) and enrollment or co	surse fees provided to legislators, state officials, state			
Name and Title		Cost or Value	Date and Description of Expense			
		\$				
Information continued on attached pages 10. Contributions (not reported by the lobbyist) totaling over	£25.4					
candidates or a committee supporting or opposing a state						
Name of Recipient		Amount	Date (and, if In-Kind, Description)			
Traine of Reespient		1 amount	Zano (ana, n in rama, zecempuon)			
		\$				
Information continued on attached pages						
11. Independent expenditures in support of or opposition to a		e office candidate or b	b) a statewide ballot proposition.			
See instruction manual for definition of "independent		ı	I B. IB III en			
Candidate's Name, Office Sought & Ballot Proposition Number & Brief D		Amount	Date and Description of Expense (Note if Support or Oppose)			
Banot Proposition Number & Brief B	escription		(Note if Support of Oppose)			
		\$				
☐ Information continued on attached pages						
12. Compensation of \$2,400 or more during the preceding ca	lendar year for employment or prof	essional services paid	to state elected officials, successful candidates for state			
office and each member of their immediate family.	L bus its a ris		In the court of the term			
Name	Relationship to Candidate or Elected Official if Member of	Amount (Code)**	Description of Consideration or Services Exchanged for Compensation			
	Family		Compensation			
☐ Information continued on attached pages						
13. Compensation of \$2,400 or more during the preceding ca	lendar year for professional service	s paid to any corporat	ion, partnership, joint venture, association or other entity			
in which state elected official, successful state candidate	or member of their immediate famil	y hold office, partners	ship, directorship or ownership interest of 10% or more.			
Firm Name	Person's Name	Amount (Code)**	Description of Consideration or Services Exchanged for			
			Compensation			
☐ Information continued on attached pages						
14. Any expenditure, not otherwise reported, made directly o	r indirectly to a state elected officia	l, successful candidate	e for state office or member of their immediate family, if			
made to honor, influence or benefit the person because of	his or her official position.					
Name		Amount	Date and Purpose			
		s				
		2				
☐ Information continued on attached pages						
		4450**	1.			
**DOLLAR CODE AMO	DUNT	**DOLI CODE				
A - \$1 to \$4,4			\$48,000 to \$119,999			
B - \$4,500 to C - \$24,000 to		Е-	\$120,000 or more			

INFORMATION CONTINUED
(Use this page if you need additional space for Items 2 or 9)
Employer's Name

2. Names of Registered Lobbyists	Col 1-Salary	Col 2-Other	Total Amount
·	\$	\$	s
		+	
Total From This Page			
9. Entertainment, etc.			
Name and Title	Cost or Value	Date and Descripti	on of Expense
\$			

INFORMATION CONTINUED

L3

(Use this page if you need additional space for items 10 or 11)	i ear rep	port covers:
Contributions Name of Recipient	Amount	Date (and, if In-Kind, Description)
Name of Recipient		Date (and, if in-Kind, Description)
	\$	
. Independent expenditures	Amount	Date and Description of Expense
Candidate's Name, Office Sought & Party or Ballot Proposition Number & Brief Description	Amount	(Note if Support or Oppose)
	\$	
	3	

INFORMATION CONTINUED

L3

(Use this page if you need additional space for Items 12 th	ru 14)		
Employer's Name		Year	report covers:
12 Common action of \$2,400 on more for ampleyment ata			
12. Compensation of \$2,400 or more for employment, etc. Name	Relationship to Candidate or Elected Official if Member of Family	Amount (Code)**	Description of Consideration or Services Exchanged for Compensation
13. Compensation of \$2,400 or more for professional services			
Firm Name	Person's Name	Amount (Code)**	Description of Consideration or Services Exchanged for Compensation
14. Any expenditure not otherwise reported			
Name		Amount	Date and Purpose
		\$	
		Þ	
**DOLLAR CODE AMO	UNT	**DOLI CODE	AR AMOUNT
A - \$1 to \$4,4			\$48,000 to \$119,999
B - \$4,500 to \$ C - \$24,000 to		Е-	\$120,000 or more

<u>AMENDATORY SECTION</u> (Amending WSR 12-03-002, filed 1/4/12, effective 2/4/12)

WAC 390-24-010 Forms for statement of financial affairs. The official form for statements of financial affairs as required by RCW 42.17A.700 is designated "F-1," revised $((\frac{1}{12}))$ $\frac{1}{15}$. Copies of this form are available on the commission's web site, www.pdc.wa.gov, and at the Commission Office, 711 Capitol Way, Room 206, Evergreen Plaza Building, P.O. Box 40908, Olympia, Washington 98504-0908. Any paper attachments must be on 8-1/2" x 11" white paper.

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PUBLIC	711 CAPITOL WAY PO BOX 40908 OLYMPIA WA 9856 (360) 753-1111 TOLL FREE 1-877-	7 RM 206 04-0908	PDC FORM F-1 (1/12)			NANCIAL TEMENT	P M PE O A S R T K	OC OFFICE USE
Deadlines:	oction manual for detailed assistated incumbent elected and appoint Candidates and others with candidate or being newly app	ance and example inted officials I nin two weeks of pointed to a posi	by April 15. f becoming a tion.	DOLLAR CODE A B C	AM \$1 to \$4,00 \$20,0 \$40,0	OUNT 0 \$3,999 00 to \$19,999 000 to \$39,999 000 to \$99,999	R E C E I V E D	
	ORT TO PUBLIC DISCLOSE	JRE COMMISS		E		0,000 or more		
Last Name	First		Middle	Initial	domestic par disclose for o in your hous	nmediate family me rtner. If there is no dependent childre ehold, do not iden egistered domestic	o reportable info n, or other depe tify them. Do id	rmation to ndents living entify your
	ess (Use PO Box or Work Addres	,						
City	Coun	ty	Zip + 4	1				
Filing Status	(Check only one box.)	$\overline{}$			Office Held of	or Sought		
_	ed or state appointed official filing	annual report			Office title:	or Gought		
_	port as an elected official. Term e				-			
_	te running in an election: month		year			district or agency	of the office,	
_	ppointed to an elective office		\ , -			d number:		
_	ppointed to a state appointive offi	ce			Position num Term begins		ends:	
_	ional staff of the Governor's Office		ure		renn begins	o. 	enus.	
1 Show Self (S) Spouse (SP/DP) Dependent (D)	INCOME List each employer member, includin received during the Name and Address of Employer Check Here	g registered do le reporting perio or Source of Con	mestic partner, rood that had a value	eceived \$2, e of \$2,000 o	000 or more or more. (Rep	during the peri	od. Include	stock options m 3.)
2			ssor's parcel num over \$10,000 in w					
_		rsonal financial i	interest during the					
Property Solo	or Interest Divested		Name and Address o	f Purchaser		Nature and Amoun Consideration Rec		Payment or
Property Pure	chased or Interest Acquired	C	Creditor's Name/Addi	ress Payn	nent Terms	Security Given	Mortgage Amou Original	nt - (Use Code) Current
All Other Pro	perty Entirely or Partially Owned							
Check here [if continued on attached sheet							
						co	NTINUE OI	N NEXT PAGE

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PUBLIC Refer to instruc	PO BOX 40908 OLYMPIA WA 985 (360) 753-1111 TOLL FREE 1-877.	Y RM 206 04-0908 -601-2828	PDC FORM F - 1 (1/15)	AFFAI	DNAL FINANCIAL RS STATEMENT	P M PDC OFFICE USE O A S R T K
Deadlines:	Incumbent elected and appo Candidates and others wit candidate or being newly ap	inted officials by hin two weeks of b	April 15. ecoming a	CODE A B C	AMOUNT \$1 to \$4,499 \$4,500 to \$23,999 \$24,000 to \$47,999 \$48,000 to \$119,999	C E I V E
SEND REPO	ORT TO PUBLIC DISCLOS	JRE COMMISSIO	N	E	\$120,000 or more	D
Last Name	First		Middle	e Initial	domestic partner. If there is disclose for dependent childr in your household, do not ide	ren, or other dependents living
Mailing Addres	ss (Use PO Box or Work Addre	ss) ⁻				
City	Cour	nty	Zip + 4	4		
Filing Status (Check only one box.)				Office Held or Sought	
_	d or state appointed official filin	•			Office title:	
☐ Final repo	rt as an elected official. Term	expired:			County, city, district or agend	cy of the office,
Candidate	running in an election: month		year _		name and number:	
☐ Newly app	pointed to an elective office				Position number:	
☐ Newly app	pointed to a state appointive off	ice			Term begins:	ends:
Professio	nal staff of the Governor's Offic	e and the Legislatur	re			
Show Self (S) Spouse (SP(DP) Dependent (D)	member, including received during to the control of the control o	ng registered dom ne reporting period r or Source of Comp	estic partner, r I that had a valu pensation	eceived \$2, e of \$2,400 c Occu Wa	100 or more during the per more. (Report interest an pation or How Compensation is Earned	Amount: (Use Code)
	REAL ESTATE real esta held a pe F-1 supp	e with value of oversonal financial in ement.)	er \$12,000 in w terest during th	hich you or e reporting	a family member, including period. (Show partnership,	or each parcel of Washington registered domestic partner, company, etc. real estate on
Property Sold o	or Interest Divested	Assessed Nar Value (Use Code)	me and Address o	f Purchaser	Nature and Amor	unt (Use Code) of Payment or eceived
		(
Property Purch	ased or Interest Acquired		editor's Name/Addi	ress Paym	ent Terms Security Given	Mortgage Amount - (Use Code) Original Current
	ased or Interest Acquired		editor's Name/Addi	ress Paym	ent Terms Security Given	

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3	ASSETS / INVESTMENTS - INTEREST / DIVIDENDS	intang		erty (inclu			policies, stock to stock option		
A.	Name and address of each bank or financial institution in which a family member, including registered domestic partner, has account over \$20,000 any time during the report period.	h you,		ccount or E	Description	of Asset	Asset Value (Use Code)	Income (Use (
В.	Name and address of each insurance company where you, a member, including registered domestic partner, had a policy cash or loan value over \$20,000 during the period.								
C.	Name and address of each company, association, governagency, etc. in which you, a tamily member, including regis domestic partner, owned or had a financial interest worth \$2,000. Include stocks, bonds, bywnership, retirement plan, notes, stock options, and other intangible property. If you, spouse, registered domestic partner and/or dependents had demaking authority regarding individual assets/investments list asset or investment, the value and any income amount. EXAN If you self-directed an investment account identify each stock or asset in that account.	over IRA, your cision each MPLE:							
Che	eck here ☐ if continued on attached sheet.								
4	CREDITORS List each creditor you or a family me more any time during the period. Do or real estate reported in Item 2.							AMO (USE (
	Creditor's Name and Address		Terr	ns of Paym	ent	Secur	ity Given	Original	Present
Che	eck here 🔲 if continued on attached sheet.								
	All filers answer questions A thru D below. If the answer is t of this report. If all answers are NO and you are a candidate cutive officer filing your initial report, no F-1 Supplement is re	for sta	te or loca	\					
	umbent elected officials and state executive officers filing an uired of these officeholders unless all answers to questions a			affairs rep	ort\also m	ust answer	question E. A	n F-1 Supp	lement is
Α.	At any time during the reporting period were you, your spouse, register corporation, company, union, association, joint venture or other entity o company or similar entity including but not limited to a professional limit	or (2) a pa	rtner or me	mber of any l	limited partr	ership, limited	l liability partnersh		
В.	Did you, your spouse, registered domestic partner or dependents have business at any time during the reporting period? If yes, complet				any compan	y, corporation	, partnership, joint	venture or o	ther
C.	Did you, your spouse, registered domestic partner or dependents own a			-		— `	f yes, complete Su		
D.	Did you, your spouse, registered domestic partner or dependents prepa compensation (other than pay for a currently-held public office) at any ti	ime during	g the report	ing period?_	If yes,	complete Sup	oplement, Part B.		
E.	Only for Persons Filing Annual Report. Regarding the receipt of item you, your spouse, registered domestic partner or dependents (or any cc Did any source other than your governmental agency provide or pay in attend a seminar or other training? If yes to either or both que	ombination whole or i	n thereof) a in part for y	ccept a gift o ou, your spou	of food or be use, register	verages costir	ng over \$50 per oo	casion?	_ or 2)
l _	L FILERS EXCEPT CANDIDATES. Check the appropriate box.			CERTIFIC			nder penalty contained in th	of perjury is report is	that the true and
	I hold a state elected office, am an executive state officer or prof have read and am familiar with RCW 42.52.180 regarding th resources in campaigns.			Signature			e best of my kno		
	I hold a local elected office. I have read and am familiar with R regarding the use of public facilities in campaigns.	CW 42.1	17A.555	Contact Te	elephone:	()*		Date	
	NDIDATES: Do not use public agency addresses or telephone no	umbers t	for	Email:				(work) * (Home)	Optional
con	tact information.						- WITHOUT F		

REPORT NOT ACCEPTABLE WITHOUT FILER'S SIGNATUR

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3	ASSETS / INVESTMENTS - INTEREST / DIVIDENDS		pperty (including but				
A.	Name and address of each bank or financial institution in which ye a family member, including registered domestic partner, had account over \$24,000 any time during the report period.	u, Type of	Account or Descriptio	n of Asset	Asset Value (Use Code)		Amount Code)
В.	Name and address of each insurance company where you, a fam member, including registered domestic partner, had a policy with cash or loan value over \$24,000 during the period.						
C.	Name and address of each company, association, governme agency, etc. in which you, a family member, including register domestic partner, owned or had a financial interest worth ov \$2,400. Include stocks, bonds, ownership, retirement plan, IR notes, stock options, and other intangible property. If you, yo spouse, registered domestic partner and/or dependents had decisi making authority regarding individual assets/investments list ea asset or investment, the value and any income amount. EXAMPL If you self-directed an investment account identify each stock other asset in that account.	ed rer A, our on ch E:					
Che	eck here ☐ if continued on attached sheet.						
4	List each creditor you or a family memb CREDITORS more any time during the period. I mortgages or real estate reported in Iter	Don't includ					OUNT CODE)
	Creditor's Name and Address		rms of Payment	Securi	ty Given	Original	Present
Che	eck here \square if continued on attached sheet.						
Incu	All filers answer questions A thru D below. If the answer is Y tof this report. If all answers are NO and you are a candidate fo cutive officer filing your initial report, no F-1 Supplement is requumbent elected officials and state executive officers filing an arequired of these officeholders unless all answers to questions A	r state or loc uired. inual financi	al office, an appoint	ee to a vacar	nt elective offic	ce, or a sta	te
A.	At any time during the reporting period were you, your spouse, registered do corporation, company, union, association, joint venture or other entity or (2) a company or similar entity including but not limited to a professional limited lia	a partner or me	mber of any limited partne	ership, limited lia	ability partnership,		ty
B.	Did you, your spouse, registered domestic partner or dependents have an or business at any time during the reporting period? If yes, complete Sup			, corporation, pa	artnership, joint ve	enture or othe	er
C.	Did you, your spouse, registered domestic partner or dependents own a business.	•					
D.	Did you, your spouse, registered domestic partner or dependents prepare, pr compensation (other than pay for a currently-held public office) at any time did	omote or oppos uring the reporti	se state legislation, rules, ng period? If yes, o	rates or standa complete Supple	rds for compensa ement, Part B.	tion or deferre	ed
E.	Only for Persons Filing Annual Report. Regarding the receipt of items not you, your spouse, registered domestic partner or dependents (or any combin any source other than your governmental agency provide or pay in whole or i a seminar or other training? If yes to either or both questions, compared to the provided of the provid	ation thereof) a n part for you, y	ccept a gift of food or bev our spouse, registered do	erages costing	over \$50 per occa	ision?	or 2) Did
ALI	L FILERS EXCEPT CANDIDATES. Check the appropriate box.		CERTIFICATION:		der penalty o		
	I hold a state elected office, am an executive state officer or profer I have read and am familiar with RCW 42.52.180 regarding the unaccurrent programs.				best of my kno		
	resources in campaigns. I hold a local elected office. I have read and am familiar with RCW	42.17A.555	Signature	/ *		Date	
_	regarding the use of public facilities in campaigns.		Contact Telephone: Email:	()*		(work) *	,
	INDIDATES: Do not use public agency addresses or telephone numl tact information.	pers for	Email:				Optional
			REPORT NOT AC	CEPTABLE	WITHOUT F	ILER'S SI	GNATURE

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F-1 SUPPLEMENT (1/12)

PDC FORM

SUPPLEMENT PAGE

PERSONAL FINANCIAL AFFAIRS STATEMENT

PROVIDE INFORMATION FOR YOURSELF, SPOUSE, REGISTERED DOMESTIC PARTNER, DEPENDENT CHILDREN AND OTHER DEPENDENTS IN YOUR HOUSEHOLD

Last Name

Middle Initial

DATE

OFFICE HELD, **BUSINESS** INTERESTS:

Provide the following information if, during the reporting period, you, your spouse, registered domestic partner or

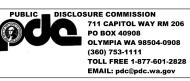
- were an officer, director, general partner, trustee, or 10 percent or more owner of a corporation, non-profit organization, union, partnership, joint venture or other entity, and/or
 were a partner or member of a limited partnership, limited liability partnership, limited liability company or similar entity, including but not limited to a professional limited liability company.
- Legal Name: Report name used on legal documents establishing the entity.

First

- Trade or Operating Name: Report name used for business purposes if different from the legal name.
- Position or Percent of Ownership: The office, title and/or percent of ownership held.
- Brief Description of the Business/Organization: Report the purpose, product(s), and/or the service(s) rendered.
- Payments from Governmental Unit: If the governmental unit in which you hold or seek office made payments to the business entity concerning which you're reporting, show the purpose of each payment and the actual amount received.
- Payments from Business Costomers and Other Government Agencies: List each corporation, partnership, joint venture, sole proprietorship, union, association, business or other commercial entity and each government agency (other than the one you seek/hold office) which paid compensation of \$10,000 or more during the period to the entity. Briefly say what property, goods,

services or other consideration was given or performed for the compensation. Washington Real Estate: Identify real estate owned by the business entity if the qualifications referenced below are met.			
Washington Real Estate: Identify real estate owned by the but the	usiness entity if the qualifications referenced below are met.		
ENTITY NO. 1	Reporting For: Self Spouse		
	Registered Domestic Partner Dependent		
LEGAL NAME:	POSITION OR PERCENT OF OWNERSHIP		
TRADE OR OPERATING NAME:	_		
ADDRESS:			
BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION:			
PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UNIT IN WHICH YOU SEEK Purpose of payments	V/HOLD OFFICE: Amount (actual dollars)		
PAYMENTS ENTITY RECEIVED FROM OTHER GOVERNMENT AGENCIES OF \$10,000 Agency name:	00 OR MORE: Purpose of payment (amount not required)		
PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTOMERS OF \$10,000 OR MOR Customer name:	RE Purpose of payment (amount not required)		
WASHINGTON REAL ESTATE IN WHICH ENTITY HELD A DIRECT FINANCIAL INTER assessed value of property is over \$20,000. List street address, assessor parcel number			
Check here ☐ if continued on attached sheet			
	CONTINUE PARTS B AND C ON NEXT PAGE		

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PDC FORM F-1 SUPPLEMENT (1/15)

SUPPLEMENT PAGE

PERSONAL FINANCIAL AFFAIRS STATEMENT

PROVIDE INFORMATION FOR YOURSELF, SPOUSE, REGISTERED DOMESTIC PARTNER, DEPENDENT CHILDREN AND OTHER DEPENDENTS IN YOUR HOUSEHOLD

Last Name			First	Middle Initial		DATE
A BU	FICE HELI SINESS ERESTS:	depende (1)	ents were an officer, director, organization, union, partn were a partner or memb	if, during the reporting period, you, general partner, trustee, or 10 perc ership, joint venture or other entity; a per of a limited partnership, limited I ut not limited to a professional limited	ent or mor nd/or iability part	re owner of a corporation, non-profit tnership, limited liability company or
	•	Legal Name: Re	port name used on legal do	ocuments establishing the entity.	-	
	•	Trade or Operati	ng Name: Report name us	ed for business purposes if different f	rom the leg	gal name.
	•	Position or Perce	ent of Ownership: The offic	e, title and/or percent of ownership he	eld.	
		•	-	on: Report the purpose, product(s), a		• •
				governmental unit in which you hold ow the purpose of each payment and		
		proprietorship, u seek/hold office)	nion, association, business which paid compensation	Other Government Agencies: List easor other commercial entity and eac of \$12,000 or more during the period reperformed for the compensation.	h governm	ent agency (other than the one you
	•	Washington Rea	Estate: Identify real estate	e owned by the business entity if the	qualification	ns referenced below are met.
ENTITY NO. 1				Reporting Fo	or: Self	Spouse
				Register	red Domes	tic Partner Dependent D
LEGAL NAME:				POSITI	ON OR PE	RCENT OF OWNERSHIP
TRADE OR OPE	ERATING N	AME:				
ADDRESS:						
BRIEF DESCRII	PTION OF 1	THE BUSINESS/	ORGANIZATION:			
PAYMENTS EN		IVED FROM GO	VERNMENTAL UNIT IN W	HICH YOU SEEK/HOLD OFFICE:	Amount	(actual dollars)
					\$	
					¥	
PAYMENTS EN	TITY RECE Agency		HER GOVERNMENT AGE	NCIES OF \$12,000 OR MORE:	Purpose	of payment (amount not required)
PAYMENTS EN		IVED FROM BU ner name:	SINESS CUSTOMERS OF	\$12,000 OR MORE	Purpose	e of payment (amount not required)
				FINANCIAL INTEREST (Complete o sessor parcel number, or legal descri		
Check here ☐ if co	ontinued on at	ttached sheet		2017	E DADT	S D AND C ON NEVT BAGE
				CONTINU	E PAKI	S B AND C ON NEXT PAGE

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Rage	2		F -1	Supplement
Name				
ENTITY N	10.2		Reporting For:	Self Spouse
			Registered D	Domestic Partner Dependent D
LEGAL N	AME:		POSITIO	N OR PERCENT OF OWNERSHIP
TRADE O	R OPERATING N	IAME:		
ADDRESS	S:			
BRIEF DE	ESCRIPTION OF	THE BUSINESS/ORGANIZATION:		
PAYMEN ¹	TS ENTITY RECE	EIVED FROM GQVERNMENTAL UNIT	T IN WHICH YOU SEEK/HOLD OFFICE:	
	Purpos	e of payments		Amount (actual dollars)
				\$
PAYMEN [*]	TS ENTITY RECE Agency		TAGENCIES OF \$10,000 OR MORE:	Purpose of payment (amount not required)
PAYMEN ⁻		EIVED FROM BUSINESS CUSTOMER mer name:	\	urpose of payment (amount not required)
assessed		is over \$20,000. List street address, a	ECT FINANCIAL INTEREST (Complete only if assessor parcel number, or legal description a	
D	LOBBYING:	List persons for whom you, or prepared state legislation or stat	any immediate family member, including te rules, rates, or standards for compensat ich you are an elected official or profession	ion or deferred compensation. Do not list
	Person to Wh	om Services Rendered	Description of Legislation, Rules, Etc.	Compensation (Use Code)
Check here	if continued on	attached sheet		
C	FOOD TRAVEL SEMINARS	portion of the following items to	e other than your own governmental ager o you, your spouse, registered domestic s costing over \$50 per occasion; 2) Trave	partner or dependents, or a combination
Date Received		s Name, City and State	Brief Description	Actual Dollar Value (Use Code)
				\$

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Page 2

F-1 Supplement

Name			
ENTITY NO. 2	· -	: Self Spouse Ded Domestic Partner De	pendent
LEGAL NAME:	POSITIO	N OR PERCENT OF OWNE	RSHIP
TRADE OR OPERATING NAME:			
ADDRESS:			
BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION:			
PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UNIT IN WHICH YOU SEEK/HOLD OFFICE: Purpose of payments Amount (actual dollars)			
		\$	
PAYMENTS ENTITY RECEIVED FROM OTHER GOVERNME Agency name:	NT AGENCIES OF \$12,000 OR MORE:	Purpose of payment (amou	nt not required)
PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTON Customer name:	IERS OF \$12,000 OR MORE	Purpose of payment (amou	int not required)
WASHINGTON REAL ESTATE IN WHICH ENTITY HELD A and assessed value of property is over \$24,000. List street ad			
Check here ☐ if continued on attached sheet			
LOBBYING: prepared state legislation or s	or any immediate family member, includin ate rules, rates, or standards for compensa which you are an elected official or professio	tion or deferred compensa	
Person to Whom Services Rendered	Description of Legislation, Rules, Etc.	Compensation	(Use Code)
Check here ☐ if continued on attached sheet			
TRAVEL portion of the following items SEMINARS thereof: 1) Food and beverage	rce other than your own governmental age to you, your spouse, registered domestic ss costing over \$50 per occasion, excluding 2) Travel occasions; or 3) Seminars, educat	partner or dependents, of certain receptions as defi	r a combination ned in WAC 390-
Date Donor's Name, City and State Received	Brief Description	Actual Dollar Amount	Value (Use Code)
		\$	(656 6546)

Information Continued

F-1 Supplement

Name					
ENTITY NO.			Self Spouse D	nandant 🗆	
LEGAL NAM	IE: OPERATING NAME:	•	N OR PERCENT OF OWNE	. –	
ADDRESS:	OF ENATING NAME.				
BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION:					
PAYMENTS	PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UNIT IN WHICH YOU SEEK/HOLD OFFICE: Purpose of payments Amount (actual dollars)				
PAYMENTS ENTITY RECEIVED FROM OTHER GOVERNMENT AGENCIES OF\$12,000 OR MORE: Agency name: Purpose of payment (amount not require)				nt not required)	
PAYMENTS	ENTITY RECEIVED FROM BUSINESS CUSTOMER Customer name:	RS OF \$12,000 OR MORE	Purpose of payment (amou	nt not required)	
	WASHINGTON REAL ESTATE IN WHICH ENTITY HELD A DIRECT FINANCIAL INTEREST (Complete only if ownership in the ENTITY is 10% or more and assessed value of property is over \$24,000. List street address, assessor parcel number, or legal description and county for each parcel):				
В	DBBYING: (Continued)				
	Person to Whom Services Rendered	Description of Legislation, Rules, Etc.	Compensation (Use Code)	
C T	C FOOD TRAVEL SEMINARS (continued)				
Date Received	Donor's Name, City and State	Brief Description	Actual Dollar Amount	Value (Use Code)	

AMENDATORY SECTION (Amending WSR 12-03-002, filed 1/4/12, effective 2/4/12)

- WAC 390-24-020 Forms for amending statement of financial affairs. (1) The official form for amending statements of financial affairs as required by RCW 42.17A.700 for all persons who have previously filed the Form F-1 is designated Form "F-1A," revised $((\frac{1}{12}))$ 1/15.
- (2) No more than three F-1A forms may be filed to amend a previously submitted statement of financial affairs (Form F-1). The form can be used only to update information required on an F-1.
- (3) The commission reserves the right to reject amendatory forms and require a new statement of financial affairs (Form F-1) at any time the amendments are confusing or create misunderstandings. Authority is delegated to the commission's executive director to make this determination.
- (4) Copies of Form F-1A are available on the commission's website, www.pdc.wa.gov and at the Commission Office, 711 Capitol Way, Room 206, Evergreen Plaza Building, P.O. Box 40908, Olympia, Washington 98504-0908. Any paper attachments must be on 8-1/2" x 11" white paper.

((
	PUBLIC	SCLOSURE 711
		РО В

COMMISSION CAPITOL WAY RM 206 OLYMPIA WA 98504-0908 (360) 753-1111

 ${}^{\star}\text{CANDIDATES}{:}$ Do not use public agency addresses or telephone numbers for contact information

PDC FORM

PERSONAL FINANCIAL AFFAIRS STATEMENT Short Form

Ρ	M	PDC OFFICE US
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TOLL FREE 1-877-601-2828	` ,					
The F-1A form is designed to simplify reporting for persons changes or only minor changes to an F-1 report previously	filed.	DOLLAR CODE	AN	MOUNT	R E C	
A complete F form must be filed at least every four ye may be used for no more than three consecutive reports.	ars; an F-1A form	Α		o \$3,999	E	
Deadlines: Incumbent elected and appointed officials by	April 15.	B C		000 to \$19,999	v	
Candidates and others within two weeks of b		D		,000 to \$39,999 .000 to \$99.999	E D	
a candidate or being newly appointed to a posi	tion.	E	\$10	0,000 or more		
Last Name First	Middle	Initial	domestic pa	mmediate family mem artner. If there is no r	eportable infor	mation to
Mailing Address (Use PO Box or Work Address) *			your house	dependent children, hold, do not identify tl egistered domestic p	nem. Do identi	fy your
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Filing Status (Check only one box.)			Office Held	or Sought		
An elected or state appointed official filing annual report			Office title:	-		
Final report as an elected official. Term expired:			ı	, district or agency of	the office.	
Candidate running in an election: month	year			d number:	,	
Newly appointed to an elective office				mber:		
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Professional staff of the Governor's Office and the Legisla						
Select either "No Change Report" or "Minor Change Report,"	whichever reflects yo	ur situation.	Supply all the	e requested informati	on.	
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Report Not Acceptable Without Filer's Signature

_(Home) Option

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Email:_

PDC FORM DISCLOSURE COMMISSION PDC OFFICE USE 711 CAPITOL WAY RM 206 PERSONAL FINANCIAL A R K F-1A PO BOX 40908 S **AFFAIRS STATEMENT** OLYMPIA WA 98504-0908 (360) 753-1111 Short Form (1/15)TOLL FREE 1-877-601-2828 The F-1A form is designed to simplify reporting for persons who have no changes or only minor changes to an F-1 report previously filed. RECE **DOLLAR** CODE AMOUNT A complete F-1 form must be filed at least every four years; an F-1A form \$1 to \$4,499 A B may be used for no more than three consecutive reports \$4,500 to \$23,999 **Deadlines**: Incumbent elected and appointed officials -- by April 15. Candidates and others -- within two weeks of becoming \$24,000 to \$47,999 \$48,000 to \$119,999 c Ė D a candidate or being newly appointed to a position. \$120,000 or more Last Name Middle Initial Names of immediate family members, including registered domestic partner. If there is no reportable information to disclose for dependent children, or other dependents living Mailing Address (Use PO Box or Work Address) * in your household, do not identify them. Do identify your spouse or registered domestic partner. See F-1 manual for details City County Zip + 4 Filing Status (Check only one box.) An elected or state appointed official filing annual report Final report as an elected official. Term expired: Candidate running in an election: month Newly appointed to an elective office Office Held or Sought Office title County, city, district or agency of the office, name and number: _ vear Newly appointed to an elective office Position number: __ Newly appointed to a state appointive office Term begins: _ ends: _ Professional staff of the Governor's Office and the Legislature Select either "No Change Report" or "Minor Change Report," whichever reflects your situation. Supply all the requested information. □ NO CHANGE REPORT. I have reviewed my last complete F-1 report dated _ and F-1A reports (if any) dated (1) ___ __and (2) ___ information disclosed on those reports is accurate for the current reporting period. MINOR CHANGES REPORT. I have reviewed my last complete F-1 report dated The changes listed below have occurred during the reporting period. Specify F-1 Form Item numbers and describe changes. Provide all information required on F-1 report. Check here ☐ if continued on attached sheet FOOD Complete this section if a source other than your own governmental agency paid for or otherwise provided all or a portion of the following items to you, your spouse, registered domestic partner or dependents, or a combination thereof: 1) Food and beverages costing over \$50 per occasion, excluding certain receptions as defined in WAC 390-20-020A, L-2 Reporting Guide; 2) Travel TRAVEL **SEMINARS** occasions; or 3) Seminars, educational programs or other training. Date Donor's Name, City and State Brief Description Actual Dollar Value (Use Code) Check here ☐ if continued on attached sheet CERTIFICATION: I certify under penalty of perjury that the information contained in this report is true and ALL FILERS EXCEPT CANDIDATES. Check the appropriate box. ☐ I hold a state elected office, am an executive state officer or professional staff. I correct to the best of my knowledge. have read and am familiar with RCW 42.52.180 regarding the use of public resources in campaigns. ☐ I hold a local elected office. I have read and am familiar with RCW 42.17A.555 regarding the use of public facilities in campaigns. Signature Date Contact Telephone: (

Email:

Email:

*CANDIDATES: Do not use public agency addresses or telephone numbers for

[3] OTS-6603.2

Report Not Acceptable Without Filer's Signature

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Information bisclosed on those reports is accurate for the current reporting period. MINOR CHANGES REPORT. I have reviewed my last complete F-1 report dated The changes listed below have occurred during the report period. Specify F≥ Form Item numbers and describe changes. Provide all information required on F-1 report. FOOD TRAVEL SEMINARS (Continued) Date Donor's Name, City and State Brief Description Actual Dollar Value	Name			
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Information Continued F-1A

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					\$	

<u>AMENDATORY SECTION</u> (Amending WSR 12-03-002, filed 1/4/12, effective 2/4/12)

- WAC 390-24-202 Report of compensation from sales commissions. When a person receives compensation in the form of a commission on sales, the reporting of the compensation, required in RCW 42.17A.710, shall include:
- (1) The name and address of the person or persons through whom a commission was paid;
- (2) For purposes of RCW 42.17A.710 (1)(f), the name and address of each person (other than an individual) for whom a service was rendered or to whom a product was sold that resulted in a commission of ((2,000)) 2,400 or more in the aggregate;
- (3) For purposes of RCW 42.17A.710 (1)(g)(i), the name and address of each governmental unit for whom a service was rendered or to whom a product was sold that resulted in a commission;
- (4) For purposes of RCW 42.17A.710 (1)(g)(ii), the name and address of each person (other than an individual) for whom a service was rendered or to whom a product was sold that resulted in a commission of ((10,000)) 12,000 or more in the aggregate.

[1]

OTS-6607.1

<u>AMENDATORY SECTION</u> (Amending WSR 12-03-002, filed 1/4/12, effective 2/4/12)

WAC 390-24-301 Changes in dollar amounts of reporting thresholds and code values. Pursuant to the commission's authority in RCW 42.17A.125(2) to revise the monetary reporting thresholds and code values found in chapter 42.17A RCW to reflect changes in economic conditions, the following revisions are made:

Statutory Section	Subject Matter	Amount Enacted or Last Revised	Revision Effective January $((\frac{1,2008}{2,2015}))$
.710 (1)(b)	Bank Accounts	\$((15,000)) <u>20,000</u>	(20,000) $24,000$
.710 (1)(b)	Other Intangibles	\$((1,500)) <u>2,000</u>	\$((2,000)) <u>2,400</u>
.710 (1)(c)	Creditors	\$((1,500)) <u>2,000</u>	\$((2,000)) <u>2,400</u>
.710 (1)(f)	Compensation	\$((1,500)) <u>2,000</u>	\$((2,000)) <u>2,400</u>
.710 (1)(g)(ii)	Compensation to Business Entity	\$((7,500)) <u>10,000</u>	\$((10,000)) <u>12,000</u>
.710 (1)(g)	Bank Interest Paid	\$((1,800)) <u>2,400</u>	\$((2,400)) <u>2,900</u>
.710 (1)(h)	Real Property- Acquired	\$((7,500)) <u>10,000</u>	\$((10,000)) <u>12,000</u>
.710 (1)(i)	Real Property- Divested	\$((7,500)) <u>10,000</u>	\$((10,000)) <u>12,000</u>
.710 (1)(j)	Real Property- Held	\$((7,500)) <u>10,000</u>	\$((10,000)) <u>12,000</u>
.710 (1)(k)	Real Property- Business	\$((15,000)) <u>20,000</u>	\$((20,000)) <u>24,000</u>
.710 (1)(1)	Food and Beverages	\$ \$50	
.710 (2)	Dollar Code A	Up to \$((2,999)) <u>3,999</u>	Up to \$((3,999)) 4,499
	Dollar Code B	\$((3,000-\$14,999)) <u>4,000-\$19,999</u>	\$((4 ,000-\$19,999)) 4,500-\$23,999
	Dollar Code C	\$((15,000-\$29,999)) 20,000-\$39,999	\$((20,000-\$39,999)) <u>24,000-\$47,999</u>
	Dollar Code D	\$((30,000-\$74,999)) <u>40,000-\$99,999</u>	\$((4 0,000-\$99,999)) 48,000-\$119,999
	Dollar Code E	\$((75,000)) <u>100,000</u> and up	\$((100,000)) <u>120,000</u> and up



PUBLIC DISCLOSURE COMMISSION

711 Capitol Way Rm. 206, PO Box 40908 • Olympia, Washington 98504-0908 • (360) 753-1111 • FAX (360) 753-1112

Toll Free 1-877-601-2828 • E-mail: pdc@pdc.wa.gov • Website: www.pdc.wa.gov

To: Members, Washington State Public Disclosure Commission

From: Lori Anderson, Communications & Training Officer

Date: June 19, 2014

Re: Rule Making – Discussion and Possible Approval of Draft Language – Adjusting Dollar

Amounts for Personal Financial Affairs Disclosure

AGENDA ITEM

At the June 26, 2014 meeting, the Commission will discuss and possibly approve draft language to adjust the personal financial disclosure dollar amounts (reporting thresholds and Codes A - E values), as allowed by RCW 42.17A.125(2). The draft language proposes amendments to:

WAC 390-24-010 Forms for statement of financial affairs [F-1],

WAC 390-24-020 Forms for amending statement of financial affairs [F-1A],

WAC 390-24-202 Report of compensation from sales commissions, and

WAC 390-24-301 Changes in dollar amounts of reporting thresholds and code values.

BACKGROUND

Consistent with its strategic plan, the Commission has been reviewing Personal Financial Affairs (F-1) disclosure requirements. At the June 2014 meeting, the Commission will consider stakeholders' and staff recommendations for statutory and rule amendments that would change the F-1 disclosure requirements. Both stakeholders and staff are recommending that the Commission make inflationary adjustments to the F-1 dollar thresholds and reporting code values. Discussion and possible approval of draft language is included in the June 26 meeting agenda, in the event the Commission wishes to begin the process of making inflationary adjustments.

The Commission may make adjustments for the purpose of recognizing economic changes at least once every five years but no more often than every two years. The F-1 thresholds and reporting value codes were last adjusted in 2008. Any adjustments the Commission makes must equally affect all thresholds within the personal financial disclosure category. RCW 42.17A.125(2).

DRAFT PROPOSED AMENDMENTS

The adjustments offered for consideration and possible approval were calculated using the Implicit Price Deflater index, as directed by RCW 42.17A.125(2).

• The attached draft proposal to amend WAC 390-24-301 summarizes the current amounts and draft proposed adjustments.

Members, Washington State Public Disclosure Commission June 19, 2014 Page 2

- All dollar amounts contained in the attached draft proposed amendments to the F-1, F-1 Supplement, and F-1A forms are adjusted consistent with the draft proposed amendments to WAC 390-24-301.
- Finally, draft language to amend WAC 390-24-202, is attached. The rule clarifies how commission proceeds are disclosed, referencing the disclosure thresholds for compensation and payments from governmental and business customers. The proposed draft adjustments to those amounts are also consistent with the draft proposed amendments to WAC 390-24-301.

If the Commission approves the language for the proposed amendments, it will be filed with the Code Reviser along with notice of a public hearing that would likely be scheduled for the September 2014 meeting.

COMMISSION ACTION

Staff is requesting that the Commission approve the proposed draft language to amend WACs 390-24-010, 390-24-020, 390-24-202, and 390-24-301.

Attachments: Draft proposed amendments to WACs 390-24-301,

390-24-010, 390-24-020, and 390-24-202

WAC 390-24-301 Changes in dollar amounts of reporting thresholds and code values. Pursuant to the commission's authority in RCW 42.17A.125(2) to revise the monetary reporting thresholds and code values found in chapter 42.17A RCW to reflect changes in economic conditions, the following revisions are made:

Statutory Section	Subject Matter	Amount Enacted or Last Revised	Revision Effective (()) November 1, 2014
.710 (1)(b)	Bank Accounts	\$((15,000)) 20,000	\$((20,000)) <mark>24,000</mark>
.710 (1)(b)	Other Intangibles	\$((1,500)) <u>2,000</u>	$((2,000)) \frac{2,400}{2}$
.710 (1)(c)	Creditors	\$((1,500)) <u>2,000</u>	$((2,000)) \frac{2,400}{2}$
.710 (1)(f)	Compensation	\$((1,500)) <u>2,000</u>	\$((2,000)) <u>2,400</u>
.710 (1)(g)(ii)	Compensation to Business Entity	\$((7,500)) <u>10,000</u>	\$((10,000)) <u>12,000</u>
.710 (1)(g)	Bank Interest Paid	\$((1,800)) <u>2,400</u>	\$((2,400)) <mark>2,900</mark>
.710 (1)(h)	Real Property- Acquired	\$((7,500)) <u>10,000</u>	\$((10,000)) <u>12,000</u>
.710 (1)(i)	Real Property- Divested	\$((7,500)) <u>10,000</u>	\$((10,000)) <mark>12,000</mark>
.710 (1)(j)	Real Property- Held	\$((7,500)) <u>10,000</u>	\$((10,000)) <u>12,000</u>
.710 (1)(k)	Real Property- Business	\$((15,000)) <u>20,000</u>	\$((20,000)) <mark>24,000</mark>
.710 (1)(l)	Food and Beverages	\$ \$50	
.710 (2)	Dollar Code A	Up to \$((2,999)) <u>3,999</u>	Up to \$((3,999)) <u>4,499</u>
	Dollar Code B	((\$3,000-\$14,999)) \$4,000-\$19,999	((\$4,000-\$19,999)) \$4,500-\$23,999
	Dollar Code C	((\$15,000-\$29,999)) \$20,000-\$39,999	9 ((\$ 20,000-\$39,999)) <mark>\$24,000-\$47,999</mark>
	Dollar Code D	((\$30,000-\$74,999)) \$40,000-\$99,999	9 ((\$4 0,000-\$99,999)) <mark>\$48,000-\$119,999</mark>
	Dollar Code E	((\$ 75,000)) \$100,000 and up	((\$100,000)) \$120,000 and up

[Statutory Authority: RCW 42.17A.110. WSR 12-03-002, § 390-24-301, filed 1/4/12, effective 2/4/12. Statutory Authority: RCW 42.17.370(1). WSR 08-01-070, § 390-24-301, filed 12/14/07, effective 1/14/08. Statutory Authority: RCW 42.17.370 (1) and (11) and 42.17.241 (1)(n). WSR 97-23-020, § 390-24-301, filed 11/10/97, effective 1/1/98.]

PUBLIC	PO BOX OLYMP (360) 75	PITOL WAY RM 206	PDC FORM F-1 (11/14)			INANCIAL TEMENT	P M PDC 0 O A S R T K	OFFICE USE
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All Other Property Entirely or Partially Owned

Check here $\hfill \square$ if continued on attached sheet

3	ASSETS / INVESTMENTS - INTEREST / DIVIDENDS		d savings accounts, operty (including but iod.		•	•	
A.	Name and address of each bank or financial institution in which a family member, including registered domestic partner, had account over \$24,000 any time during the report period.	you, Type of	Account or Description	n of Asset	Asset Value (Use Code)	Income (Use (
B.	Name and address of each insurance company where you, a far member, including registered domestic partner, had a policy w cash or loan value over \$24,000 during the period.						
C.	Name and address of each company, association, governr agency, etc. in which you, a family member, including regist domestic partner, owned or had a financial interest worth \$2,400. Include stocks, bonds, ownership, retirement plan, notes, stock options, and other intangible property. If you, spouse, registered domestic partner and/or dependents had dec making authority regarding individual assets/investments list asset or investment, the value and any income amount. EXAMI If you self-directed an investment account identify each stocother asset in that account.	ered over IRA, your ision each PLE:					
Che	eck here if continued on attached sheet.						
4	List each creditor you or a family men CREDITORS more any time during the period. mortgages or real estate reported in It	Don't include				AMO (USE (_
	Creditor's Name and Address		rms of Payment	Securi	ty Given	Original	Present
Che	eck here if continued on attached sheet.						
5	All files and was supplied as A through below 16 the account in	VEC to any of	Alessa succetions the	E 4 Summler			-1
par	All filers answer questions A thru D below. If the answer is tof this report. If all answers are NO and you are a candidate	for state or lo					
exe	cutive officer filing your initial report, no F-1 Supplement is re	equired.					
	umbent elected officials and state executive officers filing an equired of these officeholders unless all answers to questions			must answe	er question E.	An F-1 Su	pplement
A.	At any time during the reporting period were you, your spouse, registered corporation, company, union, association, joint venture or other entity or (2 company or similar entity including but not limited to a professional limited	2) a partner or me	mber of any limited partne	rship, limited lia	ability partnership,		у
В.	Did you, your spouse, registered domestic partner or dependents have an business at any time during the reporting period? If yes, complete \$	ownership of 10% Supplement, Part	% or more in any company, A.	corporation, p	artnership, joint ve	enture or othe	r
C.	Did you, your spouse, registered domestic partner or dependents own a b	usiness at any tim	e during the reporting peri	od? If ye	es, complete Supp	olement, Part	A.
D.	Did you, your spouse, registered domestic partner or dependents prepare, compensation (other than pay for a currently-held public office) at any time					tion or deferre	ed
E.	Only for Persons Filing Annual Report. Regarding the receipt of items you, your spouse, registered domestic partner or dependents (or any combany source other than your governmental agency provide or pay in whole of a seminar or other training? If yes to either or both questions, co	oination thereof) a or in part for you, y	ccept a gift of food or bever your spouse, registered do	erages costing	over \$50 per occa	asion? o	r 2) Did
AL	L FILERS EXCEPT CANDIDATES. Check the appropriate box.		CERTIFICATION:		nder penalty o		
	I hold a state elected office, am an executive state officer or pro I have read and am familiar with RCW 42.52.180 regarding the resources in campaigns.				best of my kno		THE AIR
	I hold a local elected office. I have read and am familiar with RC regarding the use of public facilities in campaigns.	W 42.17A.555	Contact Telephone:	()*		Date	
*CA	INDIDATES: Do not use public agency addresses or telephone nu	mbers for	Email:				Optional
	tact information.		Email:			(⊓∪пе)	Optional



Check here $\ \square$ if continued on attached sheet

711 CAPITOL WAY RM 206
PO BOX 40908
OLYMPIA WA 98504-0908
(360) 753-1111
TOLL FREE 1-877-601-2828
EMAIL: pdc@pdc.wa.gov

F-1
SUPPLEMENT
(11/14)

PDC FORM

SUPPLEMENT PAGE PERSONAL FINANCIAL AFFAIRS STATEMENT

PROVIDE INFORMATIO YOUR HOUSEHOLD	N FOR YOURSELF, SPOUSE, REGISTERED DOME	STIC PARTNER, DEPENDENT CHILD	REN AND OTHER DEPENDENTS IN
Last Name	First	Middle Initial	DATE
A OFFICE HI BUSINESS INTEREST	dependents S: (1) were an officer, director, general organization, union, partnership, (2) were a partner or member of a	ng the reporting period, you, your spong the reporting period, you, your spong all partner, trustee, or 10 percent or more joint venture or other entity; and/or limited partnership, limited liability partnership to a professional limited liability continued to a professional limited liability continued li	ore owner of a corporation, non-profit
•	Legal Name: Report name used on legal documen	·	
•	Trade or Operating Name: Report name used for b	ousiness purposes if different from the le	gal name.
•	Position or Percent of Ownership: The office, title a	and/or percent of ownership held.	
•	Brief Description of the Business/Organization: Re	port the purpose, product(s), and/or the	service(s) rendered.
•	Payments from Governmental Unit: If the governmentity concerning which you're reporting, show the payments from Governmental Unit:		
•	Payments from Business Customers and Other G proprietorship, union, association, business or oth seek/hold office) which paid compensation of \$12,6 services or other consideration was given or perform	er commercial entity and each governr	nent agency (other than the one you
•	Washington Real Estate: Identify real estate owner	d by the business entity if the qualification	ons referenced below are met.
ENTITY NO. 1		Reporting For: Self	Spouse
		Registered Domes	stic Partner Dependent D
LEGAL NAME:		POSITION OR PE	ERCENT OF OWNERSHIP
TRADE OR OPERATING	S NAME:		
ADDRESS:			
BRIEF DESCRIPTION C	F THE BUSINESS/ORGANIZATION:		
	CEIVED FROM GOVERNMENTAL UNIT IN WHICH Yose of payments		: (actual dollars)
		\$	
	CEIVED FROM OTHER GOVERNMENT AGENCIES cy name:	The state of the s	e of payment (amount not required)
	CEIVED FROM BUSINESS CUSTOMERS OF <mark>\$12,00</mark> tomer name:		e of payment (amount not required)
	STATE IN WHICH ENTITY HELD A DIRECT FINANO operty is over \$24,000. List street address, assessor		

CONTINUE PARTS B AND C ON NEXT PAGE

F-1 Supplement

name						
ENTITY NO. 2		Reporting For: Self Spouse Dependent Dependent Dependent				
LEGAL NAME:		_	ON OR PERCENT OF OWNE	•		
TRADE OR OPERATING NA	AME:					
BRIEF DESCRIPTION OF T	HE BUSINESS/ORGANIZATION:					
	VED FROM GOVERNMENTAL UNIT of payments	IN WHICH YOU SEEK/HOLD OFFICE:	SEEK/HOLD OFFICE: Amount (actual dollars)			
			\$			
PAYMENTS ENTITY RECEI Agency r		AGENCIES OF <mark>\$12,000</mark> OR MORE:	Purpose of payment (amou	nt not required)		
	VED FROM BUSINESS CUSTOMER er name:	RS OF <mark>\$12,000</mark> OR MORE	Purpose of payment (amou	Purpose of payment (amount not required)		
		RECT FINANCIAL INTEREST (Complete or ss, assessor parcel number, or legal descrip				
Check here ☐ if continued on att	tached sheet					
B LOBBYING:	prepared state legislation or state	any immediate family member, includine rules, rates, or standards for compensach you are an elected official or profession	ition or deferred compensa			
Person to Who	m Services Rendered	Description of Legislation, Rules, Etc.	Compensation	(Use Code)		
Check here ☐ if continued on att	tached sheet					
FOOD TRAVEL SEMINARS Complete this section if a source other than your own governmental agency paid for or otherwise provided all or a portion of the following items to you, your spouse, registered domestic partner or dependents, or a combination thereof: 1) Food and beverages costing over \$50 per occasion; 2) Travel occasions; or 3) Seminars, educational programs or other training.						
Date Donor's Received	Name, City and State	Brief Description	Actual Dollar Amount	Value (Use Code)		
			\$			
I Check here ☐ if continued on att	tached sheet					

Information Continued

F-1 Supplement

Name						
ENTITY NO. Reporting For: Self Spouse						
		Registered Domestic Partner Dependent				
LEGAL NAM	IE:	POSITIO	N OR PERCENT OF OWNE	RSHIP		
TRADE OR	OPERATING NAME:					
ADDRESS:						
BRIEF DES	CRIPTION OF THE BUSINESS/ORGANIZATION:					
PAYMENTS	ENTITY RECEIVED FROM GOVERNMENTAL UNIT Purpose of payments	IN WHICH YOU SEEK/HOLD OFFICE:	Amount (actual dollars)			
			\$			
PAYMENTS	ENTITY RECEIVED FROM OTHER GOVERNMENT	AGENCIES OE <mark>\$12,000</mark> OR MORE:				
.,	Agency name:	• • • • • • • • • • • • • • • • • • •	Purpose of payment (amou	nt not required)		
PAYMENTS	ENTITY RECEIVED FROM BUSINESS CUSTOMER Customer name:	RS OF <mark>\$12,000</mark> OR MORE	Purpose of payment (amount not required)			
Вч	DBBYING: (Continued)					
D -		5 14 (1 14 51 51	0	(U O - d -)		
	Person to Whom Services Rendered	Description of Legislation, Rules, Etc.	Compensation	Ose Code)		
C FOOD TRAVEL SEMINARS (continued)						
Date Received	Donor's Name, City and State	Brief Description	Actual Dollar Amount	Value (Use Code)		
			\$, ,		
			Φ			

DISCLOSURE COMMISSION **PUBLIC** 711 CAPITOL WAY RM 206 PO BOX 40908 **OLYMPIA WA 98504-0908** (360) 753-1111 **TOLL FREE 1-877-601-2828**

PDC FORM

PERSONAL FINANCIAL AFFAIRS STATEMENT

Short Form

M PDC OFFICE USE O R S

DOLLAR CODE **AMOUNT** Α \$1 to \$4,499

The F-1A form is designed to simplify reporting for persons who have no Е changes or only minor changes to an F-1 report previously filed. С A complete F-1 form must be filed at least every four years; an F-1A form Е may be used for no more than three consecutive reports. В \$4,500 to \$23,999 Deadlines: Incumbent elected and appointed officials -- by April 15. С \$24,000 to \$47,999 Ε Candidates and others -- within two weeks of becoming D \$48,000 to \$119,999 a candidate or being newly appointed to a position. F \$120,000 or more First Names of immediate family members, including registered Last Name Middle Initial domestic partner. If there is no reportable information to disclose for dependent children, or other dependents living Mailing Address (Use PO Box or Work Address) * in your household, do not identify them. Do identify your spouse or registered domestic partner. See F-1 manual for City County Zip + 4Filing Status (Check only one box.) Office Held or Sought An elected or state appointed official filing annual report Office title: Final report as an elected official. Term expired: County, city, district or agency of the office, Candidate running in an election: month _____ year __ name and number: Newly appointed to an elective office Position number: ___ Newly appointed to a state appointive office Term begins: ___ ends: ____ Professional staff of the Governor's Office and the Legislature Select either "No Change Report" or "Minor Change Report," whichever reflects your situation. Supply all the requested information. and F-1A reports (if any) dated (1) and (2) . The NO CHANGE REPORT. I have reviewed my last complete F-1 report dated information disclosed on those reports is accurate for the current reporting period. MINOR CHANGES REPORT. I have reviewed my last complete F-1 report dated . The changes listed below have occurred during the reporting period. Specify F-1 Form Item numbers and describe changes. Provide all information required on F-1 report. Check here if continued on attached sheet **FOOD** Complete this section if a source other than your own governmental agency paid for or otherwise provided all or a portion of the **TRAVEL** following items to you, your spouse, registered domestic partner or dependents, or a combination thereof: 1) Food and beverages costing over \$50 per occasion; 2) Travel occasions; or 3) Seminars, educational programs or other training. **SEMINARS** Actual Dollar Date Donor's Name, City and State **Brief Description** Value (Use Code) Received Amount Check here \(\Boxed \) if continued on attached sheet ALL FILERS EXCEPT CANDIDATES. Check the appropriate box. CERTIFICATION: I certify under penalty of perjury that the information contained in this report is true and I hold a state elected office, am an executive state officer or professional staff. I correct to the best of my knowledge. have read and am familiar with RCW 42.52.180 regarding the use of public resources in campaigns. Signature Date ☐ I hold a local elected office. I have read and am familiar with RCW 42.17A.555 regarding the use of public facilities in campaigns. Contact Telephone: (Email: (work) *

Email:

*CANDIDATES: Do not use public agency addresses or telephone numbers for

contact information

(Home) Optional

Name					
Select ei	ther "No Change Report" or "Minor Change Report," w	hichever reflects your si	uation. Supply all the requested inform	nation.	
□ NO C	CHANGE REPORT. I have reviewed my last complete mation disclosed on those reports is accurate for the c	e F-1 report dated	_ and F-1A reports (if any) dated (1) _	and (2)	The
infor	mation disclosed on those reports is accurate for the concentrate of the concentration of the	urrent reporting period. mplete F-1 report dated	. The changes listed below hav		
FOOD TRAVEL SEMINA					
Date Received	Donor's Name, City and State	E	Brief Description	Actual Dollar Amount	Value (Use Code)

WAC 390-24-202 Report of compensation from sales commissions. When a person receives compensation in the form of a commission on sales, the reporting of the compensation, required in RCW 42.17A.710, shall include:

- (1) The name and address of the person or persons through whom a commission was paid;
- (2) For purposes of RCW 42.17A.710 (1)(f), the name and address of each person (other than an individual) for whom a service was rendered or to whom a product was sold that resulted in a commission of ((\$2,000)) \$2,400 or more in the aggregate;
- (3) For purposes of RCW 42.17A.710 (1)(g)(i), the name and address of each governmental unit for whom a service was rendered or to whom a product was sold that resulted in a commission;
- (4) For purposes of RCW 42.17A.710 (1)(g)(ii), the name and address of each person (other than an individual) for whom a service was rendered or to whom a product was sold that resulted in a commission of ((\$10,000)) \$12,000 or more in the aggregate.

[Statutory Authority: RCW 42.17A.110. WSR 12-03-002, § 390-24-202, filed 1/4/12, effective 2/4/12. Statutory Authority: RCW 42.17.370(1). WSR 08-01-070, § 390-24-202, filed 12/14/07, effective 1/14/08. Statu-

tory Authority: RCW 42.17.370. WSR 92-08-105, § 390-24-202, filed 4/1/92, effective 5/2/92.]



PUBLIC DISCLOSURE COMMISSION

711 Capitol Way Rm. 206, PO Box 40908 • Olympia, Washington 98504-0908 • (360) 753-1111 • FAX (360) 753-1112

Toll Free 1-877-601-2828 • E-mail: pdc@pdc.wa.gov • Website: www.pdc.wa.gov

To: Members, Washington State Public Disclosure Commission

From: Lori Anderson, Communications & Training Officer

Date: July 17, 2014

Re: July 24, 2014 Meeting – Continued Rule Making Related to the F-1 Review

AGENDA ITEM

The Commission is scheduled to review its Personal Financial Affairs Statement (F-1)-related rule-making activity and consider approving draft language for an additional potential rule amendment.

BACKGROUND

Inflationary Adjustments

In June, the Commission began the process of making inflationary adjustments to the F-1 dollar amounts of reporting thresholds and code values as recommended by stakeholders and staff. At this point, the next step in the process is to hold a public hearing on the four rule amendments.

Adjustments to the F-1 dollar amounts have implications for certain major contributor and lobbyist employer reporting requirements. The major contributors' and lobbyist employers' disclosure requirements set out at RCWs 42.17A.630 apply the F-1 dollar ranges (Codes A through E) and current \$2,000 compensation disclosure threshold to major contributors and lobbyist employers who pay compensation to state officials and/or their immediate family members. Consequently, to fully implement the adjusted F-1 dollar amounts, additional rule making is needed to adjust these same amounts where they appear in WAC 390-16-071 Annual report of major contributors and persons making independent expenditures (PDC Form C-7) and WAC 390-20-110 Lobbyist employer's report form (PDC Form L-3).

Staff has prepared proposed draft amendments to WACs 390-16-071 and 39-20-110 for your review. The amendments import the proposed F-1 dollar amount adjustments into the relevant sections of the C-7 and L-3 forms.

Definition of "Officer"

In October 2012, the Commission approved draft language for new WAC 390-24-150 Definition – Officer. The draft language proposes converting to rule Interpretive Statement 91-01, which defines who is an "officer" for the purposes of the RCW 42.17A.710(1)(g) and WAC 390-24-010 [the F-1 Supplement]. At that time, Commissioner Degginger noted that additional

Members, Washington State Public Disclosure Commission July 17, 2014 Page 2

terms on the F-1 form also could be better clarified, which became the impetus for further F-1-related discussion during the Commission's 2013 retreat and the resulting F-1 review. Staff has waited to schedule a hearing for the Commission to consider adopting new WAC 390-24-150 until it was known whether other rule making would result from the review. Relevant meeting minutes and the approved draft language are attached.

COMMISSION ACTION

Staff is requesting that the Commission approve the proposed draft language to amend WAC 390-16-071 Annual report of major contributors and persons making independent expenditures and WAC 390-20-110 Forms for lobbyist employers report.

All approved drafts will be filed with the Code Reviser and noted for hearing in September. In addition to the draft language considered at the July 24, 2014 meeting, the package will include previously approved draft language for:

proposed new WAC 390-24-150 Definition of Officer; and amendments to:

WAC 390-24-010 Forms for statement of financial affairs [F-1];

WAC 390-24-020 Forms for amending statement of financial affairs [F-1A];

WAC 390-24-202 Report of compensation from sales commissions; and

WAC 390-24-301 Changes in dollar amounts of reporting thresholds and code values.

Attachments: October 25, 2012 Commission meeting minutes excerpt and approved draft

language for proposed new WAC 390-24-150

Draft language for proposed amendments to WACs 390-16-071 and 390-20-110

schedule a hearing in 2013.

Motion 12-89

Moved by Commissioner Sehlin, seconded by Commissioner Degginger that:

The Commission adopt amended emergency rule WAC 390-05-400 as proposed and move forward with permanent rule making.

The motion passed unanimously.

 Discussion and possible approval of draft language for new WAC 390-24-150 Definition – Officer

Ms. Anderson presented draft rule language to clarify the meaning and use of the term "officer" for purposes of Personal Financial Affairs (F-1) filing requirements.

The definition of officer is currently in Interpretation 91-01. As a result of review of all Interpretations as part of the recodification of RCW 42.17, and the Administrative Procedure Act encouragement to move long-standing interpretations into rules, staff recommended moving this interpretation into a rule.

Staff asked the Commission to approve the draft language as presented. A public hearing will be scheduled.

Commissioner Degginger noted that there may be some additional terms on the F-1 form that would benefit from clarification and that he would discuss those with staff at another time.

Motion 12-90

Moved by Commissioner Sehlin, seconded by Commissioner Degginger that:

The Commission approve the draft rule language as proposed by staff.

The motion passed unanimously.

 Discussion and possible approval of draft language for new enforcement rule in WAC 390-37 Factors relevant to penalty assessments, including aggravating/mitigating circumstances. Nancy Krier continued the discussion from the September Commission meeting regarding penalty authority. The Commission continued to look at memorializing some factors used when assessing penalties.

Ms. Krier presented a list of mitigating and aggravating factors used by other agencies for comparison. She also summarized the current Commission practice for brief and full adjudicative proceedings.

Ms. Krier presented two possible approaches for a new rule for the Commission's consideration, draft

WAC 390-24-150 Definition - Officer.

- (1) For the purposes of RCW 42.17A.710(1)(g) and WAC 390-24-010, the term "officer" means and includes:
 - (a) president, vice-president, secretary, treasurer, or some derivation thereof;
 - (b) one who holds a corporate office, or
 - (c) an individual who holds a position described as an officer in a corporation's bylaws or who is appointed by the board of directors in accordance with the bylaws.
- (2) An individual who has been given the title of "officer" to denote a managerial job classification is not an officer for the purposes of RCW 42.17A.710(1)(g) and WAC 390-24-010.

WAC 390-20-110 Forms for lobbyist employers report. The official form for statement by employers of registered lobbyists as required by RCW 42.17.180 is designated "L-3," revised $((\frac{1}{109}))$ 11/14. Copies of this form are available on the commission's website, www.pdc.wa.gov, and at the Commission Office 711 Capitol Way, Room 206, Evergreen Plaza Building, PO Box 40908, Olympia, Washington, 98504-0908. Any paper attachments shall be on 8-1/2" x 11" white paper.

PUBLIC DISCLOSURE COMMISSION 711 CAPITOL WAY RM 206 PO BOX 40908 OLYMPIA WA 98504-0908 (360) 753-1111

Employer's Lobbying Expenses

L3

THIS	SPA	CE	FOR	OFFI	\mathbf{CE}	USE

OLYMPIA WA 98504-0908	Lobbying	Expenses			
(360) 753-1111 TOLL FREE 1-877-601-2828			11/14		
Employer's Name (Use complete company, association, union or	r entity name.)			1	
Attention (Identify person to whom inquiries about the informati	ion below should be directed; I	NOT the lobbyist.)		-	
Mailing Address		Telephone			
		() -			
City State	Zip + 4	E-Mail Address		Year Repor	t Covers
THIS REPORT MUST BE FILED BY THE LAST DAY OF FEI Washington State Legislature and/or any state agency. Complete			uring the previo	us calendar y	year for lobbying the
 Identify each of your lobbyists/lobbying firms below. In column (plus obligated) for other lobbying related expenses that were ma legislative candidates, reimbursement for entertainment expenses through lobbyists in the space designated. 	ade by or through the lobbyist	and reported by the lobbyis	t on the monthly	y L-2 report (e	.g., contributions to
Names of Registered Lobbyists (if payments were to lobbying t	firm, list firm name)	Col 1-Salary	Col	2-Other	Total Amount
		\$	\$		\$
	Total From Attached	Page			
☐ Information continued on attached pages		Total Expens	ses By or Throu	gh Lobbyists	\$
DO NOT INCLUDE EXPENDITURES ALREADY ACCOUNT	ED FOR IN ITEM 2 ABOVI	E when completing Items :	3 through 7 bel	ow.	
3. Other expenditures made by the employer for lobbying purposes. a. to vendors on behalf of or in support of registered lobbyists (-				\$
 to or on behalf of expert witnesses or others retained to provi the employer's lobbying effort; 	ide lobbying services who offe	er specialized knowledge or	expertise that a	ssists	
 for entertainment, tickets, passes, travel expenses (e.g., trans legislators, state officials, state employees and members of the 			ees provided to		
d. for composing, designing, producing and distributing informational materials for use primarily to influence legislation; and					
e. for grass roots lobbying expenses, including those previously to clients/customers (other than to corporate stockholders and			bbying commu	nications	
4. Political contributions to candidates for legislative or statewide e committees supporting or opposing statewide ballot measures. (A	Also complete Item 10.)		candidates, or		
a. Contributions made directly by the employer, including those			3 1 1		
 If contributions were made by a political committee associate (Information reported by the PAC on C-4 reports need not be 			_ name below.		
Name of PAC 5. Independent expenditures supporting or opposing a candidate for complete Item 11.)	r legislative or statewide execu	tive office or a statewide ba	allot measure. (Also	
6. Expenditures to or on behalf of legislators, state officials, or their influencing, honoring or benefiting the legislator or official. (No		-		m 14.)	
7. Other lobbying-related expenditures, whether through or on beharecipient, purpose and amount). Do not include payments account		tach list itemizing each exp	ense (i.e., show	date,	
		To	tal Lobbying F	Expenses	\$
				2 thru 7)	7
8. This report must be certified by the president, secretary-treasurer	r or similar office of lobbying	employer.			
Certification: I certify that this report is true, complete and corr knowledge.	rect to the best of my	Signature of Employer Of	fficer		Date
Printed Name and Title of Officer:					

Page 2
Employer's Name
Year report covers:

9. Entertainment, tickets, passes, travel expenses (including transportation, meals, lodging, etc.) and enrollment or course fees provided to legislators, state officials, state employees and members of their immediate families. See instruction manual for details.						
Name and Title	ilistruction manual for details.	Cost or Value	Date and Description of Expense			
		\$				
		Ψ				
_						
Information continued on attached pages 10. Contributions (not reported by the lobbyist) totaling over \$1.00 totaling over \$2.00 totaling over \$2	\$25 to a legislative or statewide exe	cutive office candidat	e. a committee formed to support or oppose one of these			
candidates or a committee supporting or opposing a statev		ployer-affiliated PAC	contributions.			
Name of Recipient		Amount	Date (and, if In-Kind, Description)			
		\$				
☐ Information continued on attached pages						
11. Independent expenditures in support of or opposition to a) See instruction manual for definition of "independent"		e office candidate or b) a statewide ballot proposition.			
Candidate's Name, Office Sought &	Party or	Amount	Date and Description of Expense			
Ballot Proposition Number & Brief De	escription		(Note if Support or Oppose)			
		\$				
☐ Information continued on attached pages 12. Compensation of \$2,400 or more during the preceding cal	endar year for employment or profe	essional services paid	to state elected officials, successful candidates for state			
office and each member of their immediate family. Name	Relationship to Candidate or	Amount (Code)**	Description of Consideration or Services Exchanged for			
Ivaine	Elected Official if Member of	Amount (Couc)	Compensation			
	Family					
☐ Information continued on attached pages						
13. Compensation of \$2,400 or more during the preceding cal						
in which state elected official, successful state candidate of Firm Name	Person's Name	-	Description of Consideration or Services Exchanged for			
			Compensation			
☐ Information continued on attached pages						
 Any expenditure, not otherwise reported, made directly or made to honor, influence or benefit the person because of 		l, successful candidate	for state office or member of their immediate family, if			
Name		Amount	Date and Purpose			
		\$				
Information continued on attached pages		**DOLI				

**DOLLAR CODE AMOUNT

A - \$1 to \$4,499 B - \$4,500 to \$23,999 C - \$24,000 to \$47,999 **DOLLAR
CODE AMOUNT

D - \$48,000 to \$119,999 E - \$120,000 or more

(Use this page if you need additional space for Items 2 or 9)				L3	
Employer's Name		Year	report	covers:	
2. Names of Registered Lobbyists		Col 1-Salar	y	Col 2-Other	Total Amount
		\$		\$	\$
Total From This Pa	ge				
9. Entertainment, etc.					
Name and Title	(Cost or Value		Date and Description	on of Expense
	\$				
	_				

INFORMATION CONTINUED(Use this page if you need additional space for Items 10 or 11)

Employer's Name	Year	r report covers:
10. Contributions		
Name of Recipient	Amount	Date (and, if In-Kind, Description)
Name of Recipient	Amount	Date (and, it in-Kind, Description)
	\$	
11. Independent expenditures	1	1
Candidate's Name, Office Sought & Party or Ballot Proposition Number & Brief Description	Amount	Date and Description of Expense (Note if Support or Oppose)
Builde Froposition Fullified & Brief Beschiption		(Note it support of oppose)
	\$	

INFORMATION CONTINUED

L3

(Use this page if you need additional space for Items 12 to	LO			
Employer's Name		Year report covers:		
12. Compensation of \$2,400 or more for employment, etc.				
Name	Relationship to Candidate or Elected Official if Member of Family	Amount (Code)**	Description of Consideration or Services Exchanged for Compensation	
12 Community of £2 400				
13. Compensation of \$2,400 or more for professional service. Firm Name	Person's Name	Amount (Code)**	Description of Consideration or Services Exchanged for Compensation	
14. Any expenditure not otherwise reported				
Name		Amount	Date and Purpose	
Tune			Date and Fulpose	
		\$		

**DOLLAR
CODE AMOUNT

A - \$1 to \$4,499 B - \$4,500 to \$23,999 C - \$24,000 to \$47,999 **DOLLAR
CODE AMOUNT

D - \$48,000 to \$119,999 E - \$120,000 or more

WAC 390-16-071 Annual report of major contributors and persons making independent expenditures. (1) Any person, other than an indi-(a) who made contributions to state office candidates and vidual statewide ballot proposition committees totaling more than the aggregate amount during the preceding calendar year for contributions referenced in WAC 390-05-400, code section .180(1), or (b) who made independent expenditures regarding state office candidates and statewide ballot propositions totaling more than the aggregate amount during the preceding calendar year for independent expenditures referenced in WAC 390-05-400, code section .180(1), shall file with the commission an annual report required pursuant to RCW 42.17A.630. This report shall not be required of a lobbyist employer filing an annual L-3 report pursuant to RCW 42.17A.630 or of a candidate's authorized committee or a political committee provided the information has been properly reported pursuant to RCW 42.17A.235 and 42.17A.240.

(2) The report is entitled "Special Political Expenditures" and is designated "C-7" revised ((12/08)) 11/14. Copies of this form are available on the Commission's website, www.pdc.wa.gov, and at the Commission Office, Room 206, Evergreen Plaza Building, Olympia, Washington 98504. Any attachments shall be on 8-1/2" x 11" white paper.



Special Political Expenditures

C7

11/14

Name (Use complete company, association, union or entity name.)			
Attention (Identify person to whom inquiries about the information below should be	e directed.)		
Mailing Address	Telephone ()	-	
City State	Zip + 4		
THIS REPORT MUST BE FILED BY THE <u>LAST DAY OF FEBRUARY</u> . Disclose all the previous calendar year for the types of activities described below. Complet directions on the attached instructions.	payments or expend te all sections. Use "	itures the reporting er none" or "0" when ap	ntity made and accrued during plicable. Follow the
Summary of Expenditures			Amount
Political contributions to candidates for legislative or statewide executive office, c candidates, or committees supporting or opposing statewide ballot measures. All		or opposing these	
a. Aggregate contributions made by the filer.			
 b. If contributions were made by a political committee associated, affiliated or sp below. (Information reported by the PAC on C-4 reports need not be again in Name of PAC 	consored by the emplo ncluded as part of this r	yer, show the PAC nam eport.)	e
 Independent expenditures supporting or opposing a candidate for legislative or si measure. Show aggregate amount. Also complete Item 9. 	tatewide executive offic	ce or a statewide ballot	
 Expenditures for entertainment, gifts, tickets, passes, transportation and travel expenses) provided to legislators, state officials, state employees and members amount. Also complete Item 10. 			
 Expenditures to or on behalf of legislators, state officials, their spouses and depe benefiting the legislator or official. Show aggregate amount. Also complete Item 		of influencing, honoring	g or
 Other expenditures related to lobbying state officials, whether payment is made to Attach list itemizing each expense. Show date, recipient, purpose and amount. 	o, through or on behalf	of a registered lobbyist	
	7.	Total Reportable Expe	nses
		(Items 2 th	nru 6)
Itemized Expenditures			
8. Contributions totaling over \$25 to a legislative or statewide executive office candi committee supporting or opposing a statewide ballot measure.	idate, a committee form	ned to support or oppos	e one of these candidates or a
Name of Recipient	Amount		Date
	\$		
☐ Information continued on attached pages			

Independent expenditures in support of or opposition instructions for definition of "independent expenditure		executive office cand	didate or b) a statewide ballot measure. See	
Candidate's Name, Office Sought & Party or Ballot Measure & Brief Description		Amount \$	Date and Description of Expense (Note if Support or Oppose)	
☐ Information continued on attached pages				
10. Entertainment, gifts, tickets, passes, transportation a	and travel expenses (including m	leals, lodging and re	lated expenses) provided to legislators, state officials,	
state employees and members of their immediate familie Name and Title		Cost or Value	Date and Description of Entertainment, Gift or Travel	
		\$		
☐ Information continued on attached pages				
 Compensation of \$2,400 or more during the precedir candidates for state office and each member of their 		t or professional ser	vices paid to state elected officials, successful	
Name	Relationship to Candidate or	Amount	Description of Consideration or Services Exchanged	
	Official, if Family Member	(Code)	for Compensation	
☐ Information continued on attached pages				
 Compensation of \$2,400 or more during the precedir other entity in which state elected official, successful interest of 10% or more. 				
Firm Name	Person's Name	Amount	Description of Consideration or Services Exchanged for Compensation	
		(Code)	ioi Compensation	
☐ Information continued on attached pages				
 Any expenditure, not otherwise reported, made direct immediate family, if made to honor, influence or bene 				
Name	chi the person besides of the of	Amount	Date and Description of Expense	
		\$		
		Ψ		
☐ Information continued on attached pages				
14. This report must be certified by the president, secret	ary-treasurer or similar officer of	reporting entity.		
Certification: I certify that this report is true, comple my knowledge.	te and correct to the best of	Signature of Office	r Date	
Printed Name and Title of Officer:				