

711 Capitol Way Rm. 206, PO Box 40908 • Olympia, Washington 98504-0908 • (360) 753-1111 • FAX (360) 753-1112 Toll Free 1-877-601-2828 • E-mail: pdc@pdc.wa.gov • Website: www.pdc.wa.gov

TO:

Commission Members

FROM:

Kurt Young, Compliance Officer

DATE:

September 17, 2013

RE:

Request for Review from Krischanna Roberson: PDC Case No. 13-314

Agenda Item

Krischanna Roberson is seeking a review by the Commission of an initial order entered in a Brief Enforcement Hearing in PDC Case No. 13-314. The review is scheduled for the September 26, 2013, Commission meeting.

Background

Ms. Roberson is a first-time candidate seeking the office of School Director in the Bellevue School District in 2013. Ms. Roberson was required to file a Personal Financial Affairs Statement (F-1 report) within two weeks of declaring her candidacy in 2013, or no later than May 31, 2013, disclosing her financial activities for the previous 12 months.

A Brief Enforcement Hearing was held on August 1, 2013, at which the Presiding Officer found Ms. Roberson in violation of RCW 42.17A.700 for failing to timely file an F-1 report within two weeks of declaring her candidacy in 2013. Ms. Roberson was assessed a civil penalty of \$150 in accordance with the penalty schedule set forth in WAC 390-37-165, that is payable within 30 days of the date of the order. The initial Order was entered August 14, 2013. On September 4, 2013, Ms. Roberson filed the missing F-1 report.

Request for Review

On August 30, 2013, Ms. Roberson contacted PDC staff after receiving the initial Order, and left a voice message requesting a review of the penalty assessed. On September 16, 2013, she submitted an email requesting a review of the penalty assessed, and stating she was a first-time candidate who was not familiar with the election process and the PDC filing requirements for the F-1 report. She stated that she was under the false impression that since she was not running an expensive campaign, the initial C-1 filing which she filed on July 9, 2013, was all she had to do.

Ms. Roberson stated that she rented a campaign post office box for correspondence related to her campaign. However, she stated that since she did not appear on the primary ballot and there was no campaign related activities beginning until Sept 1, 2013, she neglected to check the post office box.

Krischanna Roberson Request for Review PDC Case No. 13-314 Page 2

Ms. Roberson stated that as a result, when she finally checked the post office box near the end of August 2013, she found the warning letter, hearing notice, and the initial order from the PDC staff all at once. She stated that unfortunately, all of the filing deadlines had expired.

Ms. Roberson stated that once she understood what she needed to file, she immediately contacted PDC staff and reached out for help from a PTA Treasurer to assist her in filing and understanding the PDC reporting requirements, and she filed the missing F-1 report on Sept 4, 2013.

Staff Response

Staff reviewed the F-1 electronic filing application and discovered that on August 30, 2013, Ms. Roberson created her F-1 account and saved an F-1 report electronically. She submitted the F-1 signature authorization form and password on August 30, 2013, and her account was activated on September 4, 2013, and releasing her pending F-1 report at that time.

Attachments:

- Findings of Fact, Conclusions of Law, and Order Imposing Fine (PDC Case No. 13-314)
- September 16, 2013 email from Ms. Roberson.



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Toll Free 1-877-601-2828 * E-mail: pdc@pdc.wa.gov * Website: www.pdc.wa.gov

August 14, 2013

Krischanna Roberson 1560 NE 8th Street, Suite B1 #208 Bellevue WA 98008

Subject: PDC Case No. 13-314

Dear Ms. Roberson:

Enclosed is a copy of the Public Disclosure Commission's Order Imposing Fine that was entered in the above-referenced case.

The Presiding Officer assessed a civil penalty of \$150 in accordance with the penalty schedule set forth in WAC 390-37-165. Payment is due within 30 days and your check or money order should be payable to the WA State Treasurer. Please mail the penalty payment to the Public Disclosure Commission along with the missing F-1 report. Thank you for your attention to this matter.

If you have questions, please contact me at (360) 664-8854; toll free at (877) 601-2828 or by email at kurt.young@pdc.wa.gov.

Sincerely,

Kurt Young ()
Compliance Officer

Enclosure



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Toll Free 1-877-601-2828 * E-mail: pdc@pdc.wa.gov * Website: www.pdc.wa.gov

BEFORE THE PUBLIC DISCLOSURE COMMISSION OF THE STATE OF WASHINGTON

Krischanna Roberson 1560 NE 8th Street, Suite B1 #208 Bellevue WA 98008

In Re Compliance with RCW 42.17A

Krischanna Roberson

Respondent.

PDC Case No. 13-314

Findings of Fact, Conclusions of Law, and **Order Imposing Fine**

A brief enforcement hearing (brief adjudicative proceeding) was held August 1, 2013, in Room 206, Evergreen Plaza Building, 711 Capitol Way, Olympia, Washington to consider whether the Respondent violated RCW 42.17A.700 by failing to file a Personal Financial Affairs Statement (F-1 report) covering the 12 months preceding the date the Respondent became a candidate for election in 2013.

The hearing was held in accordance with Chapters 34.05 and 42.17A RCW and Chapter 390-37 WAC. A brief enforcement hearing notice was sent to Krischanna Roberson on July 18, 2013. Commission Chair Amit Ranade was the Presiding Officer. The Commission staff was represented by Kurt Young, Compliance Officer. The Respondent did not participate at the hearing or submit any written materials.

Having considered the evidence, the Presiding Officer finds as follows:

FINDINGS OF FACT

- 1. The Respondent is a candidate for School Director in the Bellevue School District in 2013.
- 2. As a candidate, the Respondent was required to file an F-1 report within two weeks of the date the Respondent filed a declaration of candidacy, or no later than May 31, 2013.
- 3. The Respondent had not filed the missing F-1 report by the date of the hearing.
- 4. The Respondent has no prior violations.

Finding, Conclusions & Order Krischanna Roberson PDC Case No. 13-314 Page - 2 –

CONCLUSIONS OF LAW

Based on the above facts, as a matter of law, the Presiding Officer concludes as follows:

- 1. This matter was duly and properly convened and all jurisdictional, substantive and procedural requirements have been satisfied.
- 2. The Respondent violated RCW 42.17A.700 by failing to file the F-1 report, which was due to be filed no later than May 31, 2013.

ORDER

ON the basis of the foregoing Findings of Fact and Conclusions of Law,

IT IS HEREBY ORDERED that the Respondent is assessed a civil penalty of \$150 in accordance with the penalty schedule set forth in WAC 390-37-165, that is payable within 30 days of the date of this order.

This is an Initial Order of the Public Disclosure Commission.

Entered this 14 day of August, 2013.

Public Disclosure Commission

Andrea McNamara Dolle

Executive Director

Enclosure: Information about Appeal Rights

I, ______ certify that I mailed a copy of this order to the Respondent(s) at his/her/its respective address, postage pre-paid, on the date stated herein.

Signed

Date

Krischanna Roberson 14231 Lake Hills Blvd Bellevue, WA 98007 425-922-8389 Krischanna4bsd@yahoo.com

Philip E. Stutzman Director of Compliance Washington State Public Disclosure Commission 711 Capitol Way, Rm. 206/ P.O. Box 40908 Olympia, WA 98504-0908

September 17, 2013

Dear Mr. Stutzman,

I am writing to request that the commission review the results of the brief enforcement hearing and revoke the fine imposed on me for a delay in filing the F-1 report that is required for campaign candidates.

I am running as an elected official for the first time ever and honestly did not completely understand all the PDC requirements as thoroughly as I should have. I was under the impression that since I was not running an expensive campaign that just the initial filing was all I had to do.

In addition I utilized a PO Box for correspondence related to the campaign and because I was not required to run in the primary or that anything campaign related was not going to begin until Sept I neglected to check the box regularly and as a result, when I finally checked the box during the end of August I found the notification letters from the PDC all at once and unfortunately after all the dates expired.

Once I understood what I needed to do I immediately made calls to the PDC and reached out for help from a PTA Treasurer for her assistance in filing and helping me to understand the reporting requirements. I filed the F-1 on Sept 4 and also recruited the help of a campaign manager who understands and can keep me organized and most importantly compliant.

I never want to be irresponsible and incompliant. I am learning about the political process and am trying to assure that as an elected public official I can be trusted to the right thing especially as it pertains to this process because it speaks to my abilities to be a responsible and compliant School Board Director if I am elected and this is important to me.

I appreciate any consideration you can give to the decision that was made and to reconsider the fine that was imposed for my lack of understanding the process completely.

Thank you, Krischanna Roberson

1 BEFORE THE PUBLIC DISCLOSURE COMMISSION. OF THE STATE OF WASHINGTON 2 PDC CASE NO. 13-314 IN RE THE MATTER OF ENFORCEMENT 3 ACTION AGAINST 4 AMENDED FINAL ORDER (Order on Reconsideration and Krischanna Roberson 5 Amending Penalty) Respondent. 6 7 8 This matter came before the Washington State Public Disclosure Commission on 9 September 26, 2013 at the PDC office, Room 206, Evergreen Plaza Building, 711 Capitol 10 Way, Olympia, Washington. The Respondent, Krischanna Roberson, requested a review of 11 the initial order of a brief enforcement hearing (brief adjudicative proceeding) in this matter. 12 The reconsideration hearing was held in accordance with Chapters 34.05 and 42.17A RCW 13 and Chapter 390-37 WAC. 14 Those present included Amit Ranade, Commission Chair; Grant Degginger, 15 Commission Vice-Chair; and Kathy Turner, Member. Present were Kurt Young, PDC 16 Compliance Officer on behalf of the PDC Staff; PDC Executive Director Andrea McNamara 17 Doyle; Nancy Krier, General Counsel for the Commission; and PDC staff member Nancy 18 Coverdale as recorder/reporter of the proceedings. The Respondent represented herself and 19 participated at the hearing by telephone. The proceeding was open to the public and recorded. 20 111 21 22 /// 23 ¹Respondent's request for a review could not be heard within 20 business days. Therefore, under WAC 390-37-144 the initial order became a final order and the request was automatically treated as a request 24 for reconsideration of a final order. For ease of reference, the initial order will be referred to as the 25 "prior order."

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I. PROCEDURAL HISTORY

The matter involved the allegation that Respondent violated RCW 42.17A.700 by failing to file a Personal Financial Affairs Statement (F-1 report) as a candidate for School Director for the Bellevue School District.

A brief hearing was held on August 1, 2013. An initial order (prior order) was entered August 14, 2013, making Findings of Fact, Conclusions of Law and assessing a \$150 civil penalty for the violation found in that hearing.

By telephone on August 30, 2013, the Respondent requested review and confirmed that request in a September 17, 2013 email. The prior order became a final order during this time period, and the Respondent's request was considered a request for reconsideration of a final order.

Following consideration of the prior order, the Respondent's request, Mr. Young's September 17, 2013 memorandum and testimony, and the Respondent's testimony, the Commission voted unanimously to amend the prior order and reduce the penalty, as follows:

II. AMENDED FINDINGS OF FACT AND CONCLUSIONS OF LAW

- 1. The Commission adopts the Findings of Fact in the prior order (attached).
- 2. The Commission makes the following additional findings:
 - a. The Respondent is as a first-time candidate who was not familiar with the election process and the PDC filing requirements for the F-1 report.
 - b. The Respondent was under the mistaken impression since she was not running an expensive campaign she only had to file Candidate Registration (C-1 report).
 - c. The Respondent rented a campaign post office box for correspondence related to her campaign, but since she did not appear on the 2013 primary election ballot as a candidate, she (1) did not engage in any campaign related activities around the

1	Copy of this order to:
2	Krischanna Roberson 14231 Lake Hills Blvd
3	Bellevue, WA 98007
4	I, destruction, certify that I mailed a copy of this order to the Respondent at Respondent's respective address, postage prepaid, on the date stated herein.
5	at Respondent's respective address, postage prepaid, on the date stated herein.
6	Jul 320h, "(6/13
7	Signature Date
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BEFORE THE PUBLIC DISCLOSURE COMMISSION OF THE STATE OF WASHINGTON

Krischanna Roberson 1560 NE 8th Street, Suite B1 #208 Bellevue WA 98008

In Re Compliance with RCW 42.17A

Krischanna Roberson

Respondent.

PDC Case No. 13-314

Findings of Fact, Conclusions of Law, and Order Imposing Fine

A brief enforcement hearing (brief adjudicative proceeding) was held August 1, 2013, in Room 206, Evergreen Plaza Building, 711 Capitol Way, Olympia, Washington to consider whether the Respondent violated RCW 42.17A.700 by failing to file a Personal Financial Affairs Statement (F-1 report) covering the 12 months preceding the date the Respondent became a candidate for election in 2013.

The hearing was held in accordance with Chapters 34.05 and 42.17A RCW and Chapter 390-37 WAC. A brief enforcement hearing notice was sent to Krischanna Roberson on July 18, 2013. Commission Chair Amit Ranade was the Presiding Officer. The Commission staff was represented by Kurt Young, Compliance Officer. The Respondent did not participate at the hearing or submit any written materials.

Having considered the evidence, the Presiding Officer finds as follows:

FINDINGS OF FACT

- 1. The Respondent is a candidate for School Director in the Bellevue School District in 2013.
- 2. As a candidate, the Respondent was required to file an F-1 report within two weeks of the date the Respondent filed a declaration of candidacy, or no later than May 31, 2013.
- 3. The Respondent had not filed the missing F-1 report by the date of the hearing.
- 4. The Respondent has no prior violations.

Finding, Conclusions & Order Krischanna Roberson PDC Case No. 13-314 Page - 2 -

CONCLUSIONS OF LAW

Based on the above facts, as a matter of law, the Presiding Officer concludes as follows:

- 1. This matter was duly and properly convened and all jurisdictional, substantive and procedural requirements have been satisfied.
- 2. The Respondent violated RCW 42.17A.700 by failing to file the F-1 report, which was due to be filed no later than May 31, 2013.

ORDER

ON the basis of the foregoing Findings of Fact and Conclusions of Law,

IT IS HEREBY ORDERED that the Respondent is assessed a civil penalty of \$150 in accordance with the penalty schedule set forth in WAC 390-37-165, that is payable within 30 days of the date of this order.

This is an Initial Order of the Public Disclosure Commission.

Entered this 14 day of August, 2013.

Public Disclosure Commission

Andrea McNamara Doyle

Executive Director

Enclosure: Information about Appeal Rights

herein.

Signed

Date