MODIFICATION REQUEST COVER SHEET

Name of Filer	KATHLEEN M. O'SULLIVAN					
Reporting Period	☑ Annual report – calendar year 2012 □ Candidate/Appointee report					
Type of Request	☐ New ☑ Renewal with No Change – <u>original granted on March 22, 2007</u> ☐ Renewal with Change					
Office Held & Term	Member, Commission on Judicial Conduct					
PDC Protocol	Appointed term expires in 2015 ☑ Attorney: Interpretation #02-03 ☐ Judge / Judicial Candidate: Interpretation #02-04 ☐ Automobile Dealership: Interpretation #02-05 ☐ Spousal: Interpretation #02-06 ☐ WAC					
Supporting Documents (attached)	☑ Current F-1 ☑ Letter ☑ Prior order (if renewal) – Order # 3067					
Reason(s) for Modification (as stated by filer)	Ms. O'Sullivan is requesting a renewal of the reporting modification that would exempt her from disclosing the business customers that made payments of \$10,000 or more during 2012 to Perkins Coie, LLP, a law firm.					
·	 Ms. O'Sullivan is a partner in the law firm of Perkins Coie. Her ownership interest is less than 10%. She said that Perkins Coie is a large law firm and likely has thousands of reportable business clients. She said it would take a burdensome amount of time and effort to collect and disclose the reportable business clients of the firm. 					
	In her request letter, Ms. O'Sullivan provided information in accordance with PDC Interpretation #02-03:					
	 A listing of the clients she represented and did legal work for Perkins Coie in 2012; 					
·	 A statement that in 2012 Perkins Coie had no business customers whose interests were significantly affected by actions of the Commission on Judicial Conduct; 					
	 A listing of the Perkins Coie clients that were listed in the firms marketing materials in 2012; 					
	 A listing of other governmental customers in Washington that Perkins Coie did business with in 2012. 					
Other Issues	Ms. O'Sullivan stated no payments were made by the Commission on Judicial Conduct to Perkins Coie during 2012.					
Staff Recommendations	Approve renewal of the reporting modification with no change.					

RECEIVED

Perkins Coie

MAR 1 1 2013

Public Disclosure Commission

1201 Third Avenue, Suite 4800 Seattle, WA 98101-3099 PHONE: 206.359.8000 FAX: 206.359.9000 www.perkinscoie.com

Kathleen M. O'Sullivan PHONE: (206) 359-6375 FAX: (206) 359-7375

EMAIL: KOSullivan@perkinscoie.com

DATE FILED PDC

MAR - 8 2013

March 8, 2013

Public Disclosure Commission 711 Capitol Way, Room 206 Olympia, WA 98504-0908

Re: PDC Filing – PDC Interpretation No. 02-03

Dear Commissioners:

I am an attorney member of the Commission on Judicial Conduct. The purpose of this letter is to request a reporting modification pursuant to PDC Interpretation Number 02-03, as I am a partner in the law firm of Perkins Coie LLP. The PDC has granted this reporting modification for me repeatedly in the past.

- 1. (a) In 2012, I worked on matters for the following businesses or public entities that paid Perkins Coie more than \$10,000: Alyeska Pipeline Service Co., Amgen Inc., Amazon.com, Antelope Valley Newspapers, Inc., The Boeing Company, C-III Asset Management LLC, Columbia United Providers, Costco Wholesale Corporation, Dex One, General Mills, Inc., Global Aerospace, Inc., Kadlec Health System, Krispy Krunchy Foods LLC, LP Corporation, Masai USA Corp., MediaNews Group, Microsoft Corporation, OfficeMax, Robinson Helicopter Company, and Washington Alliance for Healthcare Insurance Trust.
- (b) Perkins Coie has no business clients whose interests are significantly affected by the actions of the Commission on Judicial Conduct.
 - (c) In 2012, Perkins Coie listed the following clients in marketing materials:

Aleut Corporation, American Fast Freight, Animal Supply Company, Avvo, Inc., Blaze Bioscience, Inc., Box, Inc., BranchOut, Inc., BuildingIQ, Inc., Chromatin, Inc., C-III Asset Management LLC, Clinicient, Inc., Coinstar, Inc., Columbus Foods, LLC, Continental Mills, Inc., Costco Wholesale Corporation, Couchsurfing International, Inc., Dandelion Chocolate, DataSphere Technologies, Inc., Digimarc Corporation, Discovery Bay Games, Inc., EasyStreet Online Services, Inc., Emeritus Corporation, Endeavour Capital, Enhanced Capital Partners, LLC, Ensequence, Inc., ESCO Corporation, Filson Holdings, Inc., Holland Electronics, LLC, iMove, Inc., Imperial Parking, Janrain, Inc., Jive Software, Inc., KLIM, Kumu Networks, Inc., Kymeta Corporation, Leopona, Inc., Lucky Sort, Inc., M/A-COM Technology Solutions, Madrona Venture Group, LLC, Max-Viz, Inc., Meteor Solutions, Inc., Microsoft Corporation,

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Public Disclosure Commission March 8, 2013 Page 2

Mitre Media Corp., Motiga, Inc., nFluence Media, Inc., Nimes Restaurants, North Pacific Seafoods, Inc., Nuun & Company, Inc., Ohmx Corporation, Opscode, Inc., Oregon Angel Fund, PACCAR Financial Corp., PacifiCorp, Platt Electric Supply, Inc., Puget Energy, Inc., Ritchie Bros. Auctioneers, Room 77, Inc., Rose City Printing & Packaging, Seaspan Corporation, Sheer ID, Inc., SHR Essex House, SNUPI Technologies, Inc., SuperCritical Technologies, Inc., Symform, Inc., Teekay Corporation, Teekay LNG Partners, Teekay Offshore Partners, Teekay Tankers Ltd., Travelers Capital Corporation, TriQuint Semiconductor, Inc., Usertesting, Inc., Vigilan, Incorporated, Vizify, WAG Mobile Inc., Zillow, Inc.

Also in 2012, the following additional clients are listed on the home page of the firm's website: Oberto Sausage Company, Optima, Zillow, Honeywell, Integrated Capital, REI, Strategic Hotels & Resorts, Kemper Development, K Vintners, Kathy Casey Food Studios.

- (d) In 2012, Perkins Coie LLP represented the Washington-based governmental clients listed on the F-1 Supplement Page.
- 2. To my knowledge, there are no other matters that are required to be reported.

Food/Travel/Seminars

The F-1 Supplement asks for information on whether a "source other than your own governmental agency paid for or otherwise provided" food, travel, or seminars. I am a volunteer member of the Commission on Judicial Conduct. My full-time job is as a lawyer at Perkins Coie. Thus, I am regularly provided food or travel to Perkins Coie-sponsored events (such as for a partner conference or internal firm committee meeting), and at other times, travel to a client is paid for by the client (along with food associated with the meeting or travel). In addition, in 2012, I was reimbursed for my travel costs (or had lodging direct-billed in one instance) for the following: Ninth Circuit Attorney Admission Fund Committee meetings (travel paid for by Ninth Circuit to attend meetings in San Francisco and Hawaii; the Hawaii meeting held in conjunction with the Ninth Circuit Judicial Conference, which I also attended); and Washington District and Municipal Court Judges' Association Spring Program (I was reimbursed for mileage and food; lodging was direct billed to the judges' association). This travel was paid for because I was one of two attorney members of the Ninth Circuit Attorney Admission Fund Committee and because I was a guest speaker at the District and Municipal Court Judges' Spring Program.

Public Disclosure Commission March 8, 2013 Page 3

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Enclosed is a completed F-1A and a completed F-1 Supplement Page. Please let me know if I may answer any other questions of the Commission.

Very truly yours,

Kathleen M. O'Sullivan

KMO:ln Enclosures

MAR - 8 2013

Certification for an Application for a Reporting Modification or Suspension When Applicant Is Waiving Personal Appearance At the Hearing (Notary Not Required)

I am waiving my personal appearance at the hearing on my request for a reporting modification or suspension, and request the Commission to consider my written application. I certify (or declare) under penalty of perjury under the laws of the State of Washington that the facts set forth in the attached application for a reporting modification are true and accurate to the best of my actual knowledge or belief.

*RCW 9A.72.040 provides that: "(1) A person is guilty of false swearing if he makes a false statement, which he knows to be false, under an oath required or authorized by law. (2) False swearing is a gross misdemeanor."

IF YOU FAX OR SCAN AND SEND A COPY OF THIS SIGNED CERTIFICATION VIA E-MAIL TO THE PDC WITH YOUR MODIFICATION REQUEST, THE ORIGINAL MUST STILL BE PROVIDED. RETURN THE ORIGINAL OF THIS CERTIFICATION TO:

WASHINGTON STATE PUBLIC DISCLOSURE COMMISSION 711 Capitol Way Room 206
P.O. Box 40908
Olympia, WA 98504-0908

Attn: Reporting Modification Request

99999-6533/LEGAL25888923.1



DISCLOSURE COMMISSION PDC FORM PDC OFFICE USE 711 CAPITOL WAY RM 206 PERSONAL FINANCIAL PO BOX 40908 DATE FILED PDC OLYMPIA WA 98504-0908 AFFAIRS STATEMENT (360) 753-1111 **TOLL FREE 1-877-601-2828** MAR - 8 2013 DOLLAR Refer to instruction manual for detailed assistance and examples. CODE **AMOUNT** \$1 to \$3,999 Incumbent elected and appointed officials -- by April 15. Candidates and others -- within two weeks of becoming a \$4,000 to \$19,999 C \$20,000 to \$39,999 candidate or being newly appointed to a position. D \$40,000 to \$99,999 SEND REPORT TO PUBLIC DISCLOSURE COMMISSION \$100,000 or more Last Name First Middle Initial Names of immediate family members, including registered domestic partner. If there is no reportable information to O'Sullivan Kathleen M. disclose for dependent children, or other dependents living in your household, do not identify them. Do identify your spouse or registered domestic partner. See F-1 manual for Mailing Address (Use PO Box or Work Address) * Baird Johnson (spouse) (P) 1201 Third Avenue, Suite 4900 Kieran Johnson (child) (D) Brendan Johnson (child) (D) City County Zip + 4 98101-3099 Seattle WA Office Held or Sought Filing Status (Check only one box.) Office title: An elected or state appointed official filing annual report Member, Chair Final report as an elected official. Term expired: County, city, district or agency of the office, Candidate running in an election: month _ vear name and number: Commission on Judicial Conduct Newly appointed to an elective office Position number: Newly appointed to a state appointive office Term begins: 6/2011 ends: 6/2015 Professional staff of the Governor's Office and the Legislature List each employer, or other source of income (pension, social security, legal judgment, etc.) from which you or a family member, including registered domestic partner, received \$2,000 or more during the period. Include stock options received during the reporting period that had a value of \$2,000 or more. (Report Interest and dividends in Item 3.) Name and Address of Employer or Source of Compensation Occupation or How Compensation Amount: (Use Code) Was Earned Perkins Coie LLP Attorney S 1201 Third Avenue, Suite 4900 Seattle, WA 98101-3099 Program Manager E Seattle Cancer Care Alliance SP PO Box 19023 Seattle, WA 96109-1023 Check Here I if continued on attached sheet List street address, assessor's parcel number, or legal description AND county for each parcel of Washington 2 **REAL ESTATE** real estate with value of over \$10,000 in which you or a family member, including registered domestic partner, held a personal financial interest during the reporting period. (Show partnership, company, etc. real estate on F-1 supplement.) Nature and Amount (Use Code) of Payment or Property Sold or Interest Divested Assessed Name and Address of Purchaser Value Consideration Received (Use Code) Security Given Mortgage Amount - (Use Code) Property Purchased or Interest Acquired Creditor's Name/Address Payment Terms Original Current JP Morgan Chase All Other Property Entirely or Partially Owned Down 15-year 702 - 12th Avenue East Ε 1201 Third Avenue Ε payment Seattle, WA 98102-4622 mortgage Seattle, WA 98101 Check here [] if continued on attached sheet

3	ASSETS / INVESTMENTS - INTEREST / DIVIDENDS in		d savings accounts, perty (including but od.						
A.	Name and address of each bank or financial institution in which you a family member, including registered domestic partner, had a account over \$20,000 any time during the report period.	u, Type of	Account or Description	n of Asset	Asset Value (Use Code)		Amount Code)		
	S/SP: JP Morgan Chase 1201 Third Avenue	Joint	Checking Account		С		İ		
В.	Seattle, WA 98101 Name and address of each insurance company where you, a fami member, including registered domestic partner, had a policy with				DATE F	LED F	DC		
	cash or loan value over \$20,000 during the period.	"			MAR -	8 2013	}		
	•								
C.	Name and address of each company, association, government agency, etc. in which you, a family member, including registers domestic partner, owned or had a financial interest worth owned \$2,000. Include stocks, bonds, ownership, retirement plan, IRA notes, stock options, and other intangible property. If you, you spouse, registered domestic partner and/or dependents had decisic making authority regarding individual assets/investments list each asset or investment, the value and any income amount. EXAMPLE If you self-directed an investment account identify each stock or other asset in that account.	ed er A, ur on eh							
Che	ck here ☐ if continued on attached sheet.								
List each creditor you or a family member, including registered domestic partner, owed \$2,000 or more any time during the period. Don't include retail charge accounts, credit cards, or mortgages						AMOUNT (USE CODE)			
	or real estate reported in Item 2. Creditor's Name and Address	Те	rms of Payment	Secur	ity Given	Original	Present		
Check here ☐ if continued on attached sheet.									
5 All filers answer questions A thru D below. If the answer is YES to any of these questions, the F-1 Supplement must also be completed as part of this report. If all answers are NO and you are a candidate for state or local office, an appointee to a vacant elective office, or a state executive officer filing your initial report, no F-1 Supplement is required. Incumbent elected officials and state executive officers filing an annual financial affairs report also must answer question E. An F-1 Supplement is required of these officeholders unless all answers to questions A thru E are NO.									
A.	A. At any time during the reporting period were you, your spouse, registered domestic partner or dependents (1) an officer, director, general partner or trustee of any corporation, company, union, association, joint venture or other entity or (2) a partner or member of any limited partnership, limited liability partnership, limited liability company or similar entity including but not limited to a professional limited liability company? No If yes, complete Supplement, Part A.								
В.	Did you, your spouse, registered domestic partner or dependents have an ownership of 10% or more in any company, corporation, partnership, joint venture or other business at any time during the reporting period? No If yes, complete Supplement, Part A.								
C.	Did you, your spouse, registered domestic partner or dependents own a business at any time during the reporting period? No. If yes, complete Supplement, Part A.								
D.	Did you, your spouse, registered domestic partner or dependents prepare, promote or oppose state legislation, rules, rates or standards for compensation or deferred compensation (other than pay for a currently-held public office) at any time during the reporting period? No If yes, complete Supplement, Part B.								
Ε.	E. Only for Persons Filing Annual Report. Regarding the receipt of items not provided or paid for by your governmental agency during the previous calendar year: 1) Did you, your spouse, registered domestic partner or dependents (or any combination thereof) accept a gift of food or beverages costing over \$50 per occasion? or 2) Did any source other than your governmental agency provide or pay in whole or in part for you, your spouse, registered domestic partner and/or dependents to travel or to attend a seminar or other training? If yes to either or both questions, complete Supplement, Part C.								
ALL	FILERS EXCEPT CANDIDATES. Check the appropriate box.		CERTIFICATION:		nder penalty of				
☐ I hold a state elected office, am an executive state officer or professional have read and am familiar with RCW 42.52.180 regarding the use of resources in campaigns.					best of my kno				
	I hold a local elected office. I have read and am familiar with RCW regarding the use of public facilities in campaigns.	4 2.17A.555	Contact Telephone: (206) *359-6375						
	NDIDATES: Do not use public agency addresses or telephone numbe act information.	ers for	l	n@perkinsc		(work) * (Home)	Optional		



711 CAPITOL WAY RM 206 PO BOX 40908 **OLYMPIA WA 98504-0908**

(360) 753-1111 **TOLL FREE 1-877-601-2828** EMAIL: pdc@pdc.wa.gov

MAR - 8 2013 PDC FORM

SUPPLEMENT

SUPPLEMENT PAGE

PERSONAL FINANCIAL AFFAIRS STATEMENT

PROVIDE INFORMATION FOR YOURSELF, SPOUSE, REGISTERED DOMESTIC PARTNER, DEPENDENT CHILDREN AND OTHER DEPENDENTS IN

YOUR HOUSEHOLD First Middle Initial Last Name DATE O'Sullivan Kathleen M 3/8/2013 OFFICE HELD, Provide the following Information if, during the reporting period, you, your spouse, registered domestic partner or **BUSINESS** dependents (1) were an officer, director, general partner, trustee, or 10 percent or more owner of a corporation, non-profit INTERESTS: organization, union, partnership, joint venture or other entity; and/or were a partner or member of a limited partnership, limited liability partnership, limited liability company or similar entity, including but not limited to a professional limited liability company. Legal Name: Report name used on legal documents establishing the entity. Trade or Operating Name: Report name used for business purposes if different from the legal name. Position or Percent of Ownership: The office, title and/or percent of ownership held. Brief Description of the Business/Organization: Report the purpose, product(s), and/or the service(s) rendered. Payments from Governmental Unit: If the governmental unit in which you hold or seek office made payments to the business entity concerning which you're reporting, show the purpose of each payment and the actual amount received. Payments from Business Customers and Other Government Agencies: List each corporation, partnership, joint venture, sole proprietorship, union, association, business or other commercial entity and each government agency (other than the one you seek/hold office) which paid compensation of \$10,000 or more during the period to the entity. Briefly say what property, goods, services or other consideration was given or performed for the compensation. Washington Real Estate: Identify real estate owned by the business entity if the qualifications referenced below are met. Reporting For: Self X Spouse **ENTITY NO. 1** Registered Domestic Partner Dependent LEGAL NAME: Perkins Coie LLP POSITION OR PERCENT OF OWNERSHIP TRADE OR OPERATING NAME: Perkins Coie ADDRESS: 1201 Third Avenue, Suite 4900 Seattle, WA 98101-3099 BRIEF DESCRIPTION OF THE BUSINESS/ORGANIZATION: Law Firm PAYMENTS ENTITY RECEIVED FROM GOVERNMENTAL UNIT IN WHICH YOU SEEK/HOLD OFFICE: Purpose of payments Amount (actual dollars) -0-PAYMENTS ENTITY RECEIVED FROM OTHER GOVERNMENT AGENCIES OF \$10,000 OR MORE: Purpose of payment (amount not required) Agency name: See attached letter from Kathleen O'Sullivan PAYMENTS ENTITY RECEIVED FROM BUSINESS CUSTOMERS OF \$10,000 OR MORE Customer name: Purpose of payment (amount not required) See attached letter from Kathleen O'Sullivan WASHINGTON REAL ESTATE IN WHICH ENTITY HELD A DIRECT FINANCIAL INTEREST (Complete only if ownership in the ENTITY is 10% or more and assessed value of property is over \$20,000. List street address, assessor parcel number, or legal description and county for each parcel): I am not an owner of more than 10% of Perkins Coie LLP

Check here X if continued on attached sheet

CONTINUE PARTS B AND C ON NEXT PAGE

Page 2

DATE FILED PDC

F-1 Supplement

Name	MAR -8 2013						
ENTITY NO. 2	Reporting F	Reporting For: Self Spouse					
	Registere	ed Domestic Partner Dependent D					
LEGAL NAME;	POSIT	TION OR PERCENT OF OWNERSHIP					
TRADE OR OPERATING NAME:							
ADDRESS:		·					
BRIEF DESCRIPTION OF THE BUSINESS/ORGAN	NIZATION:	_					
	·						
PAYMENTS ENTITY RECEIVED FROM GOVERNM Purpose of payments	MENTAL UNIT IN WHICH YOU SEEK/HOLD OFFICE:	'IN WHICH YOU SEEK/HOLD OFFICE: Amount (actual dollars)					
· · ·		\$					
PAYMENTS ENTITY RECEIVED FROM OTHER GO	OVEDNIMENT ACENOLES OF \$10,000 OD MODE						
Agency name:	OVERNIMENT AGENCIES OF \$10,000 OK MOKE.	Purpose of payment (amount not required)					
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PAYMENTS ENTITY RECEIVED FROM BUSINESS	S CUSTOMERS OF \$10,000 OR MORE						
Customer name:	S	Purpose of payment (amount not required)					
	·						
WASHINGTON REAL ESTATE IN WHICH ENTITY assessed value of property is over \$20,000. List stre	HELD A DIRECT FINANCIAL INTEREST (Complete on eet address, assessor parcel number, or legal description	ly if ownership in the ENTITY is 10% or more and n and county for each parcel):					
,							
_	·						
Check here if continued on attached sheet	nom you, or any immediate family member, include	ling registered domestic nartner lobbled or					
LOBBYING: prepared state legisla	lation or state rules, rates, or standards for compen t body in which you are an elected official or profess	sation or deferred compensation. Do not list					
Person to Whom Services Rendered	Description of Legislation, Rules, Et	c. Compensation (Use Code)					
	*	·					
. ·							
Check here ☐ if continued on attached sheet							
TRAVEL portion of the follow	on if a source other than your own governmental a wing items to you, your spouse, registered domes nd beverages costing over \$50 per occasion; 2) Tra aining.	tic partner or dependents, or a combination					
Date Donor's Name, City and State Received	Brief Description	Actual Dollar Value Amount (Use Code)					
Necerved							
See attached letter from Kathleen	O'Sullivan	\$					
l Check here ☐ if continued on attached sheet							

MAR - 8 2013

Washington Government Clients - 2012

Aberdeen School District

Arlington School District #16

Auburn School District

Bainbridge Island Fire Department

Battle Ground School District No. 119

Bellevue School District No. 405

Bellingham School District No. 501

Bethel School District

Blaine School District No. 503

Brewster School District #111

Camas School District No. 117

Cascade School District

Central Kitsap School District

Chelan County Public Utility District

Cheney School District #360

City of Everett

City of Kenmore

City of Marysville

City of Tacoma, Water Division

Clark County Fire District #6

Clover Park School District

Conway School District

Deer Park School District #414

East Pierce Fire and Rescue District

Eatonville School Dist. #404

Edmonds School District

Elma School District

Enumelaw School District

Everett School District No. 2

Evergreen School District #114

Federal Way School District

Ferndale School District #502

Fife School District No. 417

Gig Harbor Fire & Medic One

Granite Falls School District

Highline School District 401

Kent School District No. 415

King County Fire District No. 20

Kitsap County Consolidated Housing

Authority

Klickitat County Public Utility District

Lake Stevens School District

Lakehaven Utility District

MAR -8 2013

Lakewood School District

Longview School District No. 122

Manson School District

McCleary School District

Monroe School District No. 103

Moses Lake School District

Mount Vernon School District

Mukilteo School District

North Thurston Public Schools

Northshore School District

Olympia School District

Orcas Island School District

Orondo School District

Peninsula School District

Pondoray Shores Water & Sewer District

Port Angeles School District

Port of Centralia

Port of Pasco

Puget Sound Educational Service District

Puyallup School District

Quillayute Valley School District No. 40

Quincy School District No. 144

Rainier School District #307

Renton School District

Riverview School Dist. No. 407

Sedro-Woolley School District

Shaw Island School District

Shoreline School District

Snohomish County PUD No. 1

Snoqualmie Valley School District No. 41

Sound Transit

South King Fire & Rescue

Spokane County

Spokane County Fire District #10

Spokane International Airport

Spokane School District

Stanwood-Camano School District

State of Washington, Superintendent

Steilacoom Historical School District

Tumwater School Dist. No. 33

University of Washington

University Place Fire Department

Vancouver School District

Vashon Island School District

Walla Walla School District

MAR -8 2013

Washington State Redistricting Commission Washington State University West Pierce Fire & Rescue Willapa Valley School District Wilson Creek School District Yakima School District



STATE OF WASHINGTON

PUBLIC DISCLOSURE COMMISSION

711 Capitol Way Rm. 206, PO Box 40908 • Olympia, Washington 98504-0908 • (360) 753-1111 • FAX (360) 753-1112
Toll Free 1-877-601-2828 • E-mail: pdc@pdc.wa.gov • Website: www.pdc.wa.gov

June 8, 2012

Kathleen M. O'Sullivan 1201 Third Avenue, Suite 4800 Seattle, WA 98101

Subject: Reporting Modification – calendar year 2011

Dear Ms. O'Sullivan:

Enclosed is a copy of PDC Order No. 3067, granting the reporting modification you requested concerning your Personal Financial Affairs Statement (PDC Form F-1) for the 2011 calendar-vear reporting period.

Reporting modifications are granted for one reporting period. If you need to renew your reporting modification request, you must do so each time you file an F-1 report. Please submit your request early enough to allow the Commission time to act on your request before the annual April 15 filing deadline.

Thank you for your cooperation and participation during the reporting modification process. If you have questions, please contact me at (360) 586-4555, toll free at 1-877-601-2828, or by email at kristin.murphy@pdc.wa.gov.

Sincerely.

Kristin Murphy

Political Finance Specialist

Enclosure



STATE OF WASHINGTON PUBLIC DISCLOSURE COMMISSION

711 Capitol Way Rm. 206, PO Box 40908 • Olympia, Washington 98504-0908 • (360) 753-1111 • FAX (360) 753-1112
Toll Free 1-877-601-2828 • E-mail: pdc@pdc.wa.gov • Website: www.pdc.wa.gov

BEFORE THE PUBLIC DISCLOSURE COMMISSION OF THE STATE OF WASHINGTON

IN THE MATTER OF THE APPLICATION	N
OF KATHLEEN M. O'SULLIVAN FOR A	١
REPORTING MODIFICATION	

PDC No. 3067 Findings, Conclusions and Order

On May 24, 2012, the application of Kathleen M. O'Sullivan, 1201 Third Avenue, Suite 4800, Seattle, Washington 98101, for a modification of the reporting requirements of RCW 42.17.241 was brought before the Public Disclosure Commission.

Consideration of the request was made pursuant to RCW 42.17A.120² and chapter 390-28 WAC by the Commission. The proceedings were held in Room 206, Evergreen Plaza Building, 711 Capitol Way, Olympia, Washington, 98504. The applicant, Kathleen M. O'Sullivan, by letter, requested a renewal of the reporting modification that would exempt her from disclosing on her Personal Financial Affairs Statement the business customers that made payments of \$10,000 or more during 2011 to Perkins Coie, LLP, a law firm.

The Commission was provided with a certification from Ms. O'Sullivan waiving her personal appearance at the hearing and stating that the facts contained in the request are true and accurate.

FINDINGS OF FACT

Based upon the letter, the Commission makes the following Findings of Fact:

- 1. Ms. O'Sullivan has previously been granted the requested reporting modification, the most recent being Order No. 3010.
- 2. Ms. O'Sullivan is an appointed member of the Commission on Judicial Conduct. Her appointed term expires in 2015.
- 3. Ms. O'Sullivan is a partner in the law firm of Perkins Coie. Her ownership interest is less than 10%. She said that Perkins Coie is a large law firm and likely has thousands of reportable business clients. She said it would take a burdensome amount of time and effort to collect and disclose the reportable business clients of the firm.
- 4. Ms. O'Sullivan stated no payments were made by the Commission on Judicial Conduct to Perkins Coie during 2011.

¹ RCW 42.17.241 now codified at RCW 42.17A.710 (effective January 1, 2012).

² RCW 42.17A.120 (effective January 1, 2012) formerly codified at RCW 42.17.370(10).

Kathleen M. O'Sullivan PDC Modification No. 3067 Page 2

CONCLUSIONS OF LAW

Having made these Findings of Fact, the Commission makes the following Conclusions of Law:

- 1. Literal compliance with all the provisions of the reporting requirements of RCW 42.17.241³ would work a manifestly unreasonable hardship on the applicant.
- 2. Limited suspension or modification of the reporting requirements as specified in the Order would not frustrate the purposes of the Act in this particular case.

ORDER

Having made these Findings of Fact and Conclusions of Law, the Commission issues the following Order:

For the Statement of Personal Financial Affairs required to be filed with the Public Disclosure Commission between January 1 and April 16, 2012:

- 1. The applicant may satisfy the reporting requirements of RCW 42.17.241(1)(g)(ii)³ by identifying for the appropriate reporting period:
 - a. The name of the reportable business clients⁴ of the law firm for whom the applicant has done legal work⁵;
 - Other reportable business clients of the law firm whose interests are significantly affected by the applicant's actions in the applicant's official capacity as a member of the Commission on Judicial Conduct when their identities become known to the applicant or the applicant becomes aware of their identities by any means;
 - c. All governmental clients that have done business with the law firm;6
 - d. The names of the reportable business clients of the law firm when the names are listed in Martindale Hubbell, the firm's publicity brochure(s), or the firm's resume, website, or similar promotional materials of the firm; or the names become known to the applicant and (i) the identities are otherwise publicized or referenced in documents open for public inspection at the courts, in administrative hearings, or at other public agencies; or, (ii) the identities have been disclosed in documents made available for public inspection at open

³ RCW 42.17.241 now codified at RCW 42.17A.710 (effective January 1, 2012).

⁴Reportable business clients are nonindividuals providing compensation of \$10,000 or more during the reporting period.

⁵ Ordinarily, the identity of a client does not fall within the purview of the information protected by the attorney-client privilege unless there is a "strong probability" that the disclosure would convey the substance of a confidential communication between client and attorney. Splash Design, Inc. v. Lee, 104 Wn.App. 38, 14 P.3d 879 (2001) (describing Rule of Professional Conduct 1.6 and citing to Dietz v. Doe, 131 Wn.2d 835, 935 P.2d 611 (1997)); Tegland, Washington Practice, Vol. 5A, § 501.15 (1999); United States v. Hunton & Williams, 952 F.Supp. 843 (D.C. 1997)(under federal law, absent special circumstances, identity of a client of a lawyer or law firm is not protected by attorney-client privilege); C.K. Liew v. Breen, 640 F.2d 1046 (9th Cir. 1981) (citing to California law for same proposition, and to J. Wigmore, Evidence § 2313).

⁶ The names of governmental clients are matters of public knowledge in listings in <u>Martindale Hubbell</u>; the firm's publicity brochure(s), websites, or other promotional materials; or the firm's resume. The names of government clients are also matters of public knowledge in records that disclose that the firm is representing the client, including but not limited to documents reflecting payments of public funds from the governmental agency to the law firm; court filings; filings in administrative hearings; and in public records. *See* definition of public record at RCW 42.17.020(42) and RCW 42.56.010(2).

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public meetings of public agencies; or, (iii) the identities have been made a matter of public knowledge in other similar public forums.

2. In all other matters required to be reported, the applicant shall comply in full with the reporting requirements of RCW 42.17/42.17A.

DATED this 8th day of June, 2012.

FOR THE PUBLIC DISCLOSURE COMMISSION

Andrea McNamara Doyle Executive Director

i, Knishin Murphy certify that I mailed a copy of this order to the Respondent/Applicant at his/her respective address postage pre-paid on the date stated herein.

Signed

Date