

## MODIFICATION REQUEST COVER SHEET

<b>Name of Filer</b>	<b>CAROL K. NELSON</b>
<b>Reporting Period</b>	<input type="checkbox"/> Annual report <input checked="" type="checkbox"/> Appointee report – previous 12 months
<b>Type of Request</b>	<input checked="" type="checkbox"/> New <input type="checkbox"/> Renewal with No Change <input type="checkbox"/> Renewal with Change
<b>Office Held/Sought &amp; Term</b>	Director, Department of Revenue Appointed February 2013
<b>PDC Protocol</b>	<input type="checkbox"/> Attorney: Interpretation #02-03 <input type="checkbox"/> Judge / Judicial Candidate: Interpretation #02-04 <input type="checkbox"/> Automobile Dealership: Interpretation #02-05 <input checked="" type="checkbox"/> Spousal: Interpretation #02-06 <input checked="" type="checkbox"/> WAC 390-28-100(1)(b) & (1)(e)
<b>Supporting Documents (attached)</b>	<input checked="" type="checkbox"/> Current F-1 <input checked="" type="checkbox"/> Letter <input checked="" type="checkbox"/> Modification Application <input type="checkbox"/> Prior order (if renewal)
<b>Reason(s) for Modification (as stated by filer)</b>	<p>Ms. Nelson is requesting a reporting modification that would exempt her from disclosing the business and other governmental customers that paid \$10,000 or more during the previous 12 months to the following non-profit entities for which she served on the board of directors: Volunteers of America Western Washington, Seattle University, Washington Bankers Association and Premera Blue Cross.</p> <p>Ms Nelson is also requesting a reporting modification that would exempt her from disclosing the business and other governmental customers that paid \$10,000 or more during the previous 12 months to Housing Hope Properties, a non-profit entity which her husband serves on the board of directors.</p> <p><u>Volunteers of America Western Washington</u></p> <ul style="list-style-type: none"> <li>• VOAWW is a non-profit human services agency which discloses its annual financial statements on its website.</li> <li>• For fiscal year ending June 30, 2012, VOAWW, had revenue and grants from governmental agencies of \$14,268,780.</li> <li>• Ms. Nelson no longer serves on the board of directors and does not have access to confidential information regarding reportable customers.</li> <li>• Ms. Nelson stated that the Department of Revenue did not make payments to VOAWW during the previous 12 months.</li> </ul>

Seattle University

- Seattle University is a non-profit Jesuit Catholic University which discloses its annual financial statement on its website.
- Ms. Nelson serves on the board of directors for Seattle University.
- Ms. Nelson stated that Seattle University's accounting department is made up of 15 staff who are responsible for the organization's \$200 million in annual revenue. She stated that the business customers range from general donors to sponsors of the University's academic and athletic programs to auxiliary enterprises. Ms. Nelson stated that collecting and sorting such customers would be a significant burden.
- Ms. Nelson stated that the Department of Revenue did not make payments to Seattle University during the previous 12 months.

Washington Bankers Association

- Washington Bankers Association is a non-profit trade association for commercial banks doing business in Washington State.
- Ms. Nelson no longer serves on the board of directors for Washington Bankers Association and does not have access to confidential information regarding reportable customers.
- Ms. Nelson stated that the Department of Revenue did not make payments to Washington Bankers Association during the previous 12 months.

Premera Blue Cross

- Premera Blue Cross is a non-profit corporation which provides basic medical hospital, major medical, comprehensive and other prepaid healthcare benefits for over 1.5 million subscribers in Washington and Alaska.
- Ms. Nelson no longer serves on the board of directors for Premera Blue Cross and does not have access to confidential information regarding reportable customers.
- Ms. Nelson stated that the Department of Revenue did not make payments to Premera Blue Cross during the previous 12 months.

Housing Hope Properties (a subsidiary of Housing Hope)

- Housing Hope Properties is a non-profit organization that provides a continuum of safe, affordable housing and necessary related services for low income residents of Snohomish County and Camano Island.
- Ms. Nelson's husband, Ken Nelson, is President of the board of directors for Housing Hope Properties. Mr. Nelson is involved in policy and/or

	<p>evaluations of purchases of property. He is not involved in the day to day operations of the organization.</p> <ul style="list-style-type: none"><li>• Ms. Nelson stated that she is not aware of any of the reportable customers of Housing Hope Properties.</li><li>• Ms. Nelson stated that the Department of Revenue did not make payments to Housing Hope Properties during the previous 12 months.</li></ul>
<b>Other Issues</b>	<p>Ms. Nelson stated that she will recuse herself from any activities related to taxes with Seattle University for which she continues to serve on the board of directors and Housing Hope Properties for which her husband continues to serve on the board of directors.</p>
<b>Staff Recommendations</b>	<p>Approve request of the reporting modification. Ms. Nelson will be participating in person.</p>